

Cash Transactions Chronology

Account Number: 1234-5678-ABC

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name: JOHN Q INVESTOR

Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
1 09/02/2009			TRANSFER CASH BALANCE			\$145,436.58	
2 09/02/2009	15,000.0000	RECV	U S BANCORP NOTES B/E SEMI 4.500% 072910 DTD 072905 FC 012906	\$103.4590		\$15,518.85	BLOOMBERG CLOSE
3 09/02/2009	300.0000	RECV	ISHARES TRUST BARCLAYS TIPS BOND FUND	\$101.1400		\$30,342.00	BLOOMBERG CLOSE
4 09/08/2009			ISHARES TRUST BARCLAYS TIPS BOND FUND			\$276.12	DIVIDEND
5 09/08/2009			TRANSFER CASH BALANCE			\$1.16	
6 09/24/2009			TRANSFER CASH BALANCE			\$95.00	
7 09/30/2009			INSURED CASH ACCOUNT			\$4.93	DIVIDEND
8 10/02/2009			TRANSFER CASH BALANCE			\$0.16	
9 10/20/2009			INVESTMENT MANAGERS SERIES TRUST ZACKS MARKET NEUTRAL FD CL A			\$136.50	DIVIDEND; A/O 10/16/09
10 10/30/2009			INSURED CASH ACCOUNT			\$5.27	DIVIDEND
11 11/06/2009			ADVISORY FEE		\$799.84		
12 11/30/2009			INSURED CASH ACCOUNT			\$5.06	DIVIDEND
13 12/11/2009			FORUM FUNDS ABSOLUTE STRATEGIES FUND INTSTITUTIONAL SHARES			\$14.02	DIVIDEND STG
14 12/31/2009			INSURED CASH ACCOUNT			\$5.24	DIVIDEND
15 01/04/2010			FORUM FUNDS ABSOLUTE STRATEGIES FUND INTSTITUTIONAL SHARES			\$288.22	DIVIDEND; A/O 12/31/09
16 01/29/2010			INSURED CASH ACCOUNT			\$5.23	DIVIDEND
17 02/08/2010			ADVISORY FEE		\$479.86		
18 02/26/2010			INSURED CASH ACCOUNT			\$4.11	DIVIDEND
19 03/31/2010			INSURED CASH ACCOUNT			\$4.51	DIVIDEND
20 04/08/2010			INSURED CASH ACCOUNT			\$1.00	DIVIDEND
21 04/30/2010			INSURED CASH ACCOUNT			\$1.72	DIVIDEND
22 05/07/2010			ADVISORY FEE		\$476.47		
23 05/07/2010			INSURED CASH ACCOUNT			\$0.36	DIVIDEND
24 05/18/2010			NEW YORK COMMUNITY BANCORP INC			\$257.00	DIVIDEND
25 05/28/2010			INSURED CASH ACCOUNT			\$1.83	DIVIDEND
26 06/01/2010			WAL-MART STORES INC			\$85.00	DIVIDEND
27 06/03/2010			TJX COMPANIES INC NEW			\$61.65	DIVIDEND
28 06/30/2010			INSURED CASH ACCOUNT			\$4.54	DIVIDEND
29 07/30/2010			INSURED CASH ACCOUNT			\$6.02	DIVIDEND
30 08/06/2010			ADVISORY FEE		\$455.10		
31 08/31/2010			INSURED CASH ACCOUNT			\$6.05	DIVIDEND

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32	09/30/2010		INSURED CASH ACCOUNT			\$5.21	DIVIDEND
33	10/01/2010		PUTNAM PREMIER INCOME TRUST SBI			\$168.15	DIVIDEND
34	10/12/2010		ALTRIA GROUP INC			\$190.00	DIVIDEND
35	10/29/2010		INSURED CASH ACCOUNT			\$4.69	DIVIDEND
36	11/01/2010		PUTNAM PREMIER INCOME TRUST SBI			\$168.15	DIVIDEND
37	11/08/2010		ADVISORY FEE		\$477.64		
38	11/30/2010		INSURED CASH ACCOUNT			\$3.08	DIVIDEND
39	12/01/2010		PUTNAM PREMIER INCOME TRUST SBI			\$336.30	DIVIDEND
40	12/31/2010		INSURED CASH ACCOUNT			\$3.45	DIVIDEND
41	01/31/2011		INSURED CASH ACCOUNT			\$2.86	DIVIDEND
42	02/08/2011		ADVISORY FEE		\$453.06		
43	02/28/2011		INSURED CASH ACCOUNT			\$2.14	DIVIDEND
44	03/31/2011		INSURED CASH ACCOUNT			\$2.02	DIVIDEND
45	04/29/2011		INSURED CASH ACCOUNT			\$2.71	DIVIDEND
46	05/09/2011		ADVISORY FEE		\$446.10		
47	05/31/2011		INSURED CASH ACCOUNT			\$2.61	DIVIDEND
48	06/30/2011		INSURED CASH ACCOUNT			\$3.87	DIVIDEND
49	07/29/2011		INSURED CASH ACCOUNT			\$5.54	DIVIDEND
50	08/05/2011		ADVISORY FEE		\$455.12		
51	08/31/2011		INSURED CASH ACCOUNT			\$6.17	DIVIDEND
52	09/23/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$26.53	DIVIDEND; A/O 09/22/11
53	09/30/2011		INSURED CASH ACCOUNT			\$3.83	DIVIDEND
54	10/24/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$390.72	DIVIDEND; A/O 10/21/11
55	10/31/2011		INSURED CASH ACCOUNT			\$0.06	DIVIDEND
56	11/07/2011		ADVISORY FEE		\$331.62		
57	11/23/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$392.38	DIVIDEND; A/O 11/22/11
58	11/30/2011		INSURED CASH ACCOUNT			\$0.05	DIVIDEND
59	12/09/2011		PERMANENT PORTFOLIO FD INC			\$300.55	DIVIDEND; A/O 12/07/11
60	12/09/2011		PERMANENT PORTFOLIO FD INC			\$214.68	DIVIDEND LTG; A/O 12/07/11
61	12/23/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$1,702.00	DIVIDEND LTG; A/O 12/22/11
62	12/23/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$1,315.18	DIVIDEND STG; A/O 12/22/11
63	12/23/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$375.05	DIVIDEND; A/O 12/22/11

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64	12/30/2011		INSURED CASH ACCOUNT			\$0.06	DIVIDEND
65	01/23/2012		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$391.53	DIVIDEND; A/O 01/20/12
66	01/31/2012		INSURED CASH ACCOUNT			\$0.06	DIVIDEND
67	02/08/2012		ADVISORY FEE		\$342.37		
68	02/23/2012		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$398.29	DIVIDEND; A/O 02/22/12
69	02/29/2012		INSURED CASH ACCOUNT			\$0.13	DIVIDEND
70	03/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$383.29	DIVIDEND; A/O 03/22/12
71	03/30/2012		INSURED CASH ACCOUNT			\$0.23	DIVIDEND
72	04/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$379.00	DIVIDEND; A/O 04/20/12
73	04/30/2012		INSURED CASH ACCOUNT			\$0.23	DIVIDEND
74	05/08/2012		ADVISORY FEE		\$351.67		
75	05/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$379.45	DIVIDEND; A/O 05/22/12
76	05/31/2012		INSURED CASH ACCOUNT			\$0.23	DIVIDEND
77	06/25/2012		DELAWARE DIVERSIFIED INCOME CL A			\$389.92	DIVIDEND; A/O 06/22/12
78	06/29/2012		INSURED CASH ACCOUNT			\$0.22	DIVIDEND
79	07/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$386.08	DIVIDEND; A/O 07/20/12
80	07/31/2012		INSURED CASH ACCOUNT			\$0.24	DIVIDEND
81	08/08/2012		ADVISORY FEE		\$357.26		
82	08/16/2012		APPLE INC			\$60.95	DIVIDEND
83	08/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$398.36	DIVIDEND; A/O 08/22/12
84	08/31/2012		INSURED CASH ACCOUNT			\$0.22	DIVIDEND
85	09/24/2012		DELAWARE DIVERSIFIED INCOME CL A			\$382.69	DIVIDEND; A/O 09/21/12
86	09/28/2012		INSURED CASH ACCOUNT			\$0.22	DIVIDEND
87	10/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$376.52	DIVIDEND; A/O 10/22/12
88	10/31/2012		INSURED CASH ACCOUNT			\$0.22	DIVIDEND
89	11/08/2012		ADVISORY FEE		\$360.20		
90	11/15/2012		APPLE INC			\$60.95	DIVIDEND
91	11/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$376.78	DIVIDEND; A/O 11/21/12
92	11/30/2012		INSURED CASH ACCOUNT			\$0.21	DIVIDEND
93	12/07/2012		PERMANENT PORTFOLIO FD INC			\$261.51	DIVIDEND LTG; A/O 12/05/12
94	12/07/2012		PERMANENT PORTFOLIO FD INC			\$196.14	DIVIDEND; A/O 12/05/12
95	12/24/2012		DELAWARE DIVERSIFIED INCOME CL A			\$359.74	DIVIDEND

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96	12/24/2012		DELAWARE DIVERSIFIED INCOME CL A			\$507.69	DIVIDEND LTG
97	12/24/2012		DELAWARE DIVERSIFIED INCOME CL A			\$727.23	DIVIDEND STG
98	12/31/2012		INSURED CASH ACCOUNT			\$0.22	DIVIDEND
99	01/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$360.39	DIVIDEND; A/O 01/22/13
100	01/31/2013		INSURED CASH ACCOUNT			\$0.22	DIVIDEND
101	02/08/2013		ADVISORY FEE		\$355.46		
102	02/14/2013		APPLE INC			\$60.95	DIVIDEND
103	02/25/2013		DELAWARE DIVERSIFIED INCOME CL A			\$370.77	DIVIDEND; A/O 02/22/13
104	02/28/2013		INSURED CASH ACCOUNT			\$0.19	DIVIDEND
105	03/25/2013		DELAWARE DIVERSIFIED INCOME CL A			\$369.55	DIVIDEND; A/O 03/22/13
106	03/28/2013		INSURED CASH ACCOUNT			\$0.10	DIVIDEND
107	04/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$387.01	DIVIDEND; A/O 04/22/13
108	04/30/2013		INSURED CASH ACCOUNT			\$0.10	DIVIDEND
109	05/08/2013		ADVISORY FEE		\$357.23		
110	05/16/2013		APPLE INC			\$70.15	DIVIDEND
111	05/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$385.83	DIVIDEND; A/O 05/22/13
112	05/31/2013		INSURED CASH ACCOUNT			\$0.10	DIVIDEND
113	06/24/2013		DELAWARE DIVERSIFIED INCOME CL A			\$428.55	DIVIDEND; A/O 06/21/13
114	06/28/2013		INSURED CASH ACCOUNT			\$0.10	DIVIDEND
115	07/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$387.14	DIVIDEND; A/O 07/22/13
116	07/31/2013		INSURED CASH ACCOUNT			\$0.10	DIVIDEND
117	08/08/2013		ADVISORY FEE		\$344.05		
118	08/15/2013		APPLE INC			\$70.15	DIVIDEND
119	08/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$396.11	DIVIDEND; A/O 08/22/13
120	08/30/2013		INSURED CASH ACCOUNT			\$0.10	DIVIDEND
121	09/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$353.51	DIVIDEND; A/O 09/20/13
122	09/30/2013		INSURED CASH ACCOUNT			\$0.54	DIVIDEND
123	10/31/2013		INSURED CASH ACCOUNT			\$1.16	DIVIDEND
124	11/08/2013		ADVISORY FEE		\$346.30		
125	11/14/2013		APPLE INC			\$70.15	DIVIDEND
126	11/29/2013		INSURED CASH ACCOUNT			\$1.12	DIVIDEND
127	12/31/2013		INSURED CASH ACCOUNT			\$1.16	DIVIDEND

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128	01/02/2014		PERMANENT PORTFOLIO FD INC			\$183.97	DIVIDEND; A/O 12/30/13
129	01/02/2014		PERMANENT PORTFOLIO FD INC			\$3,201.11	DIVIDEND LTG; A/O 12/30/13
130	01/30/2014		TO 5124-8976		\$100,500.00		
131	01/31/2014		INSURED CASH ACCOUNT			\$1.10	DIVIDEND
132	02/10/2014		ADVISORY FEE		\$151.32		
133	02/10/2014		ACCT ADMIN CHARGE		\$10.00		
134	02/13/2014		APPLE INC			\$70.15	DIVIDEND
135	02/28/2014		INSURED CASH ACCOUNT			\$0.27	DIVIDEND
136	03/31/2014		INSURED CASH ACCOUNT			\$0.30	DIVIDEND
137	04/30/2014		INSURED CASH ACCOUNT			\$0.29	DIVIDEND
138	05/09/2014		ADVISORY FEE		\$161.23		
139	05/09/2014		ACCT ADMIN CHARGE		\$10.00		
140	05/15/2014		APPLE INC			\$75.67	DIVIDEND
141	05/30/2014		INSURED CASH ACCOUNT			\$0.30	DIVIDEND
142	06/30/2014		INSURED CASH ACCOUNT			\$0.29	DIVIDEND
143	07/31/2014		INSURED CASH ACCOUNT			\$0.30	DIVIDEND
144	08/11/2014		ADVISORY FEE		\$165.41		
145	08/11/2014		ACCT ADMIN CHARGE		\$10.00		
146	08/14/2014		APPLE INC			\$75.67	DIVIDEND
147	08/29/2014		INSURED CASH ACCOUNT			\$0.30	DIVIDEND
148	09/30/2014		INSURED CASH ACCOUNT			\$0.29	DIVIDEND
149	10/31/2014		INSURED CASH ACCOUNT			\$0.30	DIVIDEND
150	11/03/2014		INSURED CASH ACCOUNT			\$0.01	DIVIDEND
151	11/10/2014		ADVISORY FEE		\$166.33		
152	11/10/2014		ACCT ADMIN CHARGE		\$10.00		
153	11/13/2014		APPLE INC			\$75.67	DIVIDEND
154	11/28/2014		INSURED CASH ACCOUNT			\$0.28	DIVIDEND
155	12/12/2014		PERMANENT PORTFOLIO FD INC			\$219.91	DIVIDEND; A/O 12/10/14
156	12/12/2014		PERMANENT PORTFOLIO FD INC			\$2,361.99	DIVIDEND LTG; A/O 12/10/14
157	12/31/2014		INSURED CASH ACCOUNT			\$0.30	DIVIDEND
158	01/30/2015		INSURED CASH ACCOUNT			\$0.30	DIVIDEND
159	02/09/2015		ADVISORY FEE		\$170.08		

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160	02/09/2015		ACCT ADMIN CHARGE		\$10.00		
161	02/12/2015		APPLE INC			\$75.67	DIVIDEND
162	02/13/2015		REV ADVISORY FEE			\$145.24	ADJ
163	02/17/2015		TERMINATION FEE		\$95.00		
164	02/17/2015		INSURED CASH ACCOUNT			\$0.15	DIVIDEND
165	02/19/2015	DELV	879.0450 PERMANENT PORTFOLIO FD INC	\$40.4500	\$35,557.37		BLOOMBERG CLOSE
166	02/19/2015	DELV	161.0000 APPLE INC	\$128.4500	\$20,680.45		BLOOMBERG CLOSE
167	02/19/2015		TRANSFER CASH BALANCE		\$35,853.05		
168	02/23/2015		TRANSFER CASH BALANCE		\$145.28		
169	05/07/2015		FM 5124-8976			\$51,354.57	
170	05/11/2015		TRANSFER CASH BALANCE		\$51,354.57		