

Initiated Position Analysis

John Q Investor V BROKERAGE FIRM
Account: AB-12346

Investment	Quantity	Date	Open Amount	Date	Close Amount	Trading Result	Days Held	Sol/Unsol	Comments
Firm Initiated Positions									
1 TOMMY HILFIGER CORP	500.000	07/27/1999	(\$19,043.50)	07/30/1999	\$19,130.86	\$87.36	3		COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
2 HOMESTORE COM INC	375.000	08/05/1999	(\$9,417.56)	08/06/1999	\$8,553.09	(\$864.47)	1	U	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%) A/O 08/05/99
3 CISCO SYS INC	250.000	08/06/1999	(\$15,671.63)	11/10/1999	\$19,257.98	\$3,586.35	96	U	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
4 DELL COMP CORP	250.000	08/13/1999	(\$10,379.45)	11/03/1999	\$10,466.85	\$87.40	82		COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
5 CIRCUIT CITY GROUP	250.000	09/08/1999	(\$9,687.25)	09/14/1999	\$9,203.07	(\$484.18)	6		COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
6 CIRCUIT CITY GROUP	250.000	09/13/1999	(\$10,256.00)	09/15/1999	\$9,281.18	(\$974.82)	2		COMM CALC (Bonds @ 2.5%) A/O 09/10/99; COMM CALC (Bonds @ 2.5%)
7 RED HAT INC	150.000	09/13/1999	(\$12,768.60)	09/08/1999	\$15,656.47	\$2,887.87	-5		COMM CALC (Bonds @ 2.5%) A/O 09/01/99; COMM CALC (Bonds @ 2.5%) A/O 09/07/99
8 RED HAT INC	100.000	09/13/1999	(\$8,512.40)	09/08/1999	\$8,243.72	(\$268.68)	-5		COMM CALC (Bonds @ 2.5%) A/O 09/01/99; COMM CALC (Bonds @ 2.5%) A/O 09/03/99
9 MSDW & CO	25.000	09/20/1999	(\$2,308.08)	09/23/1999	\$2,193.92	(\$114.16)	3		COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
10 MSDW & CO	125.000	09/20/1999	(\$5,770.19)	02/28/2000	\$8,567.71	\$2,797.52	161		COMM CALC (Bonds @ 2.5%); COMM RUN
11 MSDW & CO	25.000	09/20/1999	(\$1,154.04)	04/13/2000	\$1,914.81	\$760.77	206		COMM CALC (Bonds @ 2.5%); COMM RUN
12 MSDW & CO	50.000	09/20/1999	(\$2,308.08)	04/24/2000	\$3,733.88	\$1,425.80	217		COMM CALC (Bonds @ 2.5%); COMM RUN
13 AMERICA ONLINE INC DEL	50.000	09/28/1999	(\$2,606.19)	11/29/1999	\$3,989.74	\$1,383.55	62		COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
14 AMERICA ONLINE INC DEL	125.000	09/28/1999	(\$6,515.47)	01/21/2000	\$7,953.67	\$1,438.20	115		COMM CALC (Bonds @ 2.5%); COMM RUN
15 AMERICA ONLINE INC DEL	25.000	09/28/1999	(\$1,303.09)	02/07/2000	\$1,427.93	\$124.83	132		COMM CALC (Bonds @ 2.5%); COMM RUN
16 NEXTEL COMM INC CL A	500.000	10/14/1999	(\$36,927.88)	10/18/1999	\$37,891.73	\$963.85	4		COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
17 AMERICA ONLINE INC DEL	100.000	10/20/1999	(\$6,022.63)	02/07/2000	\$5,711.71	(\$310.92)	110		COMM CALC (Bonds @ 2.5%); COMM RUN
18 PRICELINE COM INC	250.000	10/26/1999	(\$17,457.00)	10/27/1999	\$16,404.95	(\$1,052.05)	1	U	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
19 GATEWAY INC	125.000	11/04/1999	(\$8,428.50)	12/07/1999	\$8,450.52	\$22.02	33		COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
20 GATEWAY INC	125.000	11/04/1999	(\$8,428.50)	12/27/1999	\$8,716.14	\$287.64	53		COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
21 CISCO SYS INC	125.000	11/09/1999	(\$9,386.32)	02/09/2000	\$16,486.19	\$7,099.88	92		COMM CALC (Bonds @ 2.5%); COMM RUN
22 CISCO SYS INC	125.000	11/09/1999	(\$9,386.32)	02/18/2000	\$16,098.71	\$6,712.40	101		COMM CALC (Bonds @ 2.5%); COMM RUN
23 KULICKE & SOFFA IND INC	250.000	11/10/1999	(\$9,564.83)	11/17/1999	\$9,620.00	\$55.17	7		COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
24 LSI LOGIC CORP	125.000	11/12/1999	(\$8,128.50)	11/18/1999	\$7,841.17	(\$287.33)	6		COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
25 LSI LOGIC CORP	125.000	11/12/1999	(\$8,128.50)	11/29/1999	\$7,719.30	(\$409.20)	17		COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
26 QWEST COMM INT INC	125.000	11/16/1999	(\$4,932.00)	11/29/1999	\$4,539.72	(\$392.28)	13		COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
27 APPLIED MATERIALS INC	100.000	11/17/1999	(\$5,519.68)	05/10/2000	\$8,873.95	\$3,354.27	175		COMM CALC (Bonds @ 2.5%); COMM RUN
28 APPLIED MATERIALS INC	100.000	11/17/1999	(\$5,519.68)	05/24/2000	\$7,184.00	\$1,664.32	189		COMM CALC (Bonds @ 2.5%); COMM RUN
29 APPLIED MATERIALS INC	50.000	11/17/1999	(\$2,759.84)	08/04/2000	\$3,324.13	\$564.29	261		COMM CALC (Bonds @ 2.5%); COMM RUN
30 NOKIA CORP SPON ADR	250.000	11/26/1999	(\$9,098.82)	08/15/2000	\$10,092.66	\$993.85	263		COMM CALC (Bonds @ 2.5%); COMM RUN
31 NOKIA CORP SPON ADR	100.000	11/26/1999	(\$3,639.53)	12/12/2000	\$4,974.08	\$1,334.55	382		COMM CALC (Bonds @ 2.5%); COMM RUN
32 NOKIA CORP SPON ADR	150.000	11/26/1999	(\$5,459.29)	02/26/2001	\$3,306.88	(\$2,152.41)	458	U	COMM CALC (Bonds @ 2.5%); COMM RUN
33 RAMBUS INC DEL	250.000	12/09/1999	(\$18,632.00)	12/16/1999	\$17,223.67	(\$1,408.33)	7	U	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
34 MICROSOFT CORP	25.000	12/15/1999	(\$2,583.56)	02/11/2000	\$2,516.95	(\$66.61)	58		COMM CALC (Bonds @ 2.5%); COMM RUN
35 MSDW & CO	50.000	12/16/1999	(\$3,145.75)	04/24/2000	\$3,733.88	\$588.13	130		COMM CALC (Bonds @ 2.5%); COMM RUN
36 INKTOMI CORP	100.000	12/27/1999	(\$9,419.50)	01/20/2000	\$9,842.67	\$423.17	24		COMM CALC (Bonds @ 2.5%); COMM RUN
37 PMC-SIERRA INC	25.000	01/20/2000	(\$4,496.31)	01/21/2000	\$4,672.53	\$176.22	1		COMM RUN; COMM RUN
38 PMC-SIERRA INC	100.000	01/20/2000	(\$8,992.62)	11/02/2000	\$16,148.70	\$7,156.09	287		COMM RUN; COMM RUN
39 PMC-SIERRA INC	50.000	01/20/2000	(\$4,496.31)	12/12/2000	\$6,299.03	\$1,802.72	327		COMM RUN; COMM RUN
40 PMC-SIERRA INC	50.000	01/20/2000	(\$4,496.31)	02/20/2001	\$2,499.54	(\$1,996.77)	397		COMM RUN; COMM RUN
41 FOUNDRY NETWORKS INC	125.000	01/21/2000	(\$18,119.50)	01/26/2000	\$19,267.35	\$1,147.85	5		COMM RUN; COMM RUN
42 VERITAS SOFTWARE	50.000	01/27/2000	(\$7,647.63)	01/28/2000	\$8,197.73	\$550.10	1		COMM RUN; COMM RUN
43 VERITAS SOFTWARE	100.000	01/27/2000	(\$15,774.75)	01/28/2000	\$16,395.45	\$620.70	1		; COMM RUN
44 QUANTUM EFFECT DEVICES	100.000	02/01/2000	(\$5,705.60)	02/02/2000	\$6,699.77	\$994.17	1		COMM RUN; COMM RUN
45 QUANTUM EFFECT DEVICES	25.000	02/01/2000	(\$1,426.40)	02/02/2000	\$1,432.01	\$5.61	1		COMM RUN; COMM RUN
46 MCI WORLDCOM INC 48.3125	250.000	02/09/2000	(\$12,326.33)	02/10/2000	\$12,403.66	\$77.33	1		COMM RUN; COMM RUN
47 MCI WORLDCOM INC 48.3125	250.000	02/09/2000	(\$12,110.13)	02/10/2000	\$12,403.66	\$293.53	1		COMM RUN; COMM RUN
48 ORACLE CORP	125.000	02/10/2000	(\$7,554.44)	03/10/2000	\$10,422.73	\$2,868.29	29		COMM RUN; COMM RUN
49 CISCO SYS INC	25.000	02/11/2000	(\$3,330.00)	03/22/2000	\$3,496.29	\$166.29	40		COMM RUN; COMM RUN
50 CISCO SYS INC	100.000	02/11/2000	(\$13,327.00)	03/22/2000	\$13,985.18	\$658.18	40		COMM RUN; COMM RUN
51 RF MICRO DEVICES INC	100.000	02/18/2000	(\$10,119.50)	03/06/2000	\$17,156.56	\$7,037.06	17		COMM RUN; COMM RUN
52 CISCO SYS INC	250.000	02/25/2000	(\$15,697.63)	04/14/2000	\$14,151.90	(\$1,545.73)	49		COMM CALC (Bonds @ 2.5%); COMM RUN
53 PMC-SIERRA INC	50.000	02/28/2000	(\$9,103.88)	02/20/2001	\$2,499.54	(\$6,604.34)	358		COMM RUN; COMM RUN

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54 YAHOO INC	100.000	03/06/2000	(\$16,493.50)	03/13/2000	\$17,867.40	\$1,373.90	7		COMM RUN; COMM RUN
55 MICROSOFT CORP	125.000	03/07/2000	(\$12,045.06)	03/08/2000	\$11,701.98	(\$343.08)	1		COMM RUN; COMM RUN
56 ORACLE CORP	125.000	03/09/2000	(\$10,584.13)	03/13/2000	\$10,309.84	(\$274.29)	4		COMM RUN; COMM RUN
57 VERITAS SOFTWARE	100.000	03/13/2000	(\$17,288.25)	04/17/2000	\$8,082.73	(\$9,205.52)	35		COMM RUN; COMM RUN
58 CISCO SYS INC	250.000	03/20/2000	(\$17,068.50)	12/04/2000	\$11,467.61	(\$5,600.89)	259		COMM RUN; COMM RUN
59 SUN MICROSYSTEMS INC	100.000	03/22/2000	(\$9,693.50)	06/06/2000	\$8,405.21	(\$1,288.29)	76		COMM RUN; COMM RUN
60 ENRON CORP	100.000	04/13/2000	(\$7,268.38)	06/06/2001	\$5,183.32	(\$2,085.06)	419		COMM RUN; COMM RUN
61 CHASE MANHATTAN CORP NEW	100.000	04/25/2000	(\$7,663.25)	05/17/2000	\$7,452.75	(\$210.50)	22		COMM RUN; COMM RUN
62 LSI LOGIC CORP	100.000	04/26/2000	(\$5,915.75)	05/05/2000	\$5,524.06	(\$391.69)	9		COMM RUN; COMM RUN
63 EMC CORP MASS	100.000	04/28/2000	(\$6,915.18)	04/03/2001	\$2,536.32	(\$4,378.86)	340		COMM RUN; COMM RUN; Incl. ROP &/or CIL of \$19.41
64 EMC CORP MASS	3.000	04/28/2000	(\$207.46)	04/27/2001	\$61.52	(\$145.94)	364		COMM RUN; REDEEMED; Incl. ROP &/or CIL of \$0.58
65 APPLIED MATERIALS INC	100.000	05/05/2000	(\$10,238.25)	08/23/2001	\$4,408.35	(\$5,829.90)	475		COMM RUN; COMM RUN
66 INTEL CORP	50.000	05/12/2000	(\$5,918.63)	06/16/2000	\$6,402.16	\$483.54	35		COMM RUN; COMM RUN
67 INTEL CORP	50.000	05/12/2000	(\$2,959.31)	08/04/2000	\$3,164.77	\$205.46	84		COMM RUN; COMM RUN
68 INTEL CORP	50.000	05/12/2000	(\$2,959.31)	12/07/2000	\$1,637.29	(\$1,322.02)	209		COMM RUN; COMM RUN
69 INTEL CORP	100.000	05/30/2000	(\$6,188.25)	12/07/2000	\$3,274.59	(\$2,913.66)	191		COMM RUN; COMM RUN
70 CORNING INC	50.000	06/16/2000	(\$4,110.67)	12/12/2000	\$3,867.87	(\$242.80)	179		COMM RUN; COMM RUN
71 CORNING INC	100.000	06/16/2000	(\$8,221.33)	04/02/2001	\$1,795.93	(\$6,425.40)	290		COMM RUN; COMM RUN
72 INTEL CORP	100.000	07/17/2000	(\$7,331.63)	12/07/2000	\$3,274.59	(\$4,057.04)	143		COMM RUN; COMM RUN
73 SYCAMORE NETWORKS INC	50.000	08/15/2000	(\$7,234.63)	09/18/2000	\$5,242.82	(\$1,991.81)	34		COMM RUN; COMM RUN
74 SYCAMORE NETWORKS INC	50.000	08/15/2000	(\$7,234.63)	10/06/2000	\$4,149.11	(\$3,085.52)	52		COMM RUN; COMM RUN
75 CISCO SYS INC	100.000	11/02/2000	(\$5,589.90)	12/12/2000	\$5,455.31	(\$134.59)	40		COMM RUN; COMM RUN
76 CISCO SYS INC	150.000	11/02/2000	(\$8,384.85)	02/06/2001	\$5,145.95	(\$3,238.90)	96		COMM RUN; COMM RUN
77 JUNIPER NETWORKS INC	100.000	01/17/2001	(\$14,194.50)	03/28/2001	\$4,416.85	(\$9,777.65)	70		COMM RUN; COMM RUN
Total Trading Gain/(Loss) Due to Firm Initiated Positions						(\$17,615.42)			

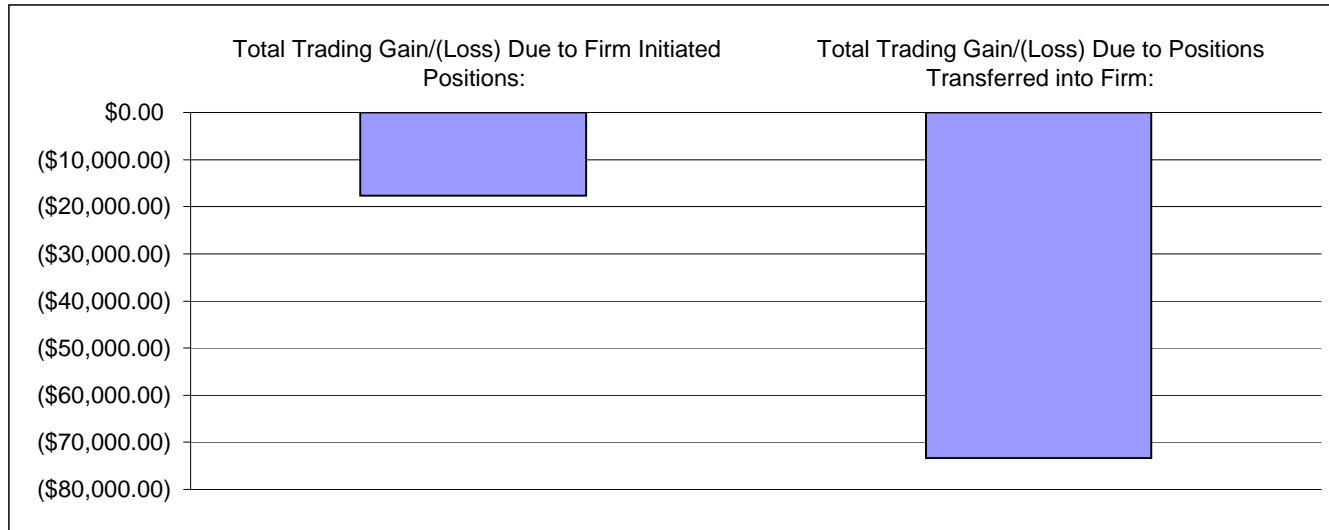
Positions Transferred into Firm

78 ALLIANCE PORTFOLIOS GROWTH FD CL B	643.419	07/23/1999	(\$26,871.28)	04/15/2002	\$13,016.37	(\$13,854.91)	997		BLOOMBERG CLOSE; BLOOMBERG CLOSE
79 ALLIANCE PREMIER GROWTH FUND INC CL B	846.510	07/23/1999	(\$29,039.46)	04/15/2002	\$15,533.45	(\$13,506.01)	997		BLOOMBERG CLOSE; BLOOMBERG CLOSE
80 AMERICA ONLINE INC DEL	125.000	07/23/1999	(\$13,492.19)	07/27/1999	\$12,533.85	(\$958.34)	4		BLOOMBERG CLOSE; COMM CALC (Bonds @ 2.5%)
81 AMERICA ONLINE INC DEL	125.000	07/23/1999	(\$13,492.19)	09/01/1999	\$11,295.18	(\$2,197.01)	40		BLOOMBERG CLOSE; COMM CALC (Bonds @ 2.5%)
82 SBC COMMUNICATIONS INC	21.000	07/23/1999	(\$917.00)	04/15/2002	\$705.13	(\$211.87)	997		BLOOMBERG CLOSE; BLOOMBERG CLOSE; Incl. ROP &/or CIL of \$2.26
83 AMERITECH CORP NEW	58.000	07/23/1999	(\$3,546.00)	04/15/2002	\$1,947.49	(\$1,598.51)	997		BLOOMBERG CLOSE; BLOOMBERG CLOSE; Incl. ROP &/or CIL of \$6.23
84 MEDIAONE GROUP INC	50.167	07/23/1999	(\$1,894.75)	08/24/2001	\$997.77	(\$896.98)	763		BLOOMBERG CLOSE; COMM RUN; Incl. ROP &/or CIL of \$22.73
85 AT&T CORP	380.833	07/23/1999	(\$15,577.34)	08/24/2001	\$7,574.43	(\$8,002.91)	763		BLOOMBERG CLOSE; COMM RUN; Incl. ROP &/or CIL of \$172.59
86 AT&T CORP	133.000	07/23/1999	(\$5,440.14)	04/15/2002	\$1,157.53	(\$4,282.61)	997		BLOOMBERG CLOSE; BLOOMBERG CLOSE; Incl. ROP &/or CIL of \$60.28
87 AT&T CORP	5.000	07/23/1999	(\$204.52)	04/15/2002	\$43.50	(\$161.02)	997		BLOOMBERG CLOSE; BLOOMBERG CLOSE; Incl. ROP &/or CIL of \$2.25
88 BELL ATLANTIC CORP	56.000	07/23/1999	(\$3,664.50)	04/15/2002	\$2,317.28	(\$1,347.22)	997		BLOOMBERG CLOSE; BLOOMBERG CLOSE
89 BELL SOUTH CORP	64.000	07/23/1999	(\$3,032.00)	04/15/2002	\$2,048.00	(\$984.00)	997		BLOOMBERG CLOSE; BLOOMBERG CLOSE
90 CAPITAL ONE FIN CORP	192.000	07/23/1999	(\$8,928.00)	04/15/2002	\$11,898.24	\$2,970.24	997		BLOOMBERG CLOSE; BLOOMBERG CLOSE
91 CONSTELLATION ENERGY GROUP INC	1209.000	07/23/1999	(\$36,950.06)	04/15/2002	\$37,333.92	\$383.86	997		BLOOMBERG CLOSE; BLOOMBERG CLOSE
92 DELL COMP CORP	125.000	07/23/1999	(\$5,125.00)	09/28/1999	\$5,376.62	\$251.62	67		BLOOMBERG CLOSE; COMM CALC (Bonds @ 2.5%)
93 DELL COMP CORP	125.000	07/23/1999	(\$5,125.00)	11/03/1999	\$5,233.43	\$108.43	103		BLOOMBERG CLOSE; COMM CALC (Bonds @ 2.5%)
94 FIRST UNION CORP	35.000	07/23/1999	(\$1,638.44)	04/15/2002	\$1,287.30	(\$351.14)	997		BLOOMBERG CLOSE; BLOOMBERG CLOSE
95 INTEL CORP	125.000	07/23/1999	(\$8,023.44)	10/13/1999	\$9,056.19	\$1,032.75	82		BLOOMBERG CLOSE; COMM CALC (Bonds @ 2.5%)
96 LUCENT TECHNOLOGIES INC	408.000	07/23/1999	(\$25,280.31)	03/14/2001	\$4,455.86	(\$20,824.45)	600		BLOOMBERG CLOSE; COMM RUN; Incl. ROP &/or CIL of \$0.01
97 LUCENT TECHNOLOGIES INC	34.000	07/23/1999	(\$2,106.69)	08/24/2001	\$349.98	(\$1,756.71)	763		BLOOMBERG CLOSE; COMM RUN
98 MICROSOFT CORP	50.000	07/23/1999	(\$4,512.50)	11/16/1999	\$4,349.10	(\$163.40)	116		BLOOMBERG CLOSE; COMM CALC (Bonds @ 2.5%)
99 MICROSOFT CORP	100.000	07/23/1999	(\$9,025.00)	02/11/2000	\$10,067.81	\$1,042.81	203		BLOOMBERG CLOSE; COMM RUN
100 NEXTEL COMM INC CL A	250.000	07/23/1999	(\$12,890.63)	07/23/1999	\$13,571.67	\$681.04	0		BLOOMBERG CLOSE; A/O 07/20/99

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John Q Investor V BROKERAGE FIRM
Account: AB-12346

Investment	Quantity	Date	Open Amount	Date	Close Amount	Trading Result	Days Held	Sol/Unsol	Comments
101 MSDW GROWTH SECS INC CL B	122.000	11/23/1999	(\$7,271.20)	04/15/2002	\$5,715.70	(\$1,555.50)	874		BLOOMBERG CLOSE; BLOOMBERG CLOSE
102 MSDW HI YLD SECS IN CL B	3861.000	11/23/1999	(\$20,231.64)	04/15/2002	\$7,181.46	(\$13,050.18)	874		BLOOMBERG CLOSE; BLOOMBERG CLOSE
103 MSDW SPL VAL FD SH BEN INT CL B	1495.000	11/23/1999	(\$15,069.60)	04/15/2002	\$23,740.60	\$8,671.00	874		BLOOMBERG CLOSE; BLOOMBERG CLOSE
104 SBC COMMUNICATIONS INC	46.000	08/21/2000	(\$1,842.88)	04/15/2002	\$1,539.62	(\$303.26)	602		BLOOMBERG CLOSE; BLOOMBERG CLOSE
105 QWEST COMM INT INC	56.000	08/31/2000	(\$2,891.00)	04/15/2002	\$374.08	(\$2,516.92)	592		BLOOMBERG CLOSE; BLOOMBERG CLOSE
Total Trading Gain/(Loss) Due to Positions Transferred into Firm						(\$73,381.21)			



Total Trading Gain/(Loss) Due to Firm Initiated Positions	(\$17,615.42)
Total Trading Gain/(Loss) Due to Positions Transferred into Firm	(\$73,381.21)
Total Trading Gain/(Loss):	(\$90,996.63)