

Cash Transactions

Account Name: JOHN Q. INVESTOR
 Account Number: AB-12346

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 02/1999 Thru 04/2002

Date	Bot/Rec	Sld/Del	Description	Price	Debit	Credit	Comments
SECURITIES RECEIVED IN							
1	03/30/1999	400.000	RECV CITIGROUP INC			\$25,650.00	VALUED PER STMT TRANS
2	03/30/1999	2000.000	RECV MCI WORLDCOM INC			\$184,250.00	VALUED PER STMT TRANS
3	03/30/1999	500.000	RECV WALT DISNEY CO HOLDING CO			\$16,406.50	VALUED PER STMT TRANS
4	05/11/2000	1000.000	RECV 3COM CORP			\$42,688.00	VALUED PER STMT TRANS
5	05/11/2000	800.000	RECV AMERICA ONLINE INC			\$42,950.40	VALUED PER STMT TRANS
6	05/11/2000	600.000	RECV CITIGROUP INC			\$35,362.80	VALUED PER STMT TRANS
7	05/11/2000	1025.000	RECV GLOBAL CROSSING LTD			\$32,992.70	VALUED PER STMT TRANS
8	05/11/2000	RECV SHORT	10.000 MCI WORLDCOM INC 200101 46.625 PUT 150 SHS				NOT VALUED PER STMT TRANS
9	05/11/2000	800.000	RECV QUALCOMM INC			\$79,450.40	VALUED PER STMT TRANS
10	05/11/2000	3000.000	RECV STAR TELECOMMUNICATIONS			\$11,250.00	VALUED PER STMT TRANS
11	05/11/2000	542.000	RECV VIACOM INC B NON VTG			\$28,150.39	VALUED PER STMT TRANS
12	05/11/2000	500.000	RECV WALT DISNEY CO HOLDING CO			\$20,562.50	VALUED PER STMT TRANS
13	05/11/2000	500.000	RECV WIND RIVER SYSTEMS INC			\$18,344.00	VALUED PER STMT TRANS
14	05/11/2000	3000.000	RECV WORLDCOM INC			\$126,939.00	VALUED PER STMT TRANS
15	05/11/2000	2000.000	RECV WORLDPORT COMMUNICATIONS INC			\$4,620.00	VALUED PER STMT TRANS
16	05/11/2000	3000.000	RECV WORLDPORT COMMUNICATIONS INC			\$6,930.00	VALUED PER STMT TRANS
17	05/12/2000	RECV SHORT	10.000 MCI WORLDCOM INC 200101 40 PUT 150 SHS				NOT VALUED PER STMT TRANS
18	05/15/2000	RECV SHORT	50.000 GLOBAL CROSSING LTD 200201 35 PUT		\$58,750.00		VALUED PER STMT TRANS
19	05/15/2000	RECV SHORT	20.000 MCI WORLDCOM INC 200201 43.375 PUT 150 SHS		\$18,250.00		VALUED PER STMT TRANS
20	05/22/2000	RECV SHORT	20.000 WORLDCOM INC 200201 40 PUT 150 SHS		\$16,750.00		VALUED PER STMT TRANS
21	09/14/2000	800.000	RECV ABGENIX INC			\$67,600.00	VALUED PER STMT TRANS
22	12/08/2000	5000.000	RECV MSH ENTERTAINMENT CORP			\$125.00	VALUED PER STMT TRANS; FM XY-98765
23	12/08/2000	2000.000	RECV PSINET INC			\$1,938.00	VALUED PER STMT TRANS; FM XY-98765
24	12/08/2000	2000.000	RECV WORLDCOM INC			\$30,376.00	VALUED PER STMT TRANS; FM XY-98765
TOTAL FOR SECURITIES RECEIVED IN						\$682,835.69	
DEPOSITS							
25	02/08/1999		PERSONAL CHECK DEPOSITED			\$20,934.33	
26	05/11/2000		CREDIT CASH BALANCE			\$107.31	
27	05/19/2000		CREDIT CASH BALANCE			\$28.08	
28	05/31/2000		CREDIT CASH BALANCE			\$96.00	
29	12/22/2000		LOCAL CHECK			\$9,000.00	
30	03/20/2001		LOCAL CHECK			\$8,000.00	
31	04/10/2001		LOCAL CHECK			\$5,000.00	
32	06/20/2001		LOCAL CHECK			\$10,000.00	
33	08/15/2001		LOCAL CHECK			\$5,000.00	

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34	09/18/2001		LOCAL CHECK			\$2,384.00	
35	09/26/2001		LOCAL CHECK			\$3,701.00	
36	10/03/2001		LOCAL CHECK			\$1,274.00	
37	01/29/2002		LOCAL CHECK			\$500.00	
38	02/06/2002		FEDERAL FUNDS DEPOSITED			\$2,600.00	
39	02/06/2002		FEDERAL FUNDS DEPOSITED			\$3,500.00	
TOTAL FOR DEPOSITS						\$72,124.72	
CASH TRANSFERRED IN							
40	06/22/2000		FM XY-98765			\$33,350.00	
41	11/16/2000		FM XY-98765			\$2,700.00	
42	11/16/2000		FM XY-98765			\$10,000.00	
TOTAL FOR CASH TRANSFERRED IN						\$46,050.00	
DIVIDENDS							
43	05/03/1999		FRONTIER CORP			\$25.00	DIVIDEND
44	05/27/1999		CITIGROUP INC			\$84.00	DIVIDEND
45	08/02/1999		FRONTIER CORP			\$25.00	DIVIDEND
46	08/27/1999		CITIGROUP INC			\$84.00	DIVIDEND
47	11/24/1999		CITIGROUP INC			\$84.00	DIVIDEND
48	12/17/1999		WALT DISNEY CO HOLDING CO			\$105.00	DIVIDEND
49	02/25/2000		CITIGROUP INC			\$96.00	DIVIDEND
50	08/25/2000		CITIGROUP INC			\$112.00	DIVIDEND
51	11/22/2000		CITIGROUP INC			\$112.00	DIVIDEND
52	02/23/2001		CITIGROUP INC			\$112.00	DIVIDEND
TOTAL FOR DIVIDENDS						\$839.00	
DEBITS TRANSFERRED OUT							
53	03/30/2000		DEBIT CASH BALANCE			\$50.00	
54	03/30/2000		DEBIT CASH BALANCE			\$58,270.25	
TOTAL FOR DEBITS TRANSFERRED OUT						\$58,320.25	
SECURITIES DELIVERED OUT							
55	03/30/2000	DELV	1000.000 3COM CORP			\$58,813.00	VALUED PER STMT TRANS
56	03/30/2000	DELV	800.000 AMERICA ONLINE INC			\$51,900.00	VALUED PER STMT TRANS
57	03/30/2000	DELV	500.000 CBS CORP			\$28,750.00	VALUED PER STMT TRANS

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58	03/30/2000	DELV	600.000 CITIGROUP INC			\$35,700.00	VALUED PER STMT TRANS
59	03/30/2000	DELV	1025.000 GLOBAL CROSSING LTD			\$44,395.82	VALUED PER STMT TRANS
60	03/30/2000	50.000 DELV SHRT	GLOBAL CROSSING LTD 200201 35 PUT		\$35,000.00		VALUED PER STMT TRANS
61	03/30/2000	DELV	3000.000 MCI WORLDCOM INC			\$127,689.00	VALUED PER STMT TRANS
62	03/30/2000	10.000 DELV SHRT	MCI WORLDCOM INC 200101 40 PUT 150 SHS		\$5,937.50		VALUED PER STMT TRANS
63	03/30/2000	10.000 DELV SHRT	MCI WORLDCOM INC 200101 46.625 PUT 150 SHS		\$9,125.00		VALUED PER STMT TRANS
64	03/30/2000	20.000 DELV SHRT	MCI WORLDCOM INC 200201 40 PUT 150 SHS		\$15,875.00		VALUED PER STMT TRANS
65	03/30/2000	20.000 DELV SHRT	MCI WORLDCOM INC 200201 43.375 PUT 150 SHS		\$19,500.00		VALUED PER STMT TRANS
66	03/30/2000	DELV	800.000 QUALCOMM INC			\$116,187.20	VALUED PER STMT TRANS
67	03/30/2000	DELV	3000.000 STAR TELECOMMUNICATIONS			\$17,952.00	VALUED PER STMT TRANS
68	03/30/2000	DELV	500.000 WALT DISNEY CO HOLDING CO			\$20,125.00	VALUED PER STMT TRANS
69	03/30/2000	DELV	2000.000 WORLDPORT COMMUNICATIONS INC			\$6,250.00	VALUED PER STMT TRANS
70	03/30/2000	DELV	3000.000 WORLDPORT COMMUNICATIONS INC			\$9,375.00	VALUED PER STMT TRANS
TOTAL FOR SECURITIES DELIVERED OUT						\$431,699.52	
CASH WITHDRAWN							
71	04/27/2000		CREDIT CASH BALANCE		\$406.57		
72	02/13/2002		CHECK XY-98765		\$3,000.00		
TOTAL FOR CASH WITHDRAWN						(\$3,406.57)	
CASH TRANSFERRED OUT							
73	01/05/2001		TO XY-98765		\$211.00		
TOTAL FOR CASH TRANSFERRED OUT						(\$211.00)	
INTEREST CHARGES							
74	03/22/1999		LOAN INTEREST CHARGED		\$8.56		
75	04/22/1999		LOAN INTEREST CHARGED		\$163.97		
76	05/24/1999		LOAN INTEREST CHARGED		\$476.19		
77	06/22/1999		LOAN INTEREST CHARGED		\$457.15		
78	07/22/1999		LOAN INTEREST CHARGED		\$604.07		
79	08/23/1999		LOAN INTEREST CHARGED		\$737.58		
80	09/22/1999		LOAN INTEREST CHARGED		\$785.33		
81	10/22/1999		LOAN INTEREST CHARGED		\$724.44		
82	11/22/1999		LOAN INTEREST CHARGED		\$753.98		
83	12/31/1999		LOAN INTEREST CHARGED		\$1,064.17		
84	01/24/2000		LOAN INTEREST CHARGED		\$500.95		

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85	02/20/2000		LOAN INTEREST CHARGED		\$661.25		
86	03/22/2000		LOAN INTEREST CHARGED		\$455.72		
87	04/24/2000		LOAN INTEREST CHARGED		\$129.93		
88	05/22/2000		LOAN INTEREST CHARGED		\$243.12		
89	06/22/2000		LOAN INTEREST CHARGED		\$540.91		
90	07/24/2000		LOAN INTEREST CHARGED		\$453.11		
91	08/22/2000		LOAN INTEREST CHARGED		\$474.19		
92	09/22/2000		LOAN INTEREST CHARGED		\$548.82		
93	10/23/2000		LOAN INTEREST CHARGED		\$889.51		
94	11/22/2000		LOAN INTEREST CHARGED		\$611.30		
95	05/22/2001		LOAN INTEREST CHARGED		\$41.33		
96	06/22/2001		LOAN INTEREST CHARGED		\$370.21		
97	07/23/2001		LOAN INTEREST CHARGED		\$225.17		
98	08/22/2001		LOAN INTEREST CHARGED		\$205.72		
99	09/24/2001		LOAN INTEREST CHARGED		\$144.46		
100	10/22/2001		LOAN INTEREST CHARGED		\$92.06		
101	11/23/2001		LOAN INTEREST CHARGED		\$84.96		
102	12/31/2001		LOAN INTEREST CHARGED		\$106.38		
103	01/22/2002		LOAN INTEREST CHARGED		\$55.03		
104	02/22/2002		LOAN INTEREST CHARGED		\$63.58		
105	03/22/2002		LOAN INTEREST CHARGED		\$44.12		
106	04/22/2002		LOAN INTEREST CHARGED		\$30.34		
TOTAL FOR INTEREST CHARGES						(\$12,747.61)	
DEBITS TRANSFERRED IN							
107	05/11/2000		DEBIT CASH BALANCE		\$81,671.91		
108	09/14/2000		DEBIT CASH BALANCE		\$50.00		
109	12/08/2000		FM XY-98765		\$21,016.05		
TOTAL FOR DEBITS TRANSFERRED IN						(\$102,737.96)	
MISCELLANEOUS CHARGES							
110	12/28/1999		RMA SERVICES FEE		\$85.00		
111	03/30/2000		ACCOUNT TRANSFER FEE		\$50.00		
112	05/25/2001		RMA SERVICES FEE		\$85.00		
TOTAL FOR MISCELLANEOUS CHARGES						(\$220.00)	

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MONEY MARKET FUND INCOME							
113	02/19/1999		RMA MONEY MKT PORTFOLIO			\$20.47	DIVIDEND
114	03/19/1999		RMA MONEY MKT PORTFOLIO			\$65.88	DIVIDEND
115	12/22/2000		RMA MONEY MKT PORTFOLIO			\$47.00	DIVIDEND
116	01/02/2001		RMA MONEY MKT PORTFOLIO			\$31.37	DIVIDEND
117	01/19/2001		RMA MONEY MKT PORTFOLIO			\$56.97	DIVIDEND
118	02/16/2001		RMA MONEY MKT PORTFOLIO			\$56.60	DIVIDEND
119	03/23/2001		RMA MONEY MKT PORTFOLIO			\$26.80	DIVIDEND
120	04/20/2001		RMA MONEY MKT PORTFOLIO			\$6.18	DIVIDEND
121	05/18/2001		RMA MONEY MKT PORTFOLIO			\$26.86	DIVIDEND
TOTAL FOR MONEY MARKET FUND INCOME						\$338.13	
SECURITIES IN ACCOUNT							
122	04/30/2002	IN ACCT	5000.000 MSH ENTERTAINMENT CORP	\$0.0100		\$50.00	VALUED PER 4/30/02 PORT
123	04/30/2002	IN ACCT	45.000 QUALCOMM INC	\$30.1600		\$1,357.20	VALUED PER 4/30/02 PORT
TOTAL FOR SECURITIES IN ACCOUNT						\$1,407.20	