

**Scott J. Moore, Damages Expert
Secure Financial Services, Inc.**

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Area of Expertise:

- Damage Analysis and Interpretation
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Education:

- University of Vermont, Burlington, Vermont June 1994
Bachelor of Arts in Psychology & Political Science
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Relevant Experience/Training:

- Successfully completed SFS Securities Damages curriculum, June 2003
- Presenter/Trainer at the 2004 SFS user conference in New York City.
- Damages Expert testifying on internal process, procedures and interpretation of all product reports for NASD and NYSE arbitrations.

Concentration in:

- Account theory
 - Concentration analysis
 - Commission analysis
 - Comprehending Profit & Loss work products
 - Data origination
 - Calculation and formulas used in securities analysis preparation
 - Data interpretation, presentation and techniques
 - Uncovering trading patterns and trends
 - Program capabilities
 - Report customization
 - Positioning supplemental reports for arbitration
 - Monthly statement analysis
 - Introduction and fluency in *Portfolio Perspective Interactive Edition*™
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FINRA Arbitration Expert Witness:

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|--------------------------------------|---------|-------------------------------------|---------|
| • Kessel v. Wachovia Securities | 02/2004 | • Mellon v UBS Financial Services | 09/2005 |
| • Hutson v. Morgan Stanley | 04/2004 | • Wehner v Morgan Stanley | 11/2005 |
| • Wright v. Morgan Stanley | 04/2004 | • Jahn v Smith Culver | 12/2005 |
| • Spinner v. UBS Financial Services | 04/2004 | • Chambers v Morgan Stanley | 01/2006 |
| • Douglas v. Morgan Stanley | 05/2004 | • Hoover v MH Meyerson | 05/2006 |
| • Cohen v. Morgan Stanley | 07/2004 | • O'Rorke v Charles Schwab | 04/2009 |
| • Schwartz v. UBS Financial Services | 09/2004 | • Grant v Morgan Keegan | 09/2009 |
| • Linker v. Morgan Stanley | 11/2004 | • Jacobs v UBS Financial Services | 06/2010 |
| • Wittkopf v. UBS Financial Services | 05/2005 | • Penner v Herbert J. Sims & Co Inc | 11/2010 |
| • Krohn v Prudential | 08/2005 | | |