

INVESTOR V. BROKERAGE FIRM

1234-5678-ABC - JOHN Q INVESTOR

09/2009 Thru 05/2015

Confidential / Attorney-Client Privileged / Attorney Work Product

Total Investment Summary

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

CASH & SECURITIES IN: **\$242,748.32**

BEGINNING CASH BALANCE	\$0.00
SECURITIES OPEN IN ACCOUNT	\$0.00
SECURITIES RECEIVED IN	\$45,860.85
CASH DEPOSITS	\$145,532.90
CASH TRANSFERRED IN	\$51,354.57
DEBITS TRANSFERRED IN	\$0.00
CREDIT ADJUSTMENT	\$0.00

CASH & SECURITIES OUT: **\$244,090.72**

ENDING CASH BALANCE	\$0.00
SECURITIES DELIVERED OUT	\$56,237.82
CASH WITHDRAWN	\$87,352.90
CASH TRANSFERRED OUT	\$100,500.00
DEBITS TRANSFERRED OUT	\$0.00
TAXES WITHHELD	\$0.00
SECURITIES IN ACCOUNT	\$0.00
DEBIT ADJUSTMENT	\$0.00

Net Profit **\$1,342.40**

RETURN ON INVESTMENT: **\$9,229.46**

NET TRADING RESULT	(\$13,934.93)
DIVIDEND INCOME	\$23,164.39
INTEREST INCOME	\$0.00

MISC. INCOME & EXPENSE: **(\$7,887.06)**

MONEY MARKET FUND INCOME	\$116.42
MISCELLANEOUS CREDITS	\$0.00
INTEREST CHARGES	\$0.00
MISCELLANEOUS CHARGES	(\$8,003.48)

TOTAL TRADE COST:	\$1,188.16
TRADE COST to Market Value:	0.20%
TRADE COST to Equity Value:	0.13%
TURNOVER (Annualized) by Market Value:	1.41
by Equity Value:	0.92
OVERALL COST (Annualized) by Market Value:	1.57%
by Equity Value:	1.02%
AVERAGE MONTHLY EQUITY:	\$156,377.76
TOTAL INCOME:	\$23,280.81

Net Profit **\$1,342.40**

Annual Profit / (Loss)

Account Number: 1234-5678-ABC

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Account Name: JOHN Q INVESTOR

Date Range: 09/2009 Thru 05/2015

	Date Range	Beginning Balance	Cash & Securities In	Cash & Securities Out	Ending Balance	Gain /(Loss)
1	09/2009 - 12/2009	\$0.00	\$191,393.75	\$0.00	\$191,977.10	\$583.35
2	01/2010 - 12/2010	\$191,977.10	\$0.00	\$0.00	\$183,475.63	(\$8,501.47)
3	01/2011 - 12/2011	\$183,475.63	\$0.00	\$0.00	\$176,802.39	(\$6,673.24)
4	01/2012 - 12/2012	\$176,802.39	\$0.00	\$0.00	\$191,119.72	\$14,317.33
5	01/2013 - 12/2013	\$191,119.72	\$0.00	\$0.00	\$181,525.60	(\$9,594.12)
6	01/2014 - 12/2014	\$181,525.60	\$0.00	\$100,500.00	\$88,607.04	\$7,581.44
7	01/2015 - 05/2015	\$88,607.04	\$51,354.57	\$143,590.72	\$0.00	\$3,629.11
8	09/2009 - 05/2015	\$0.00	\$242,748.32	\$244,090.72	\$0.00	\$1,342.40

Monthly Profit / (Loss)

Account Number: 1234-5678-ABC

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Account Name: JOHN Q INVESTOR

Date Range: 09/2009 Thru 05/2015

Month End	Mkt Val Sec Recvd	Deposits	Cash Tfr In	Mkt Val Sec Delv	Cash Withdrawn	Cash Tfr Out	Cuml CR/DR	Month End Equity	Month P & L	Cuml P & L	Margin Interest	Income	Fees / Misc
1 09/30/2009	\$45,860.85	\$145,532.74					\$191,393.59	\$192,284.49	\$890.90	\$890.90		\$281.05	
2 10/31/2009		\$0.16					\$191,393.75	\$192,378.16	\$93.51	\$984.41		\$141.77	
3 11/30/2009							\$191,393.75	\$192,114.18	(\$263.98)	\$720.43		\$5.06	(\$799.84)
4 12/31/2009							\$191,393.75	\$191,977.10	(\$137.08)	\$583.35		\$19.26	
5 01/31/2010							\$191,393.75	\$191,944.30	(\$32.80)	\$550.55		\$293.45	
6 02/28/2010							\$191,393.75	\$190,592.51	(\$1,351.79)	(\$801.24)		\$4.11	(\$479.86)
7 03/31/2010							\$191,393.75	\$191,228.66	\$636.15	(\$165.09)		\$4.51	
8 04/30/2010							\$191,393.75	\$190,588.92	(\$639.74)	(\$804.83)		\$2.72	
9 05/31/2010							\$191,393.75	\$184,919.92	(\$5,669.00)	(\$6,473.83)		\$259.19	(\$476.47)
10 06/30/2010							\$191,393.75	\$182,688.73	(\$2,231.19)	(\$8,705.02)		\$151.19	
11 07/31/2010							\$191,393.75	\$182,038.88	(\$649.85)	(\$9,354.87)		\$6.02	
12 08/31/2010							\$191,393.75	\$182,280.82	\$241.94	(\$9,112.93)		\$6.05	(\$455.10)
13 09/30/2010							\$191,393.75	\$187,022.97	\$4,742.15	(\$4,370.78)		\$5.21	
14 10/31/2010							\$191,393.75	\$191,057.03	\$4,034.06	(\$336.72)		\$362.84	
15 11/30/2010							\$191,393.75	\$188,596.63	(\$2,460.40)	(\$2,797.12)		\$171.23	(\$477.64)
16 12/31/2010							\$191,393.75	\$183,475.63	(\$5,121.00)	(\$7,918.12)		\$339.75	
17 01/31/2011							\$191,393.75	\$181,225.23	(\$2,250.40)	(\$10,168.52)		\$2.86	
18 02/28/2011							\$191,393.75	\$180,922.67	(\$302.56)	(\$10,471.08)		\$2.14	(\$453.06)
19 03/31/2011							\$191,393.75	\$182,966.81	\$2,044.14	(\$8,426.94)		\$2.02	
20 04/30/2011							\$191,393.75	\$178,438.95	(\$4,527.86)	(\$12,954.80)		\$2.71	
21 05/31/2011							\$191,393.75	\$180,373.94	\$1,934.99	(\$11,019.81)		\$2.61	(\$446.10)
22 06/30/2011							\$191,393.75	\$179,511.47	(\$862.47)	(\$11,882.28)		\$3.87	
23 07/31/2011							\$191,393.75	\$182,049.94	\$2,538.47	(\$9,343.81)		\$5.54	
24 08/31/2011							\$191,393.75	\$176,761.89	(\$5,288.05)	(\$14,631.86)		\$6.17	(\$455.12)
25 09/30/2011							\$191,393.75	\$172,576.53	(\$4,185.36)	(\$18,817.22)		\$30.36	
26 10/31/2011							\$191,393.75	\$176,863.76	\$4,287.23	(\$14,529.99)		\$390.78	
27 11/30/2011							\$191,393.75	\$174,963.22	(\$1,900.54)	(\$16,430.53)		\$392.43	(\$331.62)
28 12/31/2011							\$191,393.75	\$176,802.39	\$1,839.17	(\$14,591.36)		\$3,907.52	
29 01/31/2012							\$191,393.75	\$182,597.93	\$5,795.54	(\$8,795.82)		\$391.59	
30 02/29/2012							\$191,393.75	\$186,211.90	\$3,613.97	(\$5,181.85)		\$398.42	(\$342.37)
31 03/31/2012							\$191,393.75	\$186,424.81	\$212.91	(\$4,968.94)		\$383.52	
32 04/30/2012							\$191,393.75	\$187,557.74	\$1,132.93	(\$3,836.01)		\$379.23	
33 05/31/2012							\$191,393.75	\$185,799.08	(\$1,758.66)	(\$5,594.67)		\$379.68	(\$351.67)

Monthly Profit / (Loss)

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Month End	Mkt Val Sec Recvd	Deposits	Cash Tfr In	Mkt Val Sec Delv	Cash Withdrawn	Cash Tfr Out	Cuml CR/DR	Month End Equity	Month P & L	Cuml P & L	Margin Interest	Income	Fees / Misc
34 06/30/2012							\$191,393.75	\$187,279.36	\$1,480.28	(\$4,114.39)		\$390.14	
35 07/31/2012							\$191,393.75	\$190,538.01	\$3,258.65	(\$855.74)		\$386.32	
36 08/31/2012							\$191,393.75	\$192,567.04	\$2,029.03	\$1,173.29		\$459.53	(\$357.26)
37 09/30/2012							\$191,393.75	\$194,001.77	\$1,434.73	\$2,608.02		\$382.91	
38 10/31/2012							\$191,393.75	\$192,105.95	(\$1,895.82)	\$712.20		\$376.74	
39 11/30/2012							\$191,393.75	\$192,048.81	(\$57.14)	\$655.06		\$437.94	(\$360.20)
40 12/31/2012							\$191,393.75	\$191,119.72	(\$929.09)	(\$274.03)		\$2,052.53	
41 01/31/2013							\$191,393.75	\$189,579.89	(\$1,539.83)	(\$1,813.86)		\$360.61	
42 02/28/2013							\$191,393.75	\$188,662.90	(\$916.99)	(\$2,730.85)		\$431.91	(\$355.46)
43 03/31/2013							\$191,393.75	\$189,371.40	\$708.50	(\$2,022.35)		\$369.65	
44 04/30/2013							\$191,393.75	\$190,523.73	\$1,152.33	(\$870.02)		\$387.11	
45 05/31/2013							\$191,393.75	\$186,824.51	(\$3,699.22)	(\$4,569.24)		\$456.08	(\$357.23)
46 06/30/2013							\$191,393.75	\$180,374.99	(\$6,449.52)	(\$11,018.76)		\$428.65	
47 07/31/2013							\$191,393.75	\$183,493.17	\$3,118.18	(\$7,900.58)		\$387.24	
48 08/31/2013							\$191,393.75	\$183,504.82	\$11.65	(\$7,888.93)		\$466.36	(\$344.05)
49 09/30/2013							\$191,393.75	\$183,090.64	(\$414.18)	(\$8,303.11)		\$354.05	
50 10/31/2013							\$191,393.75	\$184,693.25	\$1,602.61	(\$6,700.50)		\$1.16	
51 11/30/2013							\$191,393.75	\$184,655.85	(\$37.40)	(\$6,737.90)		\$71.27	(\$346.30)
52 12/31/2013							\$191,393.75	\$181,525.60	(\$3,130.25)	(\$9,868.15)		\$1.16	
53 01/31/2014						(\$100,500.00)	\$90,893.75	\$82,939.85	\$1,914.25	(\$7,953.90)		\$3,386.18	
54 02/28/2014							\$90,893.75	\$84,815.14	\$1,875.29	(\$6,078.61)		\$70.42	(\$161.32)
55 03/31/2014							\$90,893.75	\$84,535.67	(\$279.47)	(\$6,358.08)		\$0.30	
56 04/30/2014							\$90,893.75	\$85,991.07	\$1,455.40	(\$4,902.68)		\$0.29	
57 05/31/2014							\$90,893.75	\$86,736.13	\$745.06	(\$4,157.62)		\$75.97	(\$171.23)
58 06/30/2014							\$90,893.75	\$88,165.40	\$1,429.27	(\$2,728.35)		\$0.29	
59 07/31/2014							\$90,893.75	\$88,220.91	\$55.51	(\$2,672.84)		\$0.30	
60 08/31/2014							\$90,893.75	\$89,444.13	\$1,223.22	(\$1,449.62)		\$75.97	(\$175.41)
61 09/30/2014							\$90,893.75	\$87,696.61	(\$1,747.52)	(\$3,197.14)		\$0.29	
62 10/31/2014							\$90,893.75	\$88,709.40	\$1,012.79	(\$2,184.35)		\$0.30	
63 11/30/2014							\$90,893.75	\$90,295.46	\$1,586.06	(\$598.29)		\$75.96	(\$176.33)
64 12/31/2014							\$90,893.75	\$88,607.04	(\$1,688.42)	(\$2,286.71)		\$2,582.20	
65 01/31/2015							\$90,893.75	\$90,709.82	\$2,102.78	(\$183.93)		\$0.30	
66 02/28/2015				(\$56,237.82)	(\$35,998.33)		(\$1,342.40)	\$0.00	\$1,526.33	\$1,342.40		\$75.82	(\$129.84)

Monthly Profit / (Loss)

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Month End	Mkt Val Sec Recvd	Deposits	Cash Tfr In	Mkt Val Sec Delv	Cash Withdrawn	Cash Tfr Out	Cuml CR/DR	Month End Equity	Month P & L	Cuml P & L	Margin Interest	Income	Fees / Misc
67 03/31/2015			\$51,354.57		(\$51,354.57)		(\$1,342.40)	\$0.00	\$0.00	\$1,342.40			
68 04/30/2015							(\$1,342.40)	\$0.00	\$0.00	\$1,342.40			
69 05/31/2015							(\$1,342.40)	\$0.00	\$0.00	\$1,342.40			
	\$45,860.85	\$145,532.90	\$51,354.57	(\$56,237.82)	(\$87,352.90)	(\$100,500.00)			\$1,342.40	\$1,342.40	\$0.00	\$23,280.81	(\$8,003.48)

NOTE: Deposits include Beginning Cash Balance, Debits Transferred In and Credit Adjustments.
Cash Withdrawn includes Taxes Withheld, Debits Transferred Out and Debit Adjustments.

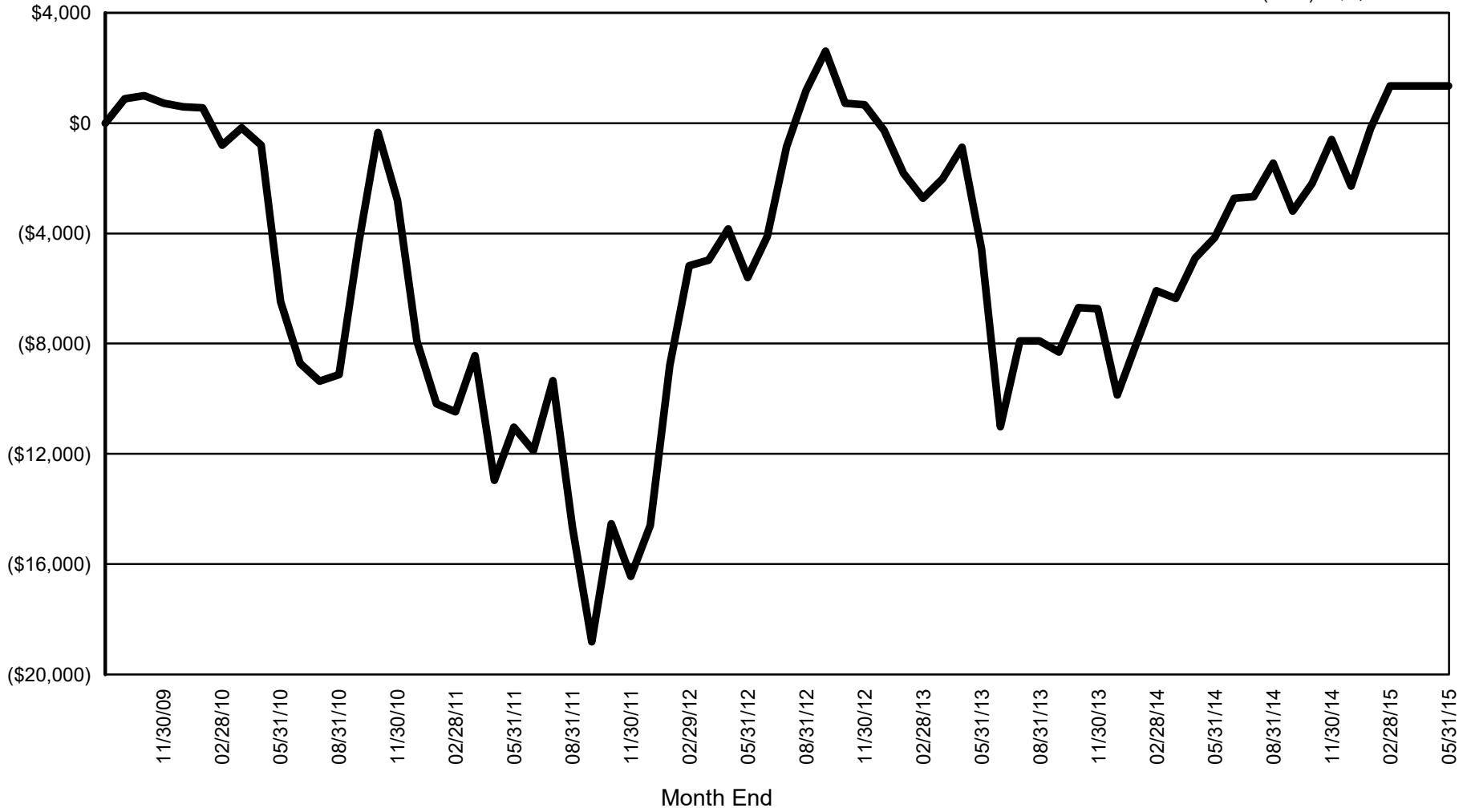
Cumulative Profit / (Loss)

Account Number: 1234-5678-ABC
Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
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P & L

Cumulative Profit/(Loss) = \$1,342.40

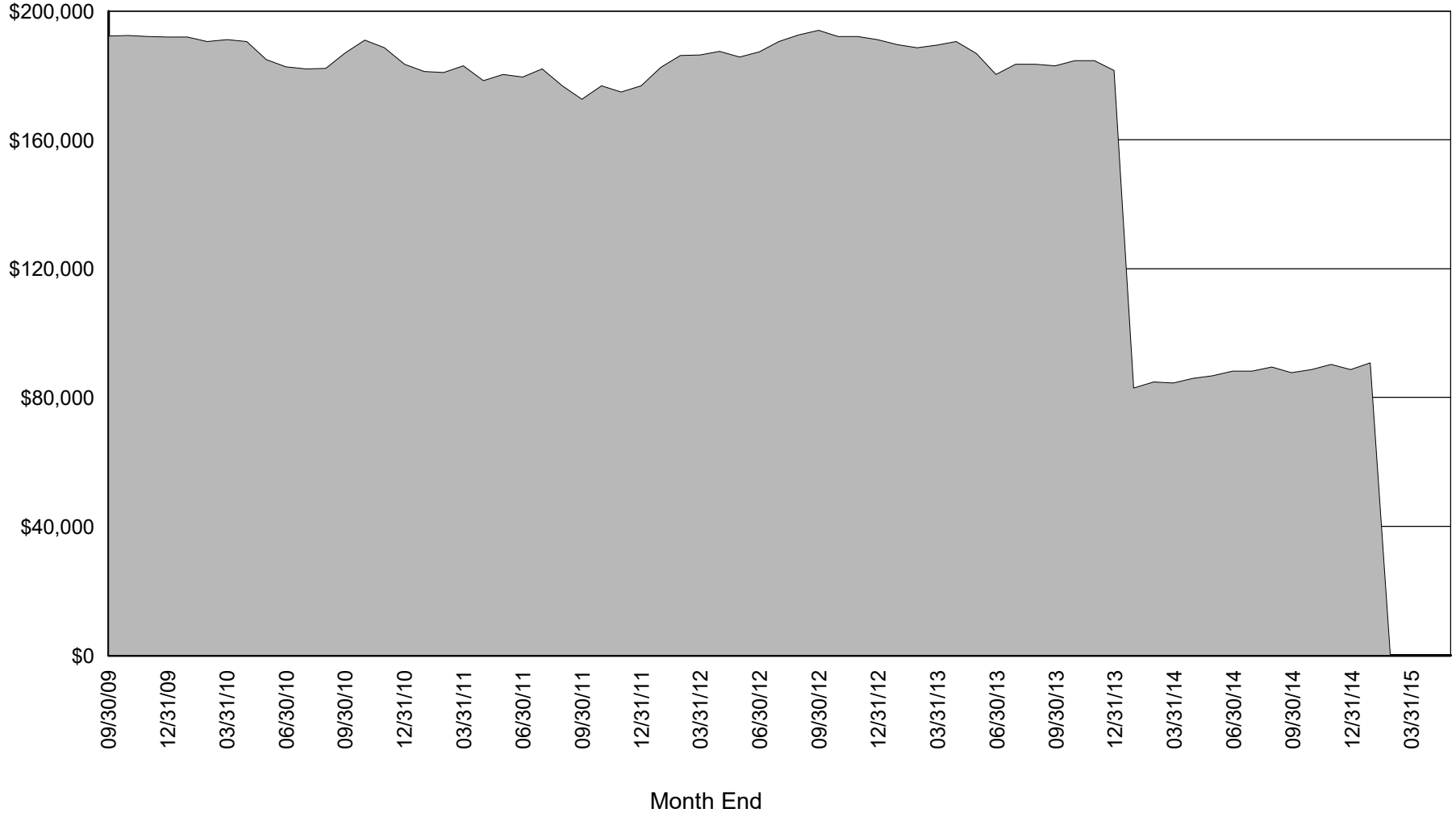


Month End Equity

Account Number: 1234-5678-ABC
Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
Date Range: 09/2009 Thru 05/2015

Account Value



Return On Investment - Summary

Account Number: 1234-5678-ABC
Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
Date Range: 09/2009 Thru 05/2015

Subtotals On:

	Trading Gain/(Loss)	Income	Net Return	% Return
EQUITIES	\$6,225.37	\$1,359.78	\$7,585.15	4.16%
CORPORATE BONDS	\$26.88	\$0.00	\$26.88	0.17%
OPEN END MUTUAL FUNDS	(\$13,664.84)	\$20,855.89	\$7,191.05	2.95%
CLOSED END MUTUAL FUNDS	(\$1,744.19)	\$672.60	(\$1,071.59)	-2.18%
EXCHANGE TRADED FUNDS	(\$4,778.15)	\$276.12	(\$4,502.03)	-1.12%
Totals	(\$13,934.93)	\$23,164.39	\$9,229.46	

Net Return on Investment	\$9,229.46
Money Market Funds	\$116.42
Miscellaneous Credits	\$0.00
Interest Charges	\$0.00
Miscellaneous Charges	(\$8,003.48)
Net Gain	\$1,342.40

Return on Investment - By Holding

Account Number: 1234-5678-ABC

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Date Range: 09/2009 Thru 05/2015

Description	Trading Gain/Loss	Income	Net Return	% Return
EQUITIES				
1 ALTRIA GROUP INC	\$1,394.78	\$190.00	\$1,584.78	13.9%
2 APPLE INC	\$23,699.72	\$766.13	\$24,465.85	144.2%
3 BAXTER INTERNATIONAL INC	\$482.24	\$0.00	\$482.24	3.8%
4 CISCO SYSTEMS INC	(\$2,013.53)	\$0.00	(\$2,013.53)	-6.3%
5 GOOGLE INC CL A	(\$2,265.54)	\$0.00	(\$2,265.54)	-16.6%
6 NEW YORK COMMUNITY BANCORP INC	(\$1,839.33)	\$257.00	(\$1,582.33)	-9.0%
7 OMNOVA SOLUTIONS INC	(\$6,124.17)	\$0.00	(\$6,124.17)	-50.1%
8 PRUDENTIAL FINANCIAL INC	(\$1,800.66)	\$0.00	(\$1,800.66)	-11.1%
9 TEKELEC INC	(\$4,226.29)	\$0.00	(\$4,226.29)	-26.1%
10 TJX COMPANIES INC NEW	\$290.88	\$61.65	\$352.53	1.9%
11 WAL-MART STORES INC	(\$1,372.73)	\$85.00	(\$1,287.73)	-8.2%
EQUITIES TOTAL	\$6,225.37	\$1,359.78	\$7,585.15	
CORPORATE BONDS				
12 U S BANCORP NOTES B/E SEMI 4.500% 072910 DTD 072905 FC 012906	\$26.88	\$0.00	\$26.88	0.2%
CORPORATE BONDS TOTAL	\$26.88	\$0.00	\$26.88	
OPEN END MUTUAL FUNDS				
13 DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	(\$8,612.16)	\$13,477.29	\$4,865.13	3.7%
14 FORUM FUNDS ABSOLUTE STRATEGIES FUND INTSTITUTIONAL SHARES	\$1,676.96	\$302.24	\$1,979.20	4.9%
15 INVESTMENT MANAGERS SERIES TRUST ZACKS MARKET NEUTRAL FD CL A	(\$320.65)	\$136.50	(\$184.15)	-0.7%
16 PERMANENT PORTFOLIO FD INC	(\$6,408.99)	\$6,939.86	\$530.87	1.3%
OPEN END MUTUAL FUNDS TOTAL	(\$13,664.84)	\$20,855.89	\$7,191.05	

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Description	Trading Gain/Loss	Income	Net Return	% Return
CLOSED END MUTUAL FUNDS				
17 MORGAN STANLEY CHINA A SHARES FUND INC	(\$2,069.05)	\$0.00	(\$2,069.05)	-17.3%
18 PUTNAM PREMIER INCOME TRUST SBI	\$324.86	\$672.60	\$997.46	2.7%
CLOSED END MUTUAL FUNDS TOTAL	(\$1,744.19)	\$672.60	(\$1,071.59)	
EXCHANGE TRADED FUNDS				
19 BARCLAYS BANK PLC IPATH DOW JONES AIG GRAINS SUB INDEX TOT RTN	\$601.96	\$0.00	\$601.96	2.2%
20 BARCLAYS BANK PLC IPATH DOW JONES UBS COMMODITY INDEX TOTAL RETURN	\$464.79	\$0.00	\$464.79	4.0%
21 BARCLAYS BANK PLC IPATH DOW JONES UBS SUGAR	(\$4,034.94)	\$0.00	(\$4,034.94)	-6.8%
22 BARCLAYS BANK PLC IPATH LKD GOLDMAN SACHS CRUDE OIL RETURN INDX	\$2,775.88	\$0.00	\$2,775.88	7.0%
23 BARCLAYS BANK PLC IPATH S&P 500 VIX SHORT TERM FUTURES ETN	(\$6,177.23)	\$0.00	(\$6,177.23)	-15.4%
24 ISHARES GOLD TRUST	\$975.98	\$0.00	\$975.98	7.6%
25 ISHARES MSCI GERMANY INDEX FUND	\$249.78	\$0.00	\$249.78	1.5%
26 ISHARES MSCI SWEDEN INDEX FUND	(\$12.72)	\$0.00	(\$12.72)	-0.1%
27 ISHARES TRUST BARCLAYS TIPS BOND FUND	\$371.21	\$276.12	\$647.33	2.1%
28 MARKET VECTORS ETF TRUST INDONESIA ETF	\$269.86	\$0.00	\$269.86	3.4%
29 PROSHARES TRUST PROSHARES SHORT S&P 500	(\$2,075.40)	\$0.00	(\$2,075.40)	-4.2%
30 PROSHARES TRUST PROSHARES ULTRA S&P 500	(\$747.33)	\$0.00	(\$747.33)	-5.9%
31 PROSHARES ULTRASHORT DOW JONES UBS COMMODITY	(\$1,148.78)	\$0.00	(\$1,148.78)	-6.8%
32 SELECT SECTOR SPDR TRUST SBI UTILITIES	\$310.73	\$0.00	\$310.73	2.0%
33 TEUCRIUM COMMODITY TRUST CORN FUND	\$3,398.06	\$0.00	\$3,398.06	6.6%
EXCHANGE TRADED FUNDS TOTAL	(\$4,778.15)	\$276.12	(\$4,502.03)	
TOTAL	(\$13,934.93)	\$23,164.39	\$9,229.46	

Return on Investment - Transaction Detail

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net Return	% Return
EQUITIES											
1	08/20/2010	500.0000	ALTRIA GROUP INC	\$22.7500	\$11,390.00			COMM CALC			
2	10/12/2010		ALTRIA GROUP INC			\$190.00		DIVIDEND			
3	11/02/2010	500.0000	ALTRIA GROUP INC	\$25.6000		\$12,784.78		COMM CALC			
		<u>500.0000</u>	<u>500.0000</u>						\$1,394.78	\$190.00	\$1,584.78 13.9%
4	04/06/2010	71.0000	APPLE INC	\$238.7794	\$16,968.34			COMM CALC			
5	06/01/2011		26.0000 APPLE INC	\$350.0000		\$9,084.82		COMM CALC			
6	02/10/2012		22.0000 APPLE INC	\$496.0000		\$10,902.79					
7	08/16/2012		APPLE INC			\$60.95		DIVIDEND			
8	11/15/2012		APPLE INC			\$60.95		DIVIDEND			
9	02/14/2013		APPLE INC			\$60.95		DIVIDEND			
10	05/16/2013		APPLE INC			\$70.15		DIVIDEND			
11	08/15/2013		APPLE INC			\$70.15		DIVIDEND			
12	11/14/2013		APPLE INC			\$70.15		DIVIDEND			
13	02/13/2014		APPLE INC			\$70.15		DIVIDEND			
14	05/15/2014		APPLE INC			\$75.67		DIVIDEND			
15	06/09/2014	138.0000	APPLE INC					STOCK DIVIDEND			
16	08/14/2014		APPLE INC			\$75.67		DIVIDEND			
17	11/13/2014		APPLE INC			\$75.67		DIVIDEND			
18	02/12/2015		APPLE INC			\$75.67		DIVIDEND			
19	02/19/2015	DELV 161.0000	APPLE INC	\$128.4500		\$20,680.45		BLOOMBERG CLOSE			
		<u>209.0000</u>	<u>209.0000</u>						\$23,699.72	\$766.13	\$24,465.85 144.2%
20	12/21/2010	250.0000	BAXTER INTERNATIONAL INC	\$50.3000	\$12,590.00			COMM CALC			
21	02/16/2011		250.0000 BAXTER INTERNATIONAL INC	\$52.3500		\$13,072.24		COMM CALC			
		<u>250.0000</u>	<u>250.0000</u>						\$482.24	\$0.00	\$482.24 3.8%
22	04/06/2010	627.0000	CISCO SYSTEMS INC	\$26.2675	\$16,484.72			COMM CALC			
23	05/11/2010		627.0000 CISCO SYSTEMS INC	\$26.3000		\$16,474.82		COMM CALC			

Return on Investment - Transaction Detail

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net Return	% Return
24	05/13/2010	600.0000	CISCO SYSTEMS INC	\$25.4000	\$15,255.00			COMM CALC			
25	07/07/2010		600.0000 CISCO SYSTEMS INC	\$22.1110		\$13,251.37		COMM CALC			
		<u>1,227.0000</u>	<u>1,227.0000</u>					(\$2,013.53)	\$0.00	(\$2,013.53)	-6.3%
26	04/06/2010	24.0000	GOOGLE INC CL A	\$568.3894	\$13,656.35			COMM CALC			
27	06/25/2010		24.0000 GOOGLE INC CL A	\$475.2506		\$11,390.81		COMM CALC			
		<u>24.0000</u>	<u>24.0000</u>					(\$2,265.54)	\$0.00	(\$2,265.54)	-16.6%
28	04/06/2010	500.0000	NEW YORK COMMUNITY BANCORP INC	\$17.0096	\$8,512.09						
29	04/06/2010	300.0000	NEW YORK COMMUNITY BANCORP INC	\$17.0100	\$5,107.38						
30	04/06/2010	228.0000	NEW YORK COMMUNITY BANCORP INC	\$17.0099	\$3,881.59						
31	05/18/2010		NEW YORK COMMUNITY BANCORP INC			\$257.00		DIVIDEND			
32	05/25/2010		1,028.0000 NEW YORK COMMUNITY BANCORP INC	\$15.2500		\$15,661.73		COMM CALC			
		<u>1,028.0000</u>	<u>1,028.0000</u>					(\$1,839.33)	\$257.00	(\$1,582.33)	-9.0%
33	12/13/2010	1,050.0000	OMNOVA SOLUTIONS INC	\$8.4000	\$8,835.00			COMM CALC			
34	01/24/2011	450.0000	OMNOVA SOLUTIONS INC	\$7.5100	\$3,394.50			COMM CALC			
35	09/15/2011		1,500.0000 OMNOVA SOLUTIONS INC	\$4.0803		\$6,105.33		COMM CALC			
		<u>1,500.0000</u>	<u>1,500.0000</u>					(\$6,124.17)	\$0.00	(\$6,124.17)	-50.1%
36	04/07/2010	100.0000	PRUDENTIAL FINANCIAL INC	\$63.3300	\$6,338.86						
37	04/07/2010	100.0000	PRUDENTIAL FINANCIAL INC	\$63.3200	\$6,337.86						
38	04/07/2010	56.0000	PRUDENTIAL FINANCIAL INC	\$63.3298	\$3,549.75						
39	06/07/2010		256.0000 PRUDENTIAL FINANCIAL INC	\$56.4104		\$14,425.81		COMM CALC			
		<u>256.0000</u>	<u>256.0000</u>					(\$1,800.66)	\$0.00	(\$1,800.66)	-11.1%
40	04/08/2010	853.0000	TEKELEC INC	\$18.9692	\$16,195.73			COMM CALC			
41	06/15/2010		853.0000 TEKELEC INC	\$14.0500		\$11,969.44		COMM CALC			
		<u>853.0000</u>	<u>853.0000</u>					(\$4,226.29)	\$0.00	(\$4,226.29)	-26.1%
42	04/07/2010	411.0000	TJX COMPANIES INC NEW	\$44.5185	\$18,312.10			COMM CALC			
43	05/17/2010		411.0000 TJX COMPANIES INC NEW	\$45.3000		\$18,602.98		COMM CALC			

Return on Investment - Transaction Detail

Account Number: 1234-5678-ABC
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Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net Return	% Return
44 06/03/2010			TJX COMPANIES INC NEW			\$61.65		DIVIDEND			
45 07/16/2010		411.0000	TJX COMPANIES INC NEW	\$45.3000		\$18,602.98		CXL; A/O 05/17/10			
46 07/16/2010	411.0000		CXL TJX COMPANIES INC NEW		\$18,602.98			CXL; A/O 05/17/10			
	<u>822.0000</u>	<u>822.0000</u>						\$290.88	\$61.65	\$352.53	1.9%
47 04/06/2010	281.0000		WAL-MART STORES INC	\$55.5275	\$15,618.23			COMM CALC			
48 06/01/2010			WAL-MART STORES INC			\$85.00		DIVIDEND			
49 06/01/2010		281.0000	WAL-MART STORES INC	\$50.7500		\$14,245.50		COMM CALC			
50 06/18/2010		281.0000	WAL-MART STORES INC	\$50.7500		\$14,245.50		CXL			
51 06/18/2010	281.0000		CXL WAL-MART STORES INC		\$14,245.50			CXL; A/O 06/01/10			
	<u>562.0000</u>	<u>562.0000</u>						(\$1,372.73)	\$85.00	(\$1,287.73)	-8.2%

Return on Investment - Transaction Detail

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
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Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net Return	% Return
CORPORATE BONDS											
52	09/02/2009	15,000.0000	RECV U S BANCORP NOTES B/E SEMI 4.500% 072910 DTD 072905 FC 012906	\$103.4590	\$15,518.85			BLOOMBERG CLOSE			
53	09/11/2009		U S BANCORP NOTES B/E SEMI 4.500% 072910 DTD 072905 FC 012906	\$103.3840		\$15,545.73					
		<u>15,000.0000</u>	<u>15,000.0000</u>					\$26.88	\$0.00	\$26.88	0.2%

Return on Investment - Transaction Detail

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net Return	% Return
OPEN END MUTUAL FUNDS											
54	09/15/2011	12,806.8300	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	\$9.3700	\$120,000.00						
55	09/23/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$26.53		DIVIDEND; A/O 09/22/11			
56	09/23/2011	2.8220	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	\$9.4000	\$26.53			REINVEST			
57	10/24/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$390.72		DIVIDEND; A/O 10/21/11			
58	10/24/2011	42.2400	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	\$9.2500	\$390.72			REINVEST			
59	11/23/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$392.38		DIVIDEND; A/O 11/22/11			
60	11/23/2011	42.0560	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	\$9.3300	\$392.38			REINVEST			
61	12/23/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$1,702.00		DIVIDEND LTG; A/O 12/22/11			
62	12/23/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$1,315.18		DIVIDEND STG; A/O 12/22/11			
63	12/23/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$375.05		DIVIDEND; A/O 12/22/11			
64	12/23/2011	186.6230	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	\$9.1200	\$1,702.00			REINVEST			
65	12/23/2011	144.2080	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	\$9.1200	\$1,315.18			REINVEST			
66	12/23/2011	41.1240	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	\$9.1200	\$375.05			REINVEST			
67	01/23/2012		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$391.53		DIVIDEND; A/O 01/20/12			
68	01/23/2012	42.8370	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	\$9.1400	\$391.53			REINVEST			
69	02/23/2012		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$398.29		DIVIDEND; A/O 02/22/12			
70	02/23/2012	43.1050	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	\$9.2400	\$398.29			REINVEST			
71	03/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$383.29		DIVIDEND; A/O 03/22/12			
72	03/23/2012	41.7980	DELAWARE DIVERSIFIED INCOME CL A	\$9.1700	\$383.29			REINVEST			
73	04/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$379.00		DIVIDEND; A/O 04/20/12			
74	04/23/2012	40.9290	DELAWARE DIVERSIFIED INCOME CL A	\$9.2600	\$379.00			REINVEST			
75	05/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$379.45		DIVIDEND; A/O 05/22/12			
76	05/23/2012	41.1110	DELAWARE DIVERSIFIED INCOME CL A	\$9.2300	\$379.45			REINVEST			
77	06/25/2012		DELAWARE DIVERSIFIED INCOME CL A			\$389.92		DIVIDEND; A/O 06/22/12			
78	06/25/2012	41.9720	DELAWARE DIVERSIFIED INCOME CL A	\$9.2900	\$389.92			REINVEST			
79	07/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$386.08		DIVIDEND; A/O 07/20/12			
80	07/23/2012	40.7260	DELAWARE DIVERSIFIED INCOME CL A	\$9.4800	\$386.08			REINVEST			
81	08/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$398.36		DIVIDEND; A/O 08/22/12			

Return on Investment - Transaction Detail

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net Return	% Return
82	08/23/2012	42.4240	DELAWARE DIVERSIFIED INCOME CL A	\$9.3900	\$398.36			REINVEST			
83	09/24/2012		DELAWARE DIVERSIFIED INCOME CL A			\$382.69		DIVIDEND; A/O 09/21/12			
84	09/24/2012	40.5390	DELAWARE DIVERSIFIED INCOME CL A	\$9.4400	\$382.69			REINVEST			
85	10/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$376.52		DIVIDEND; A/O 10/22/12			
86	10/23/2012	39.8430	DELAWARE DIVERSIFIED INCOME CL A	\$9.4500	\$376.52			REINVEST			
87	11/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$376.78		DIVIDEND; A/O 11/21/12			
88	11/23/2012	40.0400	DELAWARE DIVERSIFIED INCOME CL A	\$9.4100	\$376.78			REINVEST			
89	12/24/2012		DELAWARE DIVERSIFIED INCOME CL A			\$359.74		DIVIDEND			
90	12/24/2012		DELAWARE DIVERSIFIED INCOME CL A			\$507.69		DIVIDEND LTG			
91	12/24/2012		DELAWARE DIVERSIFIED INCOME CL A			\$727.23		DIVIDEND STG			
92	12/24/2012	77.8620	DELAWARE DIVERSIFIED INCOME CL A	\$9.3400	\$727.23			REINVEST			
93	12/24/2012	54.3570	DELAWARE DIVERSIFIED INCOME CL A	\$9.3400	\$507.69			REINVEST			
94	12/24/2012	38.5160	DELAWARE DIVERSIFIED INCOME CL A	\$9.3400	\$359.74			REINVEST			
95	01/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$360.39		DIVIDEND; A/O 01/22/13			
96	01/23/2013	38.5440	DELAWARE DIVERSIFIED INCOME CL A	\$9.3500	\$360.39			REINVEST			
97	02/25/2013		DELAWARE DIVERSIFIED INCOME CL A			\$370.77		DIVIDEND; A/O 02/22/13			
98	02/25/2013	39.9540	DELAWARE DIVERSIFIED INCOME CL A	\$9.2800	\$370.77			REINVEST			
99	03/25/2013		DELAWARE DIVERSIFIED INCOME CL A			\$369.55		DIVIDEND; A/O 03/22/13			
100	03/25/2013	39.8220	DELAWARE DIVERSIFIED INCOME CL A	\$9.2800	\$369.55			REINVEST			
101	04/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$387.01		DIVIDEND; A/O 04/22/13			
102	04/23/2013	41.3470	DELAWARE DIVERSIFIED INCOME CL A	\$9.3600	\$387.01			REINVEST			
103	05/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$385.83		DIVIDEND; A/O 05/22/13			
104	05/23/2013	41.5320	DELAWARE DIVERSIFIED INCOME CL A	\$9.2900	\$385.83			REINVEST			
105	06/24/2013		DELAWARE DIVERSIFIED INCOME CL A			\$428.55		DIVIDEND; A/O 06/21/13			
106	06/24/2013	48.2600	DELAWARE DIVERSIFIED INCOME CL A	\$8.8800	\$428.55			REINVEST			
107	07/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$387.14		DIVIDEND; A/O 07/22/13			
108	07/23/2013	43.2080	DELAWARE DIVERSIFIED INCOME CL A	\$8.9600	\$387.14			REINVEST			
109	08/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$396.11		DIVIDEND; A/O 08/22/13			
110	08/23/2013	45.2700	DELAWARE DIVERSIFIED INCOME CL A	\$8.7500	\$396.11			REINVEST			
111	09/13/2013	14,229.8990	DELAWARE DIVERSIFIED INCOME CL A	\$8.7500		\$124,511.62					

Return on Investment - Transaction Detail

Account Number: 1234-5678-ABC
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Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net Return	% Return
112	09/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$353.51		DIVIDEND; A/O 09/20/13			
		14,229.8990	14,229.8990					(\$8,612.16)	\$13,477.29	\$4,865.13	3.7%
113	09/11/2009	3,906.2500	FORUM FUNDS ABSOLUTE STRATEGIES FUND INTSTITUTIONAL SHARES	\$10.2400	\$40,004.50						
114	12/11/2009		FORUM FUNDS ABSOLUTE STRATEGIES FUND INTSTITUTIONAL SHARES			\$14.02		DIVIDEND STG			
115	12/11/2009	1.3330	FORUM FUNDS ABSOLUTE STRATEGIES FUND INTSTITUTIONAL SHARES	\$10.5200	\$14.02			REINVEST			
116	01/04/2010		FORUM FUNDS ABSOLUTE STRATEGIES FUND INTSTITUTIONAL SHARES			\$288.22		DIVIDEND; A/O 12/31/09			
117	01/04/2010	27.5810	FORUM FUNDS ABSOLUTE STRATEGIES FUND INTSTITUTIONAL SHARES	\$10.4500	\$288.22			REINVEST			
118	04/06/2010		3,935.1640 FORUM FUNDS ABSOLUTE STRATEGIES FUND INTSTITUTIONAL SHARES	\$10.6700		\$41,983.70					
		3,935.1640	3,935.1640					\$1,676.96	\$302.24	\$1,979.20	4.9%
119	09/11/2009	2,134.1460	INVESTMENT MANAGERS SERIES TRUST ZACKS MARKET NEUTRAL FD CL A	\$13.1200	\$28,000.00						
120	10/20/2009		INVESTMENT MANAGERS SERIES TRUST ZACKS MARKET NEUTRAL FD CL A			\$136.50		DIVIDEND; A/O 10/16/09			
121	10/20/2009	10.4840	INVESTMENT MANAGERS SERIES TRUST ZACKS MARKET NEUTRAL FD CL A	\$13.0200	\$136.50			REINVEST			
122	04/06/2010		2,144.6300 INVESTMENT MANAGERS SERIES TRUST ZACKS MARKET NEUTRAL FD CL A	\$12.9700		\$27,815.85					
		2,144.6300	2,144.6300					(\$320.65)	\$136.50	(\$184.15)	-0.7%
123	09/15/2011	715.6000	PERMANENT PORTFOLIO FD INC	\$48.9100	\$35,026.50			COMM CALC			
124	12/09/2011		PERMANENT PORTFOLIO FD INC			\$300.55		DIVIDEND; A/O 12/07/11			
125	12/09/2011		PERMANENT PORTFOLIO FD INC			\$214.68		DIVIDEND LTG; A/O 12/07/11			
126	12/09/2011	6.3150	PERMANENT PORTFOLIO FD INC	\$47.5900	\$300.55			REINVEST			
127	12/09/2011	4.5110	PERMANENT PORTFOLIO FD INC	\$47.5900	\$214.68			REINVEST			
128	12/07/2012		PERMANENT PORTFOLIO FD INC			\$261.51		DIVIDEND LTG; A/O 12/05/12			
129	12/07/2012		PERMANENT PORTFOLIO FD INC			\$196.14		DIVIDEND; A/O 12/05/12			
130	12/07/2012	5.4060	PERMANENT PORTFOLIO FD INC	\$48.3700	\$261.51			REINVEST			
131	12/07/2012	4.0550	PERMANENT PORTFOLIO FD INC	\$48.3700	\$196.14			REINVEST			
132	01/02/2014		PERMANENT PORTFOLIO FD INC			\$183.97		DIVIDEND; A/O 12/30/13			
133	01/02/2014		PERMANENT PORTFOLIO FD INC			\$3,201.11		DIVIDEND LTG; A/O 12/30/13			
134	01/02/2014	74.3230	PERMANENT PORTFOLIO FD INC	\$43.0700	\$3,201.11			REINVEST			

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Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net Return	% Return
135 01/02/2014	4.2710		PERMANENT PORTFOLIO FD INC	\$43.0700	\$183.97			REINVEST			
136 12/12/2014			PERMANENT PORTFOLIO FD INC			\$219.91		DIVIDEND; A/O 12/10/14			
137 12/12/2014			PERMANENT PORTFOLIO FD INC			\$2,361.99		DIVIDEND LTG; A/O 12/10/14			
138 12/12/2014	59.0650		PERMANENT PORTFOLIO FD INC	\$39.9900	\$2,361.99			REINVEST			
139 12/12/2014	5.4990		PERMANENT PORTFOLIO FD INC	\$39.9900	\$219.91			REINVEST			
140 02/19/2015	DELV	879.0450	PERMANENT PORTFOLIO FD INC	\$40.4500		\$35,557.37		BLOOMBERG CLOSE			
	879.0450	879.0450						(\$6,408.99)	\$6,939.86	\$530.87	1.3%

Return on Investment - Transaction Detail

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Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net Return	% Return
CLOSED END MUTUAL FUNDS											
141	05/03/2011	425.0000	MORGAN STANLEY CHINA A SHARES FUND INC	\$28.1493	\$11,978.45			COMM CALC			
142	08/19/2011		425.0000 MORGAN STANLEY CHINA A SHARES FUND INC	\$23.3520		\$9,909.40		COMM CALC			
		<u>425.0000</u>	<u>425.0000</u>					(\$2,069.05)	\$0.00	(\$2,069.05)	-17.3%
143	08/26/2010	2,850.0000	PUTNAM PREMIER INCOME TRUST SBI	\$6.7300	\$19,195.50			COMM CALC			
144	10/01/2010		PUTNAM PREMIER INCOME TRUST SBI			\$168.15		DIVIDEND			
145	11/01/2010		PUTNAM PREMIER INCOME TRUST SBI			\$168.15		DIVIDEND			
146	11/15/2010	2,850.0000	PUTNAM PREMIER INCOME TRUST SBI	\$6.3000	\$17,970.00			COMM CALC			
147	11/30/2010		5,700.0000 PUTNAM PREMIER INCOME TRUST SBI	\$6.5800		\$37,490.36		COMM CALC			
148	12/01/2010		PUTNAM PREMIER INCOME TRUST SBI			\$336.30		DIVIDEND			
		<u>5,700.0000</u>	<u>5,700.0000</u>					\$324.86	\$672.60	\$997.46	2.7%

Return on Investment - Transaction Detail

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Sld/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net Return	% Return
EXCHANGE TRADED FUNDS											
149	02/16/2011	250.0000	BARCLAYS BANK PLC IPATH DOW JONES AIG GRAINS SUB INDEX TOT RTN	\$55.0000	\$13,765.00			COMM CALC			
150	03/09/2011	250.0000	BARCLAYS BANK PLC IPATH DOW JONES AIG GRAINS SUB INDEX TOT RTN	\$52.9000	\$13,240.00			COMM CALC			
151	04/01/2011		250.0000 BARCLAYS BANK PLC IPATH DOW JONES AIG GRAINS SUB INDEX TOT RTN	\$55.0000		\$13,734.73		COMM CALC			
152	05/19/2011		250.0000 BARCLAYS BANK PLC IPATH DOW JONES AIG GRAINS SUB INDEX TOT RTN	\$55.5500		\$13,872.23		COMM CALC			
		500.0000	500.0000					\$601.96	\$0.00	\$601.96	2.2%
153	08/25/2010	300.0000	BARCLAYS BANK PLC IPATH DOW JONES UBS COMMODITY INDEX TOTAL RETURN	\$38.7500	\$11,640.00			COMM CALC			
154	09/03/2010		300.0000 BARCLAYS BANK PLC IPATH DOW JONES UBS COMMODITY INDEX TOTAL RETURN	\$40.4000		\$12,104.79		COMM CALC			
		300.0000	300.0000					\$464.79	\$0.00	\$464.79	4.0%
155	01/06/2011	250.0000	BARCLAYS BANK PLC IPATH DOW JONES UBS SUGAR	\$89.0000	\$22,265.00			COMM CALC			
156	01/11/2011		250.0000 BARCLAYS BANK PLC IPATH DOW JONES UBS SUGAR	\$95.8859		\$23,956.07		COMM CALC			
157	02/03/2011	200.0000	BARCLAYS BANK PLC IPATH DOW JONES UBS SUGAR	\$98.0000	\$19,615.00			COMM CALC			
158	03/11/2011	200.0000	BARCLAYS BANK PLC IPATH DOW JONES UBS SUGAR	\$88.0000	\$17,615.00			COMM CALC			
159	06/02/2011		200.0000 BARCLAYS BANK PLC IPATH DOW JONES UBS SUGAR	\$75.5000		\$15,084.71		COMM CALC			
160	06/23/2011		145.0000 BARCLAYS BANK PLC IPATH DOW JONES UBS SUGAR	\$82.1400		\$11,899.20		COMM CALC			
161	06/23/2011		55.0000 BARCLAYS BANK PLC IPATH DOW JONES UBS SUGAR	\$82.2600		\$4,520.08		COMM CALC			
		650.0000	650.0000					(\$4,034.94)	\$0.00	(\$4,034.94)	-6.8%
162	01/04/2011	700.0000	BARCLAYS BANK PLC IPATH LKD GOLDMAN SACHS CRUDE OIL RETURN INDX	\$25.0190	\$17,528.30			COMM CALC			
163	01/24/2011	350.0000	BARCLAYS BANK PLC IPATH LKD GOLDMAN SACHS CRUDE OIL RETURN INDX	\$24.3000	\$8,520.00			COMM CALC			
164	01/31/2011		1,050.0000 BARCLAYS BANK PLC IPATH LKD GOLDMAN SACHS CRUDE OIL RETURN INDX	\$25.4000		\$26,654.48		COMM CALC			
165	02/07/2011	550.0000	BARCLAYS BANK PLC IPATH LKD GOLDMAN SACHS CRUDE OIL RETURN INDX	\$24.2100	\$13,330.50			COMM CALC			
166	03/07/2011		550.0000 BARCLAYS BANK PLC IPATH LKD GOLDMAN SACHS CRUDE OIL RETURN INDX	\$28.2100		\$15,500.20		COMM CALC			
		1,600.0000	1,600.0000					\$2,775.88	\$0.00	\$2,775.88	7.0%
167	05/12/2010	450.0000	BARCLAYS BANK PLC IPATH S&P 500 VIX SHORT TERM FUTURES ETN	\$24.3479	\$10,971.56			COMM CALC			

Return on Investment - Transaction Detail

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net Return	% Return
168	05/20/2010		450.0000 BARCLAYS BANK PLC IPATH S&P 500 VIX SHORT TERM FUTURES ETN	\$32.4799		\$14,600.71		COMM CALC			
169	10/21/2010	100.0000	BARCLAYS BANK PLC IPATH S&P 500 VIX SHORT TERM FUTURES ETN	\$13.2800	\$1,343.00			COMM CALC			
170	10/22/2010	2,100.0000	BARCLAYS BANK PLC IPATH S&P 500 VIX SHORT TERM FUTURES ETN	\$13.2500	\$27,840.00			COMM CALC			
171	11/09/2010		2,200.0000 BARCLAYS BANK PLC IPATH S&P 500 VIX SHORT TERM FUTURES ETN					STOCK DIVIDEND; REV SPLIT			
172	11/09/2010	550.0000	BARCLAYS BANK PLC IPATH S&P 500 VIX SHORT TERM FUTURES ETN NEW					STOCK DIVIDEND; REV SPLIT			
173	01/28/2011		140.0000 BARCLAYS BANK PLC IPATH S&P 500 VIX SHORT TERM FUTURES ETN NEW	\$32.0182		\$4,467.46		COMM CALC			
174	02/23/2011		140.0000 BARCLAYS BANK PLC IPATH S&P 500 VIX SHORT TERM FUTURES ETN NEW	\$33.4009		\$4,661.04		COMM CALC			
175	03/16/2011		270.0000 BARCLAYS BANK PLC IPATH S&P 500 VIX SHORT TERM FUTURES ETN NEW	\$38.0123		\$10,248.12		COMM CALC			
		3,200.0000	3,200.0000					(\$6,177.23)	\$0.00	(\$6,177.23)	-15.4%
176	06/28/2011	875.0000	ISHARES GOLD TRUST	\$14.6500	\$12,833.75			COMM CALC			
177	07/26/2011		875.0000 ISHARES GOLD TRUST	\$15.8000		\$13,809.73		COMM CALC			
		875.0000	875.0000					\$975.98	\$0.00	\$975.98	7.6%
178	11/11/2010	700.0000	ISHARES MSCI GERMANY INDEX FUND	\$23.7999	\$16,674.93			COMM CALC			
179	12/03/2010		700.0000 ISHARES MSCI GERMANY INDEX FUND	\$24.2000		\$16,924.71		COMM CALC			
		700.0000	700.0000					\$249.78	\$0.00	\$249.78	1.5%
180	02/08/2011	350.0000	ISHARES MSCI SWEDEN INDEX FUND	\$31.6000	\$11,075.00			COMM CALC			
181	03/23/2011		350.0000 ISHARES MSCI SWEDEN INDEX FUND	\$31.6500		\$11,062.28		COMM CALC			
		350.0000	350.0000					(\$12.72)	\$0.00	(\$12.72)	-0.1%
182	09/02/2009	300.0000	RECV ISHARES TRUST BARCLAYS TIPS BOND FUND	\$101.1400	\$30,342.00			BLOOMBERG CLOSE			
183	09/08/2009		ISHARES TRUST BARCLAYS TIPS BOND FUND			\$276.12		DIVIDEND			
184	09/11/2009		300.0000 ISHARES TRUST BARCLAYS TIPS BOND FUND	\$102.4300		\$30,713.21		COMM CALC			
		300.0000	300.0000					\$371.21	\$276.12	\$647.33	2.1%
185	01/11/2011	100.0000	MARKET VECTORS ETF TRUST INDONESIA ETF	\$79.0000	\$7,915.00			COMM CALC			
186	01/12/2011		100.0000 MARKET VECTORS ETF TRUST INDONESIA ETF	\$82.0000		\$8,184.86		COMM CALC			
		100.0000	100.0000					\$269.86	\$0.00	\$269.86	3.4%

Return on Investment - Transaction Detail

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net Return	% Return		
187	08/18/2010	300.0000	PROSHARES TRUST PROSHARES SHORT S&P 500	\$51.2000	\$15,375.00			COMM CALC					
188	08/24/2010		300.0000 PROSHARES TRUST PROSHARES SHORT S&P 500	\$53.3000		\$15,974.72		COMM CALC					
189	11/04/2010	300.0000	PROSHARES TRUST PROSHARES SHORT S&P 500	\$45.7400	\$13,737.00			COMM CALC					
190	11/24/2010	450.0000	PROSHARES TRUST PROSHARES SHORT S&P 500	\$46.3500	\$20,872.50			COMM CALC					
191	06/23/2011		750.0000 PROSHARES TRUST PROSHARES SHORT S&P 500	\$42.6000		\$31,934.38		COMM CALC					
		1,050.0000	1,050.0000							(\$2,075.40)	\$0.00	(\$2,075.40)	-4.2%
192	05/20/2010	350.0000	PROSHARES TRUST PROSHARES ULTRA S&P 500	\$36.0489	\$12,632.12			COMM CALC					
193	05/25/2010		350.0000 PROSHARES TRUST PROSHARES ULTRA S&P 500	\$34.0000		\$11,884.79		COMM CALC					
		350.0000	350.0000							(\$747.33)	\$0.00	(\$747.33)	-5.9%
194	01/29/2010	1,000.0000	PROSHARES ULTRASHORT DOW JONES UBS COMMODITY	\$16.9400	\$16,955.00			COMM CALC					
195	08/24/2010		500.0000 PROSHARES ULTRASHORT DOW JONES UBS COMMODITY	\$15.8200		\$7,902.36							
196	08/24/2010		500.0000 PROSHARES ULTRASHORT DOW JONES UBS COMMODITY	\$15.8230		\$7,903.86							
		1,000.0000	1,000.0000							(\$1,148.78)	\$0.00	(\$1,148.78)	-6.8%
197	09/17/2010	500.0000	SELECT SECTOR SPDR TRUST SBI UTILITIES	\$30.8000	\$15,415.00			COMM CALC					
198	11/15/2010		500.0000 SELECT SECTOR SPDR TRUST SBI UTILITIES	\$31.4820		\$15,725.73		COMM CALC					
		500.0000	500.0000							\$310.73	\$0.00	\$310.73	2.0%
199	07/26/2010	550.0000	TEUCRIUM COMMODITY TRUST CORN FUND	\$26.1700	\$14,408.50			COMM CALC					
200	07/30/2010		550.0000 TEUCRIUM COMMODITY TRUST CORN FUND	\$27.5100		\$15,115.24		COMM CALC					
201	09/30/2010	600.0000	TEUCRIUM COMMODITY TRUST CORN FUND	\$31.8300	\$19,113.00			COMM CALC					
202	10/08/2010		600.0000 TEUCRIUM COMMODITY TRUST CORN FUND	\$35.5000		\$21,284.64		COMM CALC					
203	11/12/2010	500.0000	TEUCRIUM COMMODITY TRUST CORN FUND	\$36.0000	\$18,015.00			COMM CALC					
204	12/17/2010		500.0000 TEUCRIUM COMMODITY TRUST CORN FUND	\$37.1000		\$18,534.68		COMM CALC					
		1,650.0000	1,650.0000							\$3,398.06	\$0.00	\$3,398.06	6.6%

Note: S = Solicited; U = Unsolicited

Cash Transactions

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
SECURITIES RECEIVED IN							
1	09/02/2009	300.0000	RECV ISHARES TRUST BARCLAYS TIPS BOND FUND	\$101.1400		\$30,342.00	BLOOMBERG CLOSE
2	09/02/2009	15,000.0000	RECV U S BANCORP NOTES B/E SEMI 4.500% 072910 DTD 072905 FC 012906	\$103.4590		\$15,518.85	BLOOMBERG CLOSE
TOTAL FOR SECURITIES RECEIVED IN						\$45,860.85	
DEPOSITS							
3	09/02/2009		TRANSFER CASH BALANCE			\$145,436.58	
4	09/08/2009		TRANSFER CASH BALANCE			\$1.16	
5	09/24/2009		TRANSFER CASH BALANCE			\$95.00	
6	10/02/2009		TRANSFER CASH BALANCE			\$0.16	
TOTAL FOR DEPOSITS						\$145,532.90	
CASH TRANSFERRED IN							
7	05/07/2015		FM 5124-8976			\$51,354.57	
TOTAL FOR CASH TRANSFERRED IN						\$51,354.57	
DIVIDENDS							
8	09/08/2009		ISHARES TRUST BARCLAYS TIPS BOND FUND			\$276.12	DIVIDEND
9	10/20/2009		INVESTMENT MANAGERS SERIES TRUST ZACKS MARKET NEUTRAL FD CL A			\$136.50	DIVIDEND; A/O 10/16/09
10	12/11/2009		FORUM FUNDS ABSOLUTE STRATEGIES FUND INTSTITUTIONAL SHARES			\$14.02	DIVIDEND STG
11	01/04/2010		FORUM FUNDS ABSOLUTE STRATEGIES FUND INTSTITUTIONAL SHARES			\$288.22	DIVIDEND; A/O 12/31/09
12	05/18/2010		NEW YORK COMMUNITY BANCORP INC			\$257.00	DIVIDEND
13	06/01/2010		WAL-MART STORES INC			\$85.00	DIVIDEND
14	06/03/2010		TJX COMPANIES INC NEW			\$61.65	DIVIDEND
15	10/01/2010		PUTNAM PREMIER INCOME TRUST SBI			\$168.15	DIVIDEND
16	10/12/2010		ALTRIA GROUP INC			\$190.00	DIVIDEND
17	11/01/2010		PUTNAM PREMIER INCOME TRUST SBI			\$168.15	DIVIDEND
18	12/01/2010		PUTNAM PREMIER INCOME TRUST SBI			\$336.30	DIVIDEND
19	09/23/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$26.53	DIVIDEND; A/O 09/22/11
20	10/24/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$390.72	DIVIDEND; A/O 10/21/11
21	11/23/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$392.38	DIVIDEND; A/O 11/22/11
22	12/09/2011		PERMANENT PORTFOLIO FD INC			\$214.68	DIVIDEND LTG; A/O 12/07/11
23	12/09/2011		PERMANENT PORTFOLIO FD INC			\$300.55	DIVIDEND; A/O 12/07/11

Cash Transactions

Account Number: 1234-5678-ABC

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name: JOHN Q INVESTOR

Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
24	12/23/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$375.05	DIVIDEND; A/O 12/22/11
25	12/23/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$1,315.18	DIVIDEND STG; A/O 12/22/11
26	12/23/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$1,702.00	DIVIDEND LTG; A/O 12/22/11
27	01/23/2012		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$391.53	DIVIDEND; A/O 01/20/12
28	02/23/2012		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$398.29	DIVIDEND; A/O 02/22/12
29	03/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$383.29	DIVIDEND; A/O 03/22/12
30	04/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$379.00	DIVIDEND; A/O 04/20/12
31	05/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$379.45	DIVIDEND; A/O 05/22/12
32	06/25/2012		DELAWARE DIVERSIFIED INCOME CL A			\$389.92	DIVIDEND; A/O 06/22/12
33	07/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$386.08	DIVIDEND; A/O 07/20/12
34	08/16/2012		APPLE INC			\$60.95	DIVIDEND
35	08/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$398.36	DIVIDEND; A/O 08/22/12
36	09/24/2012		DELAWARE DIVERSIFIED INCOME CL A			\$382.69	DIVIDEND; A/O 09/21/12
37	10/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$376.52	DIVIDEND; A/O 10/22/12
38	11/15/2012		APPLE INC			\$60.95	DIVIDEND
39	11/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$376.78	DIVIDEND; A/O 11/21/12
40	12/07/2012		PERMANENT PORTFOLIO FD INC			\$196.14	DIVIDEND; A/O 12/05/12
41	12/07/2012		PERMANENT PORTFOLIO FD INC			\$261.51	DIVIDEND LTG; A/O 12/05/12
42	12/24/2012		DELAWARE DIVERSIFIED INCOME CL A			\$359.74	DIVIDEND
43	12/24/2012		DELAWARE DIVERSIFIED INCOME CL A			\$507.69	DIVIDEND LTG
44	12/24/2012		DELAWARE DIVERSIFIED INCOME CL A			\$727.23	DIVIDEND STG
45	01/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$360.39	DIVIDEND; A/O 01/22/13
46	02/14/2013		APPLE INC			\$60.95	DIVIDEND
47	02/25/2013		DELAWARE DIVERSIFIED INCOME CL A			\$370.77	DIVIDEND; A/O 02/22/13
48	03/25/2013		DELAWARE DIVERSIFIED INCOME CL A			\$369.55	DIVIDEND; A/O 03/22/13
49	04/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$387.01	DIVIDEND; A/O 04/22/13
50	05/16/2013		APPLE INC			\$70.15	DIVIDEND
51	05/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$385.83	DIVIDEND; A/O 05/22/13
52	06/24/2013		DELAWARE DIVERSIFIED INCOME CL A			\$428.55	DIVIDEND; A/O 06/21/13
53	07/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$387.14	DIVIDEND; A/O 07/22/13
54	08/15/2013		APPLE INC			\$70.15	DIVIDEND
55	08/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$396.11	DIVIDEND; A/O 08/22/13
56	09/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$353.51	DIVIDEND; A/O 09/20/13
57	11/14/2013		APPLE INC			\$70.15	DIVIDEND
58	01/02/2014		PERMANENT PORTFOLIO FD INC			\$183.97	DIVIDEND; A/O 12/30/13
59	01/02/2014		PERMANENT PORTFOLIO FD INC			\$3,201.11	DIVIDEND LTG; A/O 12/30/13
60	02/13/2014		APPLE INC			\$70.15	DIVIDEND

Cash Transactions

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
61	05/15/2014		APPLE INC			\$75.67	DIVIDEND
62	08/14/2014		APPLE INC			\$75.67	DIVIDEND
63	11/13/2014		APPLE INC			\$75.67	DIVIDEND
64	12/12/2014		PERMANENT PORTFOLIO FD INC			\$219.91	DIVIDEND; A/O 12/10/14
65	12/12/2014		PERMANENT PORTFOLIO FD INC			\$2,361.99	DIVIDEND LTG; A/O 12/10/14
66	02/12/2015		APPLE INC			\$75.67	DIVIDEND
TOTAL FOR DIVIDENDS						\$23,164.39	
MONEY MARKET FUND INCOME							
67	09/30/2009		INSURED CASH ACCOUNT			\$4.93	DIVIDEND
68	10/30/2009		INSURED CASH ACCOUNT			\$5.27	DIVIDEND
69	11/30/2009		INSURED CASH ACCOUNT			\$5.06	DIVIDEND
70	12/31/2009		INSURED CASH ACCOUNT			\$5.24	DIVIDEND
71	01/29/2010		INSURED CASH ACCOUNT			\$5.23	DIVIDEND
72	02/26/2010		INSURED CASH ACCOUNT			\$4.11	DIVIDEND
73	03/31/2010		INSURED CASH ACCOUNT			\$4.51	DIVIDEND
74	04/08/2010		INSURED CASH ACCOUNT			\$1.00	DIVIDEND
75	04/30/2010		INSURED CASH ACCOUNT			\$1.72	DIVIDEND
76	05/07/2010		INSURED CASH ACCOUNT			\$0.36	DIVIDEND
77	05/28/2010		INSURED CASH ACCOUNT			\$1.83	DIVIDEND
78	06/30/2010		INSURED CASH ACCOUNT			\$4.54	DIVIDEND
79	07/30/2010		INSURED CASH ACCOUNT			\$6.02	DIVIDEND
80	08/31/2010		INSURED CASH ACCOUNT			\$6.05	DIVIDEND
81	09/30/2010		INSURED CASH ACCOUNT			\$5.21	DIVIDEND
82	10/29/2010		INSURED CASH ACCOUNT			\$4.69	DIVIDEND
83	11/30/2010		INSURED CASH ACCOUNT			\$3.08	DIVIDEND
84	12/31/2010		INSURED CASH ACCOUNT			\$3.45	DIVIDEND
85	01/31/2011		INSURED CASH ACCOUNT			\$2.86	DIVIDEND
86	02/28/2011		INSURED CASH ACCOUNT			\$2.14	DIVIDEND
87	03/31/2011		INSURED CASH ACCOUNT			\$2.02	DIVIDEND
88	04/29/2011		INSURED CASH ACCOUNT			\$2.71	DIVIDEND
89	05/31/2011		INSURED CASH ACCOUNT			\$2.61	DIVIDEND
90	06/30/2011		INSURED CASH ACCOUNT			\$3.87	DIVIDEND
91	07/29/2011		INSURED CASH ACCOUNT			\$5.54	DIVIDEND
92	08/31/2011		INSURED CASH ACCOUNT			\$6.17	DIVIDEND
93	09/30/2011		INSURED CASH ACCOUNT			\$3.83	DIVIDEND

Cash Transactions

Account Number: 1234-5678-ABC

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name: JOHN Q INVESTOR

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Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
94	10/31/2011		INSURED CASH ACCOUNT			\$0.06	DIVIDEND
95	11/30/2011		INSURED CASH ACCOUNT			\$0.05	DIVIDEND
96	12/30/2011		INSURED CASH ACCOUNT			\$0.06	DIVIDEND
97	01/31/2012		INSURED CASH ACCOUNT			\$0.06	DIVIDEND
98	02/29/2012		INSURED CASH ACCOUNT			\$0.13	DIVIDEND
99	03/30/2012		INSURED CASH ACCOUNT			\$0.23	DIVIDEND
100	04/30/2012		INSURED CASH ACCOUNT			\$0.23	DIVIDEND
101	05/31/2012		INSURED CASH ACCOUNT			\$0.23	DIVIDEND
102	06/29/2012		INSURED CASH ACCOUNT			\$0.22	DIVIDEND
103	07/31/2012		INSURED CASH ACCOUNT			\$0.24	DIVIDEND
104	08/31/2012		INSURED CASH ACCOUNT			\$0.22	DIVIDEND
105	09/28/2012		INSURED CASH ACCOUNT			\$0.22	DIVIDEND
106	10/31/2012		INSURED CASH ACCOUNT			\$0.22	DIVIDEND
107	11/30/2012		INSURED CASH ACCOUNT			\$0.21	DIVIDEND
108	12/31/2012		INSURED CASH ACCOUNT			\$0.22	DIVIDEND
109	01/31/2013		INSURED CASH ACCOUNT			\$0.22	DIVIDEND
110	02/28/2013		INSURED CASH ACCOUNT			\$0.19	DIVIDEND
111	03/28/2013		INSURED CASH ACCOUNT			\$0.10	DIVIDEND
112	04/30/2013		INSURED CASH ACCOUNT			\$0.10	DIVIDEND
113	05/31/2013		INSURED CASH ACCOUNT			\$0.10	DIVIDEND
114	06/28/2013		INSURED CASH ACCOUNT			\$0.10	DIVIDEND
115	07/31/2013		INSURED CASH ACCOUNT			\$0.10	DIVIDEND
116	08/30/2013		INSURED CASH ACCOUNT			\$0.10	DIVIDEND
117	09/30/2013		INSURED CASH ACCOUNT			\$0.54	DIVIDEND
118	10/31/2013		INSURED CASH ACCOUNT			\$1.16	DIVIDEND
119	11/29/2013		INSURED CASH ACCOUNT			\$1.12	DIVIDEND
120	12/31/2013		INSURED CASH ACCOUNT			\$1.16	DIVIDEND
121	01/31/2014		INSURED CASH ACCOUNT			\$1.10	DIVIDEND
122	02/28/2014		INSURED CASH ACCOUNT			\$0.27	DIVIDEND
123	03/31/2014		INSURED CASH ACCOUNT			\$0.30	DIVIDEND
124	04/30/2014		INSURED CASH ACCOUNT			\$0.29	DIVIDEND
125	05/30/2014		INSURED CASH ACCOUNT			\$0.30	DIVIDEND
126	06/30/2014		INSURED CASH ACCOUNT			\$0.29	DIVIDEND
127	07/31/2014		INSURED CASH ACCOUNT			\$0.30	DIVIDEND
128	08/29/2014		INSURED CASH ACCOUNT			\$0.30	DIVIDEND
129	09/30/2014		INSURED CASH ACCOUNT			\$0.29	DIVIDEND
130	10/31/2014		INSURED CASH ACCOUNT			\$0.30	DIVIDEND

Cash Transactions

Account Number: 1234-5678-ABC
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Case Name: INVESTOR V. BROKERAGE FIRM
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Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
131	11/03/2014		INSURED CASH ACCOUNT			\$0.01	DIVIDEND
132	11/28/2014		INSURED CASH ACCOUNT			\$0.28	DIVIDEND
133	12/31/2014		INSURED CASH ACCOUNT			\$0.30	DIVIDEND
134	01/30/2015		INSURED CASH ACCOUNT			\$0.30	DIVIDEND
135	02/17/2015		INSURED CASH ACCOUNT			\$0.15	DIVIDEND
TOTAL FOR MONEY MARKET FUND INCOME						\$116.42	
MISCELLANEOUS CHARGES							
136	11/06/2009		ADVISORY FEE		\$799.84		
137	02/08/2010		ADVISORY FEE		\$479.86		
138	05/07/2010		ADVISORY FEE		\$476.47		
139	08/06/2010		ADVISORY FEE		\$455.10		
140	11/08/2010		ADVISORY FEE		\$477.64		
141	02/08/2011		ADVISORY FEE		\$453.06		
142	05/09/2011		ADVISORY FEE		\$446.10		
143	08/05/2011		ADVISORY FEE		\$455.12		
144	11/07/2011		ADVISORY FEE		\$331.62		
145	02/08/2012		ADVISORY FEE		\$342.37		
146	05/08/2012		ADVISORY FEE		\$351.67		
147	08/08/2012		ADVISORY FEE		\$357.26		
148	11/08/2012		ADVISORY FEE		\$360.20		
149	02/08/2013		ADVISORY FEE		\$355.46		
150	05/08/2013		ADVISORY FEE		\$357.23		
151	08/08/2013		ADVISORY FEE		\$344.05		
152	11/08/2013		ADVISORY FEE		\$346.30		
153	02/10/2014		ACCT ADMIN CHARGE		\$10.00		
154	02/10/2014		ADVISORY FEE		\$151.32		
155	05/09/2014		ACCT ADMIN CHARGE		\$10.00		
156	05/09/2014		ADVISORY FEE		\$161.23		
157	08/11/2014		ACCT ADMIN CHARGE		\$10.00		
158	08/11/2014		ADVISORY FEE		\$165.41		
159	11/10/2014		ACCT ADMIN CHARGE		\$10.00		
160	11/10/2014		ADVISORY FEE		\$166.33		
161	02/09/2015		ACCT ADMIN CHARGE		\$10.00		
162	02/09/2015		ADVISORY FEE		\$170.08		
163	02/13/2015		REV ADVISORY FEE			\$145.24	ADJ

Cash Transactions

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
164	02/17/2015		TERMINATION FEE		\$95.00		
TOTAL FOR MISCELLANEOUS CHARGES						(\$8,003.48)	
SECURITIES DELIVERED OUT							
165	02/19/2015	DELV	161.0000 APPLE INC	\$128.4500	\$20,680.45		BLOOMBERG CLOSE
166	02/19/2015	DELV	879.0450 PERMANENT PORTFOLIO FD INC	\$40.4500	\$35,557.37		BLOOMBERG CLOSE
TOTAL FOR SECURITIES DELIVERED OUT						(\$56,237.82)	
CASH WITHDRAWN							
167	02/19/2015		TRANSFER CASH BALANCE		\$35,853.05		
168	02/23/2015		TRANSFER CASH BALANCE		\$145.28		
169	05/11/2015		TRANSFER CASH BALANCE		\$51,354.57		
TOTAL FOR CASH WITHDRAWN						(\$87,352.90)	
CASH TRANSFERRED OUT							
170	01/30/2014		TO 5124-8976		\$100,500.00		
TOTAL FOR CASH TRANSFERRED OUT						(\$100,500.00)	

Trade Transactions Chronology

Account Number: 1234-5678-ABC

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name: JOHN Q INVESTOR

Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trade Cost	Comments
1 09/02/2009	300.0000	RECV	ISHARES TRUST BARCLAYS TIPS BOND FUND	\$101.1400	\$30,342.00			\$0.00	BLOOMBERG CLOSE
2 09/02/2009	15,000.0000	RECV	U S BANCORP NOTES B/E SEMI 4.500% 072910 DTD 072905 FC 012906	\$103.4590	\$15,518.85			\$0.00	BLOOMBERG CLOSE
3 09/11/2009	3,906.2500		FORUM FUNDS ABSOLUTE STRATEGIES FUND INTSTITUTIONAL SHARES	\$10.2400	\$40,004.50			\$0.00	
4 09/11/2009	2,134.1460		INVESTMENT MANAGERS SERIES TRUST ZACKS MARKET NEUTRAL FD CL A	\$13.1200	\$28,000.00			\$0.00	
5 09/11/2009		300.0000	ISHARES TRUST BARCLAYS TIPS BOND FUND	\$102.4300		\$30,713.21		\$15.79	COMM CALC
6 09/11/2009		15,000.0000	U S BANCORP NOTES B/E SEMI 4.500% 072910 DTD 072905 FC 012906	\$103.3840		\$15,545.73		\$0.00	
7 10/20/2009	10.4840		INVESTMENT MANAGERS SERIES TRUST ZACKS MARKET NEUTRAL FD CL A	\$13.0200	\$136.50			\$0.00	REINVEST
8 12/11/2009	1.3330		FORUM FUNDS ABSOLUTE STRATEGIES FUND INTSTITUTIONAL SHARES	\$10.5200	\$14.02			\$0.00	REINVEST
9 01/04/2010	27.5810		FORUM FUNDS ABSOLUTE STRATEGIES FUND INTSTITUTIONAL SHARES	\$10.4500	\$288.22			\$0.00	REINVEST
10 01/29/2010	1,000.0000		PROSHARES ULTRASHORT DOW JONES UBS COMMODITY	\$16.9400	\$16,955.00			\$15.00	COMM CALC
11 04/06/2010	71.0000		APPLE INC	\$238.7794	\$16,968.34			\$15.00	COMM CALC
12 04/06/2010	627.0000		CISCO SYSTEMS INC	\$26.2675	\$16,484.72			\$15.00	COMM CALC
13 04/06/2010		3,935.1640	FORUM FUNDS ABSOLUTE STRATEGIES FUND INTSTITUTIONAL SHARES	\$10.6700		\$41,983.70		\$0.00	
14 04/06/2010	24.0000		GOOGLE INC CL A	\$568.3894	\$13,656.35			\$15.00	COMM CALC
15 04/06/2010		2,144.6300	INVESTMENT MANAGERS SERIES TRUST ZACKS MARKET NEUTRAL FD CL A	\$12.9700		\$27,815.85		\$0.00	
16 04/06/2010	500.0000		NEW YORK COMMUNITY BANCORP INC	\$17.0096	\$8,512.09			\$0.00	
17 04/06/2010	300.0000		NEW YORK COMMUNITY BANCORP INC	\$17.0100	\$5,107.38			\$0.00	
18 04/06/2010	228.0000		NEW YORK COMMUNITY BANCORP INC	\$17.0099	\$3,881.59			\$0.00	
19 04/06/2010	281.0000		WAL-MART STORES INC	\$55.5275	\$15,618.23			\$15.00	COMM CALC
20 04/07/2010	100.0000		PRUDENTIAL FINANCIAL INC	\$63.3300	\$6,338.86			\$0.00	
21 04/07/2010	100.0000		PRUDENTIAL FINANCIAL INC	\$63.3200	\$6,337.86			\$0.00	
22 04/07/2010	56.0000		PRUDENTIAL FINANCIAL INC	\$63.3298	\$3,549.75			\$0.00	
23 04/07/2010	411.0000		TJX COMPANIES INC NEW	\$44.5185	\$18,312.10			\$15.00	COMM CALC
24 04/08/2010	853.0000		TEKELEC INC	\$18.9692	\$16,195.73			\$15.00	COMM CALC
25 05/11/2010		627.0000	CISCO SYSTEMS INC	\$26.3000		\$16,474.82		\$15.28	COMM CALC
26 05/12/2010	450.0000		BARCLAYS BANK PLC IPATH S&P 500 VIX SHORT TERM FUTURES ETN	\$24.3479	\$10,971.56			\$15.00	COMM CALC
27 05/13/2010	600.0000		CISCO SYSTEMS INC	\$25.4000	\$15,255.00			\$15.00	COMM CALC

Trade Transactions Chronology

Account Number: 1234-5678-ABC

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name: JOHN Q INVESTOR

Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trade Cost	Comments
28 05/17/2010		411.0000	TJX COMPANIES INC NEW	\$45.3000		\$18,602.98		\$15.32	COMM CALC
29 05/20/2010		450.0000	BARCLAYS BANK PLC IPATH S&P 500 VIX SHORT TERM FUTURES ETN	\$32.4799		\$14,600.71		\$15.25	COMM CALC
30 05/20/2010	350.0000		PROSHARES TRUST PROSHARES ULTRA S&P 500	\$36.0489	\$12,632.12			\$15.00	COMM CALC
31 05/25/2010		1,028.0000	NEW YORK COMMUNITY BANCORP INC	\$15.2500		\$15,661.73		\$15.27	COMM CALC
32 05/25/2010		350.0000	PROSHARES TRUST PROSHARES ULTRA S&P 500	\$34.0000		\$11,884.79		\$15.21	COMM CALC
33 06/01/2010		281.0000	WAL-MART STORES INC	\$50.7500		\$14,245.50		\$15.25	COMM CALC
34 06/07/2010		256.0000	PRUDENTIAL FINANCIAL INC	\$56.4104		\$14,425.81		\$15.25	COMM CALC
35 06/15/2010		853.0000	TEKELEC INC	\$14.0500		\$11,969.44		\$15.21	COMM CALC
36 06/18/2010		281.0000	WAL-MART STORES INC	\$50.7500		\$14,245.50		\$0.00	CXL
37 06/18/2010	281.0000	CXL	WAL-MART STORES INC		\$14,245.50			\$0.00	CXL; A/O 06/01/10
38 06/25/2010		24.0000	GOOGLE INC CL A	\$475.2506		\$11,390.81		\$15.20	COMM CALC
39 07/07/2010		600.0000	CISCO SYSTEMS INC	\$22.1110		\$13,251.37		\$15.23	COMM CALC
40 07/16/2010		411.0000	TJX COMPANIES INC NEW	\$45.3000		\$18,602.98		\$0.00	CXL; A/O 05/17/10
41 07/16/2010	411.0000	CXL	TJX COMPANIES INC NEW		\$18,602.98			\$0.00	CXL; A/O 05/17/10
42 07/26/2010	550.0000		TEUCRIUM COMMODITY TRUST CORN FUND	\$26.1700	\$14,408.50			\$15.00	COMM CALC
43 07/30/2010		550.0000	TEUCRIUM COMMODITY TRUST CORN FUND	\$27.5100		\$15,115.24		\$15.26	COMM CALC
44 08/18/2010	300.0000		PROSHARES TRUST PROSHARES SHORT S&P 500	\$51.2000	\$15,375.00			\$15.00	COMM CALC
45 08/20/2010	500.0000		ALTRIA GROUP INC	\$22.7500	\$11,390.00			\$15.00	COMM CALC
46 08/24/2010		300.0000	PROSHARES TRUST PROSHARES SHORT S&P 500	\$53.3000		\$15,974.72		\$15.28	COMM CALC
47 08/24/2010		500.0000	PROSHARES ULTRASHORT DOW JONES UBS COMMODITY	\$15.8200		\$7,902.36		\$0.00	
48 08/24/2010		500.0000	PROSHARES ULTRASHORT DOW JONES UBS COMMODITY	\$15.8230		\$7,903.86		\$0.00	
49 08/25/2010	300.0000		BARCLAYS BANK PLC IPATH DOW JONES UBS COMMODITY INDEX TOTAL RETURN	\$38.7500	\$11,640.00			\$15.00	COMM CALC
50 08/26/2010	2,850.0000		PUTNAM PREMIER INCOME TRUST SBI	\$6.7300	\$19,195.50			\$15.00	COMM CALC
51 09/03/2010		300.0000	BARCLAYS BANK PLC IPATH DOW JONES UBS COMMODITY INDEX TOTAL RETURN	\$40.4000		\$12,104.79		\$15.21	COMM CALC
52 09/17/2010	500.0000		SELECT SECTOR SPDR TRUST SBI UTILITIES	\$30.8000	\$15,415.00			\$15.00	COMM CALC
53 09/30/2010	600.0000		TEUCRIUM COMMODITY TRUST CORN FUND	\$31.8300	\$19,113.00			\$15.00	COMM CALC
54 10/08/2010		600.0000	TEUCRIUM COMMODITY TRUST CORN FUND	\$35.5000		\$21,284.64		\$15.36	COMM CALC
55 10/21/2010	100.0000		BARCLAYS BANK PLC IPATH S&P 500 VIX SHORT TERM FUTURES ETN	\$13.2800	\$1,343.00			\$15.00	COMM CALC

Trade Transactions Chronology

Account Number: 1234-5678-ABC

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name: JOHN Q INVESTOR

Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trade Cost	Comments
56	10/22/2010	2,100.0000	BARCLAYS BANK PLC IPATH S&P 500 VIX SHORT TERM FUTURES ETN	\$13.2500	\$27,840.00			\$15.00	COMM CALC
57	11/02/2010		500.0000 ALTRIA GROUP INC	\$25.6000		\$12,784.78		\$15.22	COMM CALC
58	11/04/2010	300.0000	PROSHARES TRUST PROSHARES SHORT S&P 500	\$45.7400	\$13,737.00			\$15.00	COMM CALC
59	11/09/2010		2,200.0000 BARCLAYS BANK PLC IPATH S&P 500 VIX SHORT TERM FUTURES ETN					\$0.00	STOCK DIVIDEND; REV SPLIT
60	11/09/2010	550.0000	BARCLAYS BANK PLC IPATH S&P 500 VIX SHORT TERM FUTURES ETN NEW					\$0.00	STOCK DIVIDEND; REV SPLIT
61	11/11/2010	700.0000	ISHARES MSCI GERMANY INDEX FUND	\$23.7999	\$16,674.93			\$15.00	COMM CALC
62	11/12/2010	500.0000	TEUCURIUM COMMODITY TRUST CORN FUND	\$36.0000	\$18,015.00			\$15.00	COMM CALC
63	11/15/2010	2,850.0000	PUTNAM PREMIER INCOME TRUST SBI	\$6.3000	\$17,970.00			\$15.00	COMM CALC
64	11/15/2010		500.0000 SELECT SECTOR SPDR TRUST SBI UTILITIES	\$31.4820		\$15,725.73		\$15.27	COMM CALC
65	11/24/2010	450.0000	PROSHARES TRUST PROSHARES SHORT S&P 500	\$46.3500	\$20,872.50			\$15.00	COMM CALC
66	11/30/2010		5,700.0000 PUTNAM PREMIER INCOME TRUST SBI	\$6.5800		\$37,490.36		\$15.64	COMM CALC
67	12/03/2010	700.0000	ISHARES MSCI GERMANY INDEX FUND	\$24.2000		\$16,924.71		\$15.29	COMM CALC
68	12/13/2010	1,050.0000	OMNOVA SOLUTIONS INC	\$8.4000	\$8,835.00			\$15.00	COMM CALC
69	12/17/2010		500.0000 TEUCURIUM COMMODITY TRUST CORN FUND	\$37.1000		\$18,534.68		\$15.32	COMM CALC
70	12/21/2010	250.0000	BAXTER INTERNATIONAL INC	\$50.3000	\$12,590.00			\$15.00	COMM CALC
71	01/04/2011	700.0000	BARCLAYS BANK PLC IPATH LKD GOLDMAN SACHS CRUDE OIL RETURN INDX	\$25.0190	\$17,528.30			\$15.00	COMM CALC
72	01/06/2011	250.0000	BARCLAYS BANK PLC IPATH DOW JONES UBS SUGAR	\$89.0000	\$22,265.00			\$15.00	COMM CALC
73	01/11/2011		250.0000 BARCLAYS BANK PLC IPATH DOW JONES UBS SUGAR	\$95.8859		\$23,956.07		\$15.41	COMM CALC
74	01/11/2011	100.0000	MARKET VECTORS ETF TRUST INDONESIA ETF	\$79.0000	\$7,915.00			\$15.00	COMM CALC
75	01/12/2011		100.0000 MARKET VECTORS ETF TRUST INDONESIA ETF	\$82.0000		\$8,184.86		\$15.14	COMM CALC
76	01/24/2011	350.0000	BARCLAYS BANK PLC IPATH LKD GOLDMAN SACHS CRUDE OIL RETURN INDX	\$24.3000	\$8,520.00			\$15.00	COMM CALC
77	01/24/2011	450.0000	OMNOVA SOLUTIONS INC	\$7.5100	\$3,394.50			\$15.00	COMM CALC
78	01/28/2011		140.0000 BARCLAYS BANK PLC IPATH S&P 500 VIX SHORT TERM FUTURES ETN NEW	\$32.0182		\$4,467.46		\$15.09	COMM CALC
79	01/31/2011	1,050.0000	BARCLAYS BANK PLC IPATH LKD GOLDMAN SACHS CRUDE OIL RETURN INDX	\$25.4000		\$26,654.48		\$15.52	COMM CALC
80	02/03/2011	200.0000	BARCLAYS BANK PLC IPATH DOW JONES UBS SUGAR	\$98.0000	\$19,615.00			\$15.00	COMM CALC
81	02/07/2011	550.0000	BARCLAYS BANK PLC IPATH LKD GOLDMAN SACHS CRUDE OIL RETURN INDX	\$24.2100	\$13,330.50			\$15.00	COMM CALC

Trade Transactions Chronology

Account Number: 1234-5678-ABC

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name: JOHN Q INVESTOR

Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trade Cost	Comments
82 02/08/2011	350.0000		ISHARES MSCI SWEDEN INDEX FUND	\$31.6000	\$11,075.00			\$15.00	COMM CALC
83 02/16/2011	250.0000		BARCLAYS BANK PLC IPATH DOW JONES AIG GRAINS SUB INDEX TOT RTN	\$55.0000	\$13,765.00			\$15.00	COMM CALC
84 02/16/2011		250.0000	BAXTER INTERNATIONAL INC	\$52.3500		\$13,072.24		\$15.26	COMM CALC
85 02/23/2011		140.0000	BARCLAYS BANK PLC IPATH S&P 500 VIX SHORT TERM FUTURES ETN NEW	\$33.4009		\$4,661.04		\$15.09	COMM CALC
86 03/07/2011		550.0000	BARCLAYS BANK PLC IPATH LKD GOLDMAN SACHS CRUDE OIL RETURN INDX	\$28.2100		\$15,500.20		\$15.30	COMM CALC
87 03/09/2011	250.0000		BARCLAYS BANK PLC IPATH DOW JONES AIG GRAINS SUB INDEX TOT RTN	\$52.9000	\$13,240.00			\$15.00	COMM CALC
88 03/11/2011	200.0000		BARCLAYS BANK PLC IPATH DOW JONES UBS SUGAR	\$88.0000	\$17,615.00			\$15.00	COMM CALC
89 03/16/2011		270.0000	BARCLAYS BANK PLC IPATH S&P 500 VIX SHORT TERM FUTURES ETN NEW	\$38.0123		\$10,248.12		\$15.20	COMM CALC
90 03/23/2011		350.0000	ISHARES MSCI SWEDEN INDEX FUND	\$31.6500		\$11,062.28		\$15.22	COMM CALC
91 04/01/2011		250.0000	BARCLAYS BANK PLC IPATH DOW JONES AIG GRAINS SUB INDEX TOT RTN	\$55.0000		\$13,734.73		\$15.27	COMM CALC
92 05/03/2011	425.0000		MORGAN STANLEY CHINA A SHARES FUND INC	\$28.1493	\$11,978.45			\$15.00	COMM CALC
93 05/19/2011		250.0000	BARCLAYS BANK PLC IPATH DOW JONES AIG GRAINS SUB INDEX TOT RTN	\$55.5500		\$13,872.23		\$15.27	COMM CALC
94 06/01/2011		26.0000	APPLE INC	\$350.0000		\$9,084.82		\$15.18	COMM CALC
95 06/02/2011		200.0000	BARCLAYS BANK PLC IPATH DOW JONES UBS SUGAR	\$75.5000		\$15,084.71		\$15.29	COMM CALC
96 06/23/2011		145.0000	BARCLAYS BANK PLC IPATH DOW JONES UBS SUGAR	\$82.1400		\$11,899.20		\$11.10	COMM CALC
97 06/23/2011		55.0000	BARCLAYS BANK PLC IPATH DOW JONES UBS SUGAR	\$82.2600		\$4,520.08		\$0.00	
98 06/23/2011		750.0000	PROSHARES TRUST PROSHARES SHORT S&P 500	\$42.6000		\$31,934.38		\$15.62	COMM CALC
99 06/28/2011	875.0000		ISHARES GOLD TRUST	\$14.6500	\$12,833.75			\$15.00	COMM CALC
100 07/26/2011		875.0000	ISHARES GOLD TRUST	\$15.8000		\$13,809.73		\$15.27	COMM CALC
101 08/19/2011		425.0000	MORGAN STANLEY CHINA A SHARES FUND INC	\$23.3520		\$9,909.40		\$15.20	COMM CALC
102 09/15/2011	12,806.8300		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	\$9.3700	\$120,000.00			\$0.00	
103 09/15/2011		1,500.0000	OMNOVA SOLUTIONS INC	\$4.0803		\$6,105.33		\$15.12	COMM CALC
104 09/15/2011	715.6000		PERMANENT PORTFOLIO FD INC	\$48.9100	\$35,026.50			\$26.50	COMM CALC
105 09/23/2011	2.8220		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	\$9.4000	\$26.53			\$0.00	REINVEST
106 10/24/2011	42.2400		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	\$9.2500	\$390.72			\$0.00	REINVEST

Trade Transactions Chronology

Account Number: 1234-5678-ABC

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name: JOHN Q INVESTOR

Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Sld/Del	Description	Price	Debit	Credit	Accr Int	Trade Cost	Comments
107	11/23/2011	42.0560	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	\$9.3300	\$392.38			\$0.00	REINVEST
108	12/09/2011	6.3150	PERMANENT PORTFOLIO FD INC	\$47.5900	\$300.55			\$0.00	REINVEST
109	12/09/2011	4.5110	PERMANENT PORTFOLIO FD INC	\$47.5900	\$214.68			\$0.00	REINVEST
110	12/23/2011	186.6230	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	\$9.1200	\$1,702.00			\$0.00	REINVEST
111	12/23/2011	144.2080	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	\$9.1200	\$1,315.18			\$0.00	REINVEST
112	12/23/2011	41.1240	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	\$9.1200	\$375.05			\$0.00	REINVEST
113	01/23/2012	42.8370	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	\$9.1400	\$391.53			\$0.00	REINVEST
114	02/10/2012	22.0000	APPLE INC	\$496.0000		\$10,902.79		\$0.00	
115	02/23/2012	43.1050	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	\$9.2400	\$398.29			\$0.00	REINVEST
116	03/23/2012	41.7980	DELAWARE DIVERSIFIED INCOME CL A	\$9.1700	\$383.29			\$0.00	REINVEST
117	04/23/2012	40.9290	DELAWARE DIVERSIFIED INCOME CL A	\$9.2600	\$379.00			\$0.00	REINVEST
118	05/23/2012	41.1110	DELAWARE DIVERSIFIED INCOME CL A	\$9.2300	\$379.45			\$0.00	REINVEST
119	06/25/2012	41.9720	DELAWARE DIVERSIFIED INCOME CL A	\$9.2900	\$389.92			\$0.00	REINVEST
120	07/23/2012	40.7260	DELAWARE DIVERSIFIED INCOME CL A	\$9.4800	\$386.08			\$0.00	REINVEST
121	08/23/2012	42.4240	DELAWARE DIVERSIFIED INCOME CL A	\$9.3900	\$398.36			\$0.00	REINVEST
122	09/24/2012	40.5390	DELAWARE DIVERSIFIED INCOME CL A	\$9.4400	\$382.69			\$0.00	REINVEST
123	10/23/2012	39.8430	DELAWARE DIVERSIFIED INCOME CL A	\$9.4500	\$376.52			\$0.00	REINVEST
124	11/23/2012	40.0400	DELAWARE DIVERSIFIED INCOME CL A	\$9.4100	\$376.78			\$0.00	REINVEST
125	12/07/2012	5.4060	PERMANENT PORTFOLIO FD INC	\$48.3700	\$261.51			\$0.00	REINVEST
126	12/07/2012	4.0550	PERMANENT PORTFOLIO FD INC	\$48.3700	\$196.14			\$0.00	REINVEST
127	12/24/2012	77.8620	DELAWARE DIVERSIFIED INCOME CL A	\$9.3400	\$727.23			\$0.00	REINVEST
128	12/24/2012	54.3570	DELAWARE DIVERSIFIED INCOME CL A	\$9.3400	\$507.69			\$0.00	REINVEST
129	12/24/2012	38.5160	DELAWARE DIVERSIFIED INCOME CL A	\$9.3400	\$359.74			\$0.00	REINVEST
130	01/23/2013	38.5440	DELAWARE DIVERSIFIED INCOME CL A	\$9.3500	\$360.39			\$0.00	REINVEST
131	02/25/2013	39.9540	DELAWARE DIVERSIFIED INCOME CL A	\$9.2800	\$370.77			\$0.00	REINVEST
132	03/25/2013	39.8220	DELAWARE DIVERSIFIED INCOME CL A	\$9.2800	\$369.55			\$0.00	REINVEST
133	04/23/2013	41.3470	DELAWARE DIVERSIFIED INCOME CL A	\$9.3600	\$387.01			\$0.00	REINVEST
134	05/23/2013	41.5320	DELAWARE DIVERSIFIED INCOME CL A	\$9.2900	\$385.83			\$0.00	REINVEST
135	06/24/2013	48.2600	DELAWARE DIVERSIFIED INCOME CL A	\$8.8800	\$428.55			\$0.00	REINVEST

Trade Transactions Chronology

Account Number: 1234-5678-ABC

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name: JOHN Q INVESTOR

Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Sld/Del	Description	Price	Debit	Credit	Accr Int	Trade Cost	Comments
136	07/23/2013	43.2080	DELAWARE DIVERSIFIED INCOME CL A	\$8.9600	\$387.14			\$0.00	REINVEST
137	08/23/2013	45.2700	DELAWARE DIVERSIFIED INCOME CL A	\$8.7500	\$396.11			\$0.00	REINVEST
138	09/13/2013	14,229.8990	DELAWARE DIVERSIFIED INCOME CL A	\$8.7500		\$124,511.62		\$0.00	
139	01/02/2014	74.3230	PERMANENT PORTFOLIO FD INC	\$43.0700	\$3,201.11			\$0.00	REINVEST
140	01/02/2014	4.2710	PERMANENT PORTFOLIO FD INC	\$43.0700	\$183.97			\$0.00	REINVEST
141	06/09/2014	138.0000	APPLE INC					\$0.00	STOCK DIVIDEND
142	12/12/2014	59.0650	PERMANENT PORTFOLIO FD INC	\$39.9900	\$2,361.99			\$0.00	REINVEST
143	12/12/2014	5.4990	PERMANENT PORTFOLIO FD INC	\$39.9900	\$219.91			\$0.00	REINVEST
144	02/19/2015	DELV	161.0000	APPLE INC	\$128.4500	\$20,680.45		\$0.00	BLOOMBERG CLOSE
145	02/19/2015	DELV	879.0450	PERMANENT PORTFOLIO FD INC	\$40.4500	\$35,557.37		\$0.00	BLOOMBERG CLOSE
NET TRADING RESULT:					(\$13,934.93)	TRADE COST:		\$1,188.16	

Note: S = Solicited; U = Unsolicited

Cash Transactions Chronology

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
1 09/02/2009			TRANSFER CASH BALANCE			\$145,436.58	
2 09/02/2009	15,000.0000	RECV	U S BANCORP NOTES B/E SEMI 4.500% 072910 DTD 072905 FC 012906	\$103.4590		\$15,518.85	BLOOMBERG CLOSE
3 09/02/2009	300.0000	RECV	ISHARES TRUST BARCLAYS TIPS BOND FUND	\$101.1400		\$30,342.00	BLOOMBERG CLOSE
4 09/08/2009			ISHARES TRUST BARCLAYS TIPS BOND FUND			\$276.12	DIVIDEND
5 09/08/2009			TRANSFER CASH BALANCE			\$1.16	
6 09/24/2009			TRANSFER CASH BALANCE			\$95.00	
7 09/30/2009			INSURED CASH ACCOUNT			\$4.93	DIVIDEND
8 10/02/2009			TRANSFER CASH BALANCE			\$0.16	
9 10/20/2009			INVESTMENT MANAGERS SERIES TRUST ZACKS MARKET NEUTRAL FD CL A			\$136.50	DIVIDEND; A/O 10/16/09
10 10/30/2009			INSURED CASH ACCOUNT			\$5.27	DIVIDEND
11 11/06/2009			ADVISORY FEE		\$799.84		
12 11/30/2009			INSURED CASH ACCOUNT			\$5.06	DIVIDEND
13 12/11/2009			FORUM FUNDS ABSOLUTE STRATEGIES FUND INTSTITUTIONAL SHARES			\$14.02	DIVIDEND STG
14 12/31/2009			INSURED CASH ACCOUNT			\$5.24	DIVIDEND
15 01/04/2010			FORUM FUNDS ABSOLUTE STRATEGIES FUND INTSTITUTIONAL SHARES			\$288.22	DIVIDEND; A/O 12/31/09
16 01/29/2010			INSURED CASH ACCOUNT			\$5.23	DIVIDEND
17 02/08/2010			ADVISORY FEE		\$479.86		
18 02/26/2010			INSURED CASH ACCOUNT			\$4.11	DIVIDEND
19 03/31/2010			INSURED CASH ACCOUNT			\$4.51	DIVIDEND
20 04/08/2010			INSURED CASH ACCOUNT			\$1.00	DIVIDEND
21 04/30/2010			INSURED CASH ACCOUNT			\$1.72	DIVIDEND
22 05/07/2010			ADVISORY FEE		\$476.47		
23 05/07/2010			INSURED CASH ACCOUNT			\$0.36	DIVIDEND
24 05/18/2010			NEW YORK COMMUNITY BANCORP INC			\$257.00	DIVIDEND
25 05/28/2010			INSURED CASH ACCOUNT			\$1.83	DIVIDEND
26 06/01/2010			WAL-MART STORES INC			\$85.00	DIVIDEND
27 06/03/2010			TJX COMPANIES INC NEW			\$61.65	DIVIDEND
28 06/30/2010			INSURED CASH ACCOUNT			\$4.54	DIVIDEND
29 07/30/2010			INSURED CASH ACCOUNT			\$6.02	DIVIDEND
30 08/06/2010			ADVISORY FEE		\$455.10		
31 08/31/2010			INSURED CASH ACCOUNT			\$6.05	DIVIDEND

Cash Transactions Chronology

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
32	09/30/2010		INSURED CASH ACCOUNT			\$5.21	DIVIDEND
33	10/01/2010		PUTNAM PREMIER INCOME TRUST SBI			\$168.15	DIVIDEND
34	10/12/2010		ALTRIA GROUP INC			\$190.00	DIVIDEND
35	10/29/2010		INSURED CASH ACCOUNT			\$4.69	DIVIDEND
36	11/01/2010		PUTNAM PREMIER INCOME TRUST SBI			\$168.15	DIVIDEND
37	11/08/2010		ADVISORY FEE		\$477.64		
38	11/30/2010		INSURED CASH ACCOUNT			\$3.08	DIVIDEND
39	12/01/2010		PUTNAM PREMIER INCOME TRUST SBI			\$336.30	DIVIDEND
40	12/31/2010		INSURED CASH ACCOUNT			\$3.45	DIVIDEND
41	01/31/2011		INSURED CASH ACCOUNT			\$2.86	DIVIDEND
42	02/08/2011		ADVISORY FEE		\$453.06		
43	02/28/2011		INSURED CASH ACCOUNT			\$2.14	DIVIDEND
44	03/31/2011		INSURED CASH ACCOUNT			\$2.02	DIVIDEND
45	04/29/2011		INSURED CASH ACCOUNT			\$2.71	DIVIDEND
46	05/09/2011		ADVISORY FEE		\$446.10		
47	05/31/2011		INSURED CASH ACCOUNT			\$2.61	DIVIDEND
48	06/30/2011		INSURED CASH ACCOUNT			\$3.87	DIVIDEND
49	07/29/2011		INSURED CASH ACCOUNT			\$5.54	DIVIDEND
50	08/05/2011		ADVISORY FEE		\$455.12		
51	08/31/2011		INSURED CASH ACCOUNT			\$6.17	DIVIDEND
52	09/23/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$26.53	DIVIDEND; A/O 09/22/11
53	09/30/2011		INSURED CASH ACCOUNT			\$3.83	DIVIDEND
54	10/24/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$390.72	DIVIDEND; A/O 10/21/11
55	10/31/2011		INSURED CASH ACCOUNT			\$0.06	DIVIDEND
56	11/07/2011		ADVISORY FEE		\$331.62		
57	11/23/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$392.38	DIVIDEND; A/O 11/22/11
58	11/30/2011		INSURED CASH ACCOUNT			\$0.05	DIVIDEND
59	12/09/2011		PERMANENT PORTFOLIO FD INC			\$300.55	DIVIDEND; A/O 12/07/11
60	12/09/2011		PERMANENT PORTFOLIO FD INC			\$214.68	DIVIDEND LTG; A/O 12/07/11
61	12/23/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$1,702.00	DIVIDEND LTG; A/O 12/22/11
62	12/23/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$1,315.18	DIVIDEND STG; A/O 12/22/11
63	12/23/2011		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$375.05	DIVIDEND; A/O 12/22/11

Cash Transactions Chronology

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
64	12/30/2011		INSURED CASH ACCOUNT			\$0.06	DIVIDEND
65	01/23/2012		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$391.53	DIVIDEND; A/O 01/20/12
66	01/31/2012		INSURED CASH ACCOUNT			\$0.06	DIVIDEND
67	02/08/2012		ADVISORY FEE		\$342.37		
68	02/23/2012		DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A			\$398.29	DIVIDEND; A/O 02/22/12
69	02/29/2012		INSURED CASH ACCOUNT			\$0.13	DIVIDEND
70	03/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$383.29	DIVIDEND; A/O 03/22/12
71	03/30/2012		INSURED CASH ACCOUNT			\$0.23	DIVIDEND
72	04/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$379.00	DIVIDEND; A/O 04/20/12
73	04/30/2012		INSURED CASH ACCOUNT			\$0.23	DIVIDEND
74	05/08/2012		ADVISORY FEE		\$351.67		
75	05/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$379.45	DIVIDEND; A/O 05/22/12
76	05/31/2012		INSURED CASH ACCOUNT			\$0.23	DIVIDEND
77	06/25/2012		DELAWARE DIVERSIFIED INCOME CL A			\$389.92	DIVIDEND; A/O 06/22/12
78	06/29/2012		INSURED CASH ACCOUNT			\$0.22	DIVIDEND
79	07/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$386.08	DIVIDEND; A/O 07/20/12
80	07/31/2012		INSURED CASH ACCOUNT			\$0.24	DIVIDEND
81	08/08/2012		ADVISORY FEE		\$357.26		
82	08/16/2012		APPLE INC			\$60.95	DIVIDEND
83	08/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$398.36	DIVIDEND; A/O 08/22/12
84	08/31/2012		INSURED CASH ACCOUNT			\$0.22	DIVIDEND
85	09/24/2012		DELAWARE DIVERSIFIED INCOME CL A			\$382.69	DIVIDEND; A/O 09/21/12
86	09/28/2012		INSURED CASH ACCOUNT			\$0.22	DIVIDEND
87	10/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$376.52	DIVIDEND; A/O 10/22/12
88	10/31/2012		INSURED CASH ACCOUNT			\$0.22	DIVIDEND
89	11/08/2012		ADVISORY FEE		\$360.20		
90	11/15/2012		APPLE INC			\$60.95	DIVIDEND
91	11/23/2012		DELAWARE DIVERSIFIED INCOME CL A			\$376.78	DIVIDEND; A/O 11/21/12
92	11/30/2012		INSURED CASH ACCOUNT			\$0.21	DIVIDEND
93	12/07/2012		PERMANENT PORTFOLIO FD INC			\$261.51	DIVIDEND LTG; A/O 12/05/12
94	12/07/2012		PERMANENT PORTFOLIO FD INC			\$196.14	DIVIDEND; A/O 12/05/12
95	12/24/2012		DELAWARE DIVERSIFIED INCOME CL A			\$359.74	DIVIDEND

Cash Transactions Chronology

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
96	12/24/2012		DELAWARE DIVERSIFIED INCOME CL A			\$507.69	DIVIDEND LTG
97	12/24/2012		DELAWARE DIVERSIFIED INCOME CL A			\$727.23	DIVIDEND STG
98	12/31/2012		INSURED CASH ACCOUNT			\$0.22	DIVIDEND
99	01/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$360.39	DIVIDEND; A/O 01/22/13
100	01/31/2013		INSURED CASH ACCOUNT			\$0.22	DIVIDEND
101	02/08/2013		ADVISORY FEE		\$355.46		
102	02/14/2013		APPLE INC			\$60.95	DIVIDEND
103	02/25/2013		DELAWARE DIVERSIFIED INCOME CL A			\$370.77	DIVIDEND; A/O 02/22/13
104	02/28/2013		INSURED CASH ACCOUNT			\$0.19	DIVIDEND
105	03/25/2013		DELAWARE DIVERSIFIED INCOME CL A			\$369.55	DIVIDEND; A/O 03/22/13
106	03/28/2013		INSURED CASH ACCOUNT			\$0.10	DIVIDEND
107	04/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$387.01	DIVIDEND; A/O 04/22/13
108	04/30/2013		INSURED CASH ACCOUNT			\$0.10	DIVIDEND
109	05/08/2013		ADVISORY FEE		\$357.23		
110	05/16/2013		APPLE INC			\$70.15	DIVIDEND
111	05/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$385.83	DIVIDEND; A/O 05/22/13
112	05/31/2013		INSURED CASH ACCOUNT			\$0.10	DIVIDEND
113	06/24/2013		DELAWARE DIVERSIFIED INCOME CL A			\$428.55	DIVIDEND; A/O 06/21/13
114	06/28/2013		INSURED CASH ACCOUNT			\$0.10	DIVIDEND
115	07/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$387.14	DIVIDEND; A/O 07/22/13
116	07/31/2013		INSURED CASH ACCOUNT			\$0.10	DIVIDEND
117	08/08/2013		ADVISORY FEE		\$344.05		
118	08/15/2013		APPLE INC			\$70.15	DIVIDEND
119	08/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$396.11	DIVIDEND; A/O 08/22/13
120	08/30/2013		INSURED CASH ACCOUNT			\$0.10	DIVIDEND
121	09/23/2013		DELAWARE DIVERSIFIED INCOME CL A			\$353.51	DIVIDEND; A/O 09/20/13
122	09/30/2013		INSURED CASH ACCOUNT			\$0.54	DIVIDEND
123	10/31/2013		INSURED CASH ACCOUNT			\$1.16	DIVIDEND
124	11/08/2013		ADVISORY FEE		\$346.30		
125	11/14/2013		APPLE INC			\$70.15	DIVIDEND
126	11/29/2013		INSURED CASH ACCOUNT			\$1.12	DIVIDEND
127	12/31/2013		INSURED CASH ACCOUNT			\$1.16	DIVIDEND

Cash Transactions Chronology

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
128	01/02/2014		PERMANENT PORTFOLIO FD INC			\$183.97	DIVIDEND; A/O 12/30/13
129	01/02/2014		PERMANENT PORTFOLIO FD INC			\$3,201.11	DIVIDEND LTG; A/O 12/30/13
130	01/30/2014		TO 5124-8976		\$100,500.00		
131	01/31/2014		INSURED CASH ACCOUNT			\$1.10	DIVIDEND
132	02/10/2014		ADVISORY FEE		\$151.32		
133	02/10/2014		ACCT ADMIN CHARGE		\$10.00		
134	02/13/2014		APPLE INC			\$70.15	DIVIDEND
135	02/28/2014		INSURED CASH ACCOUNT			\$0.27	DIVIDEND
136	03/31/2014		INSURED CASH ACCOUNT			\$0.30	DIVIDEND
137	04/30/2014		INSURED CASH ACCOUNT			\$0.29	DIVIDEND
138	05/09/2014		ADVISORY FEE		\$161.23		
139	05/09/2014		ACCT ADMIN CHARGE		\$10.00		
140	05/15/2014		APPLE INC			\$75.67	DIVIDEND
141	05/30/2014		INSURED CASH ACCOUNT			\$0.30	DIVIDEND
142	06/30/2014		INSURED CASH ACCOUNT			\$0.29	DIVIDEND
143	07/31/2014		INSURED CASH ACCOUNT			\$0.30	DIVIDEND
144	08/11/2014		ADVISORY FEE		\$165.41		
145	08/11/2014		ACCT ADMIN CHARGE		\$10.00		
146	08/14/2014		APPLE INC			\$75.67	DIVIDEND
147	08/29/2014		INSURED CASH ACCOUNT			\$0.30	DIVIDEND
148	09/30/2014		INSURED CASH ACCOUNT			\$0.29	DIVIDEND
149	10/31/2014		INSURED CASH ACCOUNT			\$0.30	DIVIDEND
150	11/03/2014		INSURED CASH ACCOUNT			\$0.01	DIVIDEND
151	11/10/2014		ADVISORY FEE		\$166.33		
152	11/10/2014		ACCT ADMIN CHARGE		\$10.00		
153	11/13/2014		APPLE INC			\$75.67	DIVIDEND
154	11/28/2014		INSURED CASH ACCOUNT			\$0.28	DIVIDEND
155	12/12/2014		PERMANENT PORTFOLIO FD INC			\$219.91	DIVIDEND; A/O 12/10/14
156	12/12/2014		PERMANENT PORTFOLIO FD INC			\$2,361.99	DIVIDEND LTG; A/O 12/10/14
157	12/31/2014		INSURED CASH ACCOUNT			\$0.30	DIVIDEND
158	01/30/2015		INSURED CASH ACCOUNT			\$0.30	DIVIDEND
159	02/09/2015		ADVISORY FEE		\$170.08		

Cash Transactions Chronology

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
160	02/09/2015		ACCT ADMIN CHARGE		\$10.00		
161	02/12/2015		APPLE INC			\$75.67	DIVIDEND
162	02/13/2015		REV ADVISORY FEE			\$145.24	ADJ
163	02/17/2015		TERMINATION FEE		\$95.00		
164	02/17/2015		INSURED CASH ACCOUNT			\$0.15	DIVIDEND
165	02/19/2015	DELV	879.0450 PERMANENT PORTFOLIO FD INC	\$40.4500	\$35,557.37		BLOOMBERG CLOSE
166	02/19/2015	DELV	161.0000 APPLE INC	\$128.4500	\$20,680.45		BLOOMBERG CLOSE
167	02/19/2015		TRANSFER CASH BALANCE		\$35,853.05		
168	02/23/2015		TRANSFER CASH BALANCE		\$145.28		
169	05/07/2015		FM 5124-8976			\$51,354.57	
170	05/11/2015		TRANSFER CASH BALANCE		\$51,354.57		

Income Summary

Account Number: 1234-5678-ABC

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name: JOHN Q INVESTOR

Date Range: 09/2009 Thru 05/2015

Date	Description	Debit	Credit	Total
1 09/30/2009	INSURED CASH ACCOUNT		DIVIDEND	\$4.93
2 10/30/2009	INSURED CASH ACCOUNT		DIVIDEND	\$5.27
3 11/30/2009	INSURED CASH ACCOUNT		DIVIDEND	\$5.06
4 12/31/2009	INSURED CASH ACCOUNT		DIVIDEND	\$5.24
5 01/29/2010	INSURED CASH ACCOUNT		DIVIDEND	\$5.23
6 02/26/2010	INSURED CASH ACCOUNT		DIVIDEND	\$4.11
7 03/31/2010	INSURED CASH ACCOUNT		DIVIDEND	\$4.51
8 04/08/2010	INSURED CASH ACCOUNT		DIVIDEND	\$1.00
9 04/30/2010	INSURED CASH ACCOUNT		DIVIDEND	\$1.72
10 05/07/2010	INSURED CASH ACCOUNT		DIVIDEND	\$0.36
11 05/28/2010	INSURED CASH ACCOUNT		DIVIDEND	\$1.83
12 06/30/2010	INSURED CASH ACCOUNT		DIVIDEND	\$4.54
13 07/30/2010	INSURED CASH ACCOUNT		DIVIDEND	\$6.02
14 08/31/2010	INSURED CASH ACCOUNT		DIVIDEND	\$6.05
15 09/30/2010	INSURED CASH ACCOUNT		DIVIDEND	\$5.21
16 10/29/2010	INSURED CASH ACCOUNT		DIVIDEND	\$4.69
17 11/30/2010	INSURED CASH ACCOUNT		DIVIDEND	\$3.08
18 12/31/2010	INSURED CASH ACCOUNT		DIVIDEND	\$3.45
19 01/31/2011	INSURED CASH ACCOUNT		DIVIDEND	\$2.86
20 02/28/2011	INSURED CASH ACCOUNT		DIVIDEND	\$2.14
21 03/31/2011	INSURED CASH ACCOUNT		DIVIDEND	\$2.02
22 04/29/2011	INSURED CASH ACCOUNT		DIVIDEND	\$2.71
23 05/31/2011	INSURED CASH ACCOUNT		DIVIDEND	\$2.61
24 06/30/2011	INSURED CASH ACCOUNT		DIVIDEND	\$3.87
25 07/29/2011	INSURED CASH ACCOUNT		DIVIDEND	\$5.54
26 08/31/2011	INSURED CASH ACCOUNT		DIVIDEND	\$6.17
27 09/30/2011	INSURED CASH ACCOUNT		DIVIDEND	\$3.83
28 10/31/2011	INSURED CASH ACCOUNT		DIVIDEND	\$0.06
29 11/30/2011	INSURED CASH ACCOUNT		DIVIDEND	\$0.05
30 12/30/2011	INSURED CASH ACCOUNT		DIVIDEND	\$0.06
31 01/31/2012	INSURED CASH ACCOUNT		DIVIDEND	\$0.06

Income Summary

Account Number: 1234-5678-ABC

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name: JOHN Q INVESTOR

Date Range: 09/2009 Thru 05/2015

Date	Description	Debit	Credit	Total
32 02/29/2012	INSURED CASH ACCOUNT		\$0.13	
33 03/30/2012	INSURED CASH ACCOUNT		\$0.23	
34 04/30/2012	INSURED CASH ACCOUNT		\$0.23	
35 05/31/2012	INSURED CASH ACCOUNT		\$0.23	
36 06/29/2012	INSURED CASH ACCOUNT		\$0.22	
37 07/31/2012	INSURED CASH ACCOUNT		\$0.24	
38 08/31/2012	INSURED CASH ACCOUNT		\$0.22	
39 09/28/2012	INSURED CASH ACCOUNT		\$0.22	
40 10/31/2012	INSURED CASH ACCOUNT		\$0.22	
41 11/30/2012	INSURED CASH ACCOUNT		\$0.21	
42 12/31/2012	INSURED CASH ACCOUNT		\$0.22	
43 01/31/2013	INSURED CASH ACCOUNT		\$0.22	
44 02/28/2013	INSURED CASH ACCOUNT		\$0.19	
45 03/28/2013	INSURED CASH ACCOUNT		\$0.10	
46 04/30/2013	INSURED CASH ACCOUNT		\$0.10	
47 05/31/2013	INSURED CASH ACCOUNT		\$0.10	
48 06/28/2013	INSURED CASH ACCOUNT		\$0.10	
49 07/31/2013	INSURED CASH ACCOUNT		\$0.10	
50 08/30/2013	INSURED CASH ACCOUNT		\$0.10	
51 09/30/2013	INSURED CASH ACCOUNT		\$0.54	
52 10/31/2013	INSURED CASH ACCOUNT		\$1.16	
53 11/29/2013	INSURED CASH ACCOUNT		\$1.12	
54 12/31/2013	INSURED CASH ACCOUNT		\$1.16	
55 01/31/2014	INSURED CASH ACCOUNT		\$1.10	
56 02/28/2014	INSURED CASH ACCOUNT		\$0.27	
57 03/31/2014	INSURED CASH ACCOUNT		\$0.30	
58 04/30/2014	INSURED CASH ACCOUNT		\$0.29	
59 05/30/2014	INSURED CASH ACCOUNT		\$0.30	
60 06/30/2014	INSURED CASH ACCOUNT		\$0.29	
61 07/31/2014	INSURED CASH ACCOUNT		\$0.30	
62 08/29/2014	INSURED CASH ACCOUNT		\$0.30	

Income Summary

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

Date	Description	Debit	Credit	Total
63 09/30/2014	INSURED CASH ACCOUNT		\$0.29	
64 10/31/2014	INSURED CASH ACCOUNT		\$0.30	
65 11/03/2014	INSURED CASH ACCOUNT		\$0.01	
66 11/28/2014	INSURED CASH ACCOUNT		\$0.28	
67 12/31/2014	INSURED CASH ACCOUNT		\$0.30	
68 01/30/2015	INSURED CASH ACCOUNT		\$0.30	
69 02/17/2015	INSURED CASH ACCOUNT		\$0.15	
				\$116.42
70 10/12/2010	ALTRIA GROUP INC		\$190.00	
				\$190.00
71 08/16/2012	APPLE INC		\$60.95	
72 11/15/2012	APPLE INC		\$60.95	
73 02/14/2013	APPLE INC		\$60.95	
74 05/16/2013	APPLE INC		\$70.15	
75 08/15/2013	APPLE INC		\$70.15	
76 11/14/2013	APPLE INC		\$70.15	
77 02/13/2014	APPLE INC		\$70.15	
78 05/15/2014	APPLE INC		\$75.67	
79 08/14/2014	APPLE INC		\$75.67	
80 11/13/2014	APPLE INC		\$75.67	
81 02/12/2015	APPLE INC		\$75.67	
				\$766.13
82 09/23/2011	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	DIVIDEND; A/O 09/22/11	\$26.53	
83 10/24/2011	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	DIVIDEND; A/O 10/21/11	\$390.72	
84 11/23/2011	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	DIVIDEND; A/O 11/22/11	\$392.38	
85 12/23/2011	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	DIVIDEND LTG; A/O 12/22/11	\$1,702.00	
86 12/23/2011	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	DIVIDEND STG; A/O 12/22/11	\$1,315.18	
87 12/23/2011	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	DIVIDEND; A/O 12/22/11	\$375.05	
88 01/23/2012	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	DIVIDEND; A/O 01/20/12	\$391.53	
89 02/23/2012	DELAWARE POOLED TR DIVERSIFIED INCOME FD CL A	DIVIDEND; A/O 02/22/12	\$398.29	
90 03/23/2012	DELAWARE DIVERSIFIED INCOME CL A	DIVIDEND; A/O 03/22/12	\$383.29	

Income Summary

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

Date	Description	Debit	Credit	Total
91 04/23/2012	DELAWARE DIVERSIFIED INCOME CL A	DIVIDEND; A/O 04/20/12	\$379.00	
92 05/23/2012	DELAWARE DIVERSIFIED INCOME CL A	DIVIDEND; A/O 05/22/12	\$379.45	
93 06/25/2012	DELAWARE DIVERSIFIED INCOME CL A	DIVIDEND; A/O 06/22/12	\$389.92	
94 07/23/2012	DELAWARE DIVERSIFIED INCOME CL A	DIVIDEND; A/O 07/20/12	\$386.08	
95 08/23/2012	DELAWARE DIVERSIFIED INCOME CL A	DIVIDEND; A/O 08/22/12	\$398.36	
96 09/24/2012	DELAWARE DIVERSIFIED INCOME CL A	DIVIDEND; A/O 09/21/12	\$382.69	
97 10/23/2012	DELAWARE DIVERSIFIED INCOME CL A	DIVIDEND; A/O 10/22/12	\$376.52	
98 11/23/2012	DELAWARE DIVERSIFIED INCOME CL A	DIVIDEND; A/O 11/21/12	\$376.78	
99 12/24/2012	DELAWARE DIVERSIFIED INCOME CL A	DIVIDEND	\$359.74	
100 12/24/2012	DELAWARE DIVERSIFIED INCOME CL A	DIVIDEND LTG	\$507.69	
101 12/24/2012	DELAWARE DIVERSIFIED INCOME CL A	DIVIDEND STG	\$727.23	
102 01/23/2013	DELAWARE DIVERSIFIED INCOME CL A	DIVIDEND; A/O 01/22/13	\$360.39	
103 02/25/2013	DELAWARE DIVERSIFIED INCOME CL A	DIVIDEND; A/O 02/22/13	\$370.77	
104 03/25/2013	DELAWARE DIVERSIFIED INCOME CL A	DIVIDEND; A/O 03/22/13	\$369.55	
105 04/23/2013	DELAWARE DIVERSIFIED INCOME CL A	DIVIDEND; A/O 04/22/13	\$387.01	
106 05/23/2013	DELAWARE DIVERSIFIED INCOME CL A	DIVIDEND; A/O 05/22/13	\$385.83	
107 06/24/2013	DELAWARE DIVERSIFIED INCOME CL A	DIVIDEND; A/O 06/21/13	\$428.55	
108 07/23/2013	DELAWARE DIVERSIFIED INCOME CL A	DIVIDEND; A/O 07/22/13	\$387.14	
109 08/23/2013	DELAWARE DIVERSIFIED INCOME CL A	DIVIDEND; A/O 08/22/13	\$396.11	
110 09/23/2013	DELAWARE DIVERSIFIED INCOME CL A	DIVIDEND; A/O 09/20/13	\$353.51	
				\$13,477.29
111 12/11/2009	FORUM FUNDS ABSOLUTE STRATEGIES FUND INTSTITUTIONAL SHARES	DIVIDEND STG	\$14.02	
112 01/04/2010	FORUM FUNDS ABSOLUTE STRATEGIES FUND INTSTITUTIONAL SHARES	DIVIDEND; A/O 12/31/09	\$288.22	
				\$302.24
113 10/20/2009	INVESTMENT MANAGERS SERIES TRUST ZACKS MARKET NEUTRAL FD CL A	DIVIDEND; A/O 10/16/09	\$136.50	
				\$136.50
114 09/08/2009	ISHARES TRUST BARCLAYS TIPS BOND FUND	DIVIDEND	\$276.12	
				\$276.12
115 05/18/2010	NEW YORK COMMUNITY BANCORP INC	DIVIDEND	\$257.00	
				\$257.00
116 12/09/2011	PERMANENT PORTFOLIO FD INC	DIVIDEND; A/O 12/07/11	\$300.55	

Income Summary

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

Date	Description	Debit	Credit	Total
117 12/09/2011	PERMANENT PORTFOLIO FD INC	DIVIDEND LTG; A/O 12/07/11	\$214.68	
118 12/07/2012	PERMANENT PORTFOLIO FD INC	DIVIDEND LTG; A/O 12/05/12	\$261.51	
119 12/07/2012	PERMANENT PORTFOLIO FD INC	DIVIDEND; A/O 12/05/12	\$196.14	
120 01/02/2014	PERMANENT PORTFOLIO FD INC	DIVIDEND; A/O 12/30/13	\$183.97	
121 01/02/2014	PERMANENT PORTFOLIO FD INC	DIVIDEND LTG; A/O 12/30/13	\$3,201.11	
122 12/12/2014	PERMANENT PORTFOLIO FD INC	DIVIDEND; A/O 12/10/14	\$219.91	
123 12/12/2014	PERMANENT PORTFOLIO FD INC	DIVIDEND LTG; A/O 12/10/14	\$2,361.99	
				\$6,939.86
124 10/01/2010	PUTNAM PREMIER INCOME TRUST SBI	DIVIDEND	\$168.15	
125 11/01/2010	PUTNAM PREMIER INCOME TRUST SBI	DIVIDEND	\$168.15	
126 12/01/2010	PUTNAM PREMIER INCOME TRUST SBI	DIVIDEND	\$336.30	
				\$672.60
127 06/03/2010	TJX COMPANIES INC NEW	DIVIDEND	\$61.65	
				\$61.65
128 06/01/2010	WAL-MART STORES INC	DIVIDEND	\$85.00	
				\$85.00
			TRADE DIVIDENDS AND INTEREST	\$23,164.39
			MONEY MARKET FUND INCOME	\$116.42
			TOTAL INCOME	\$23,280.81

Turnover

Account Number: 1234-5678-ABC

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name: JOHN Q INVESTOR

Date Range: 09/2009 Thru 05/2015

	Date Range	Total Purchases	Average Market Value	Average Equity	Entire Date Range		Annualized	
					By Market	By Equity	By Market	By Equity
1	09/2009 - 12/2009	\$68,004.50	\$68,512.26	\$192,188.48	0.99	0.35	2.98	1.06
2	01/2010 - 12/2010	\$431,191.11	\$87,016.53	\$187,202.92	4.96	2.30	4.96	2.30
3	01/2011 - 12/2011	\$328,102.00	\$114,320.12	\$178,621.40	2.87	1.84	2.87	1.84
4	01/2012 - 12/2012	\$0.00	\$176,166.44	\$189,021.01	0.00	0.00	0.00	0.00
5	01/2013 - 12/2013	\$0.00	\$131,326.96	\$185,525.06	0.00	0.00	0.00	0.00
6	01/2014 - 12/2014	\$0.00	\$50,947.79	\$87,179.73	0.00	0.00	0.00	0.00
7	01/2015 - 05/2015	\$0.00	\$10,931.49	\$18,141.96	0.00	0.00	0.00	0.00
8	09/2009 - 05/2015	\$827,297.61	\$102,116.53	\$156,377.76	8.10	5.29	1.41	0.92

Turnover Calculations

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

	Month End	Market Value	Cash Value	Equity Value	Purchases	Market Value Turnover	Equity Value Turnover
1	09/30/2009	\$68,216.26	\$124,068.23	\$192,284.49	\$68,004.50		
2	10/31/2009	\$68,304.50	\$124,073.66	\$192,378.16	\$0.00		
3	11/30/2009	\$68,835.30	\$123,278.88	\$192,114.18	\$0.00		
4	12/31/2009	\$68,692.98	\$123,284.12	\$191,977.10	\$0.00		
Year End Totals:					\$68,004.50	0.99	0.35
5	01/31/2010	\$85,609.95	\$106,334.35	\$191,944.30	\$16,955.00		
6	02/28/2010	\$84,733.91	\$105,858.60	\$190,592.51	\$0.00		
7	03/31/2010	\$85,365.55	\$105,863.11	\$191,228.66	\$0.00		
8	04/30/2010	\$145,886.54	\$44,702.38	\$190,588.92	\$130,963.00		
9	05/31/2010	\$102,068.47	\$82,851.45	\$184,919.92	\$38,858.68		
10	06/30/2010	\$47,654.53	\$135,034.20	\$182,688.73	\$0.00		
11	07/31/2010	\$33,040.55	\$148,998.33	\$182,038.88	\$14,408.50		
12	08/31/2010	\$59,551.10	\$122,729.72	\$182,280.82	\$57,600.50		
13	09/30/2010	\$86,711.25	\$100,311.72	\$187,022.97	\$34,528.00		
14	10/31/2010	\$98,280.83	\$92,776.20	\$191,057.03	\$29,183.00		
15	11/30/2010	\$117,395.40	\$71,201.23	\$188,596.63	\$87,269.43		
16	12/31/2010	\$97,900.26	\$85,575.37	\$183,475.63	\$21,425.00		
Year End Totals:					\$431,191.11	4.96	2.30
17	01/31/2011	\$92,006.93	\$89,218.30	\$181,225.23	\$59,622.80		
18	02/28/2011	\$132,207.51	\$48,715.16	\$180,922.67	\$57,785.50		
19	03/31/2011	\$128,294.03	\$54,672.78	\$182,966.81	\$30,855.00		
20	04/30/2011	\$110,028.73	\$68,410.22	\$178,438.95	\$0.00		
21	05/31/2011	\$110,513.43	\$69,860.51	\$180,373.94	\$11,978.45		
22	06/30/2011	\$49,957.65	\$129,553.82	\$179,511.47	\$12,833.75		
23	07/31/2011	\$38,680.85	\$143,369.09	\$182,049.94	\$0.00		
24	08/31/2011	\$23,932.35	\$152,829.54	\$176,761.89	\$0.00		
25	09/30/2011	\$168,664.33	\$3,912.20	\$172,576.53	\$155,026.50		
26	10/31/2011	\$172,951.50	\$3,912.26	\$176,863.76	\$0.00		
27	11/30/2011	\$171,382.53	\$3,580.69	\$174,963.22	\$0.00		

Turnover Calculations

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

	Month End	Market Value	Cash Value	Equity Value	Purchases	Market Value Turnover	Equity Value Turnover
28	12/31/2011	\$173,221.64	\$3,580.75	\$176,802.39	\$0.00		
Year End Totals:					\$328,102.00	2.87	1.84
29	01/31/2012	\$179,017.12	\$3,580.81	\$182,597.93	\$0.00		
30	02/29/2012	\$172,070.54	\$14,141.36	\$186,211.90	\$0.00		
31	03/31/2012	\$172,283.22	\$14,141.59	\$186,424.81	\$0.00		
32	04/30/2012	\$173,415.92	\$14,141.82	\$187,557.74	\$0.00		
33	05/31/2012	\$172,008.70	\$13,790.38	\$185,799.08	\$0.00		
34	06/30/2012	\$173,488.76	\$13,790.60	\$187,279.36	\$0.00		
35	07/31/2012	\$176,747.17	\$13,790.84	\$190,538.01	\$0.00		
36	08/31/2012	\$179,072.29	\$13,494.75	\$192,567.04	\$0.00		
37	09/30/2012	\$180,506.80	\$13,494.97	\$194,001.77	\$0.00		
38	10/31/2012	\$178,610.76	\$13,495.19	\$192,105.95	\$0.00		
39	11/30/2012	\$178,852.66	\$13,196.15	\$192,048.81	\$0.00		
40	12/31/2012	\$177,923.35	\$13,196.37	\$191,119.72	\$0.00		
Year End Totals:					\$0.00	0.00	0.00
41	01/31/2013	\$176,383.30	\$13,196.59	\$189,579.89	\$0.00		
42	02/28/2013	\$175,760.63	\$12,902.27	\$188,662.90	\$0.00		
43	03/31/2013	\$176,469.03	\$12,902.37	\$189,371.40	\$0.00		
44	04/30/2013	\$177,621.26	\$12,902.47	\$190,523.73	\$0.00		
45	05/31/2013	\$174,209.02	\$12,615.49	\$186,824.51	\$0.00		
46	06/30/2013	\$167,759.40	\$12,615.59	\$180,374.99	\$0.00		
47	07/31/2013	\$170,877.48	\$12,615.69	\$183,493.17	\$0.00		
48	08/31/2013	\$171,162.93	\$12,341.89	\$183,504.82	\$0.00		
49	09/30/2013	\$45,883.08	\$137,207.56	\$183,090.64	\$0.00		
50	10/31/2013	\$47,484.53	\$137,208.72	\$184,693.25	\$0.00		
51	11/30/2013	\$47,722.16	\$136,933.69	\$184,655.85	\$0.00		
52	12/31/2013	\$44,590.75	\$136,934.85	\$181,525.60	\$0.00		
Year End Totals:					\$0.00	0.00	0.00

Turnover Calculations

Account Number: 1234-5678-ABC

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name: JOHN Q INVESTOR

Date Range: 09/2009 Thru 05/2015

	Month End	Market Value	Cash Value	Equity Value	Purchases	Market Value Turnover	Equity Value Turnover
53	01/31/2014	\$46,503.90	\$36,435.95	\$82,939.85	\$0.00		
54	02/28/2014	\$48,470.09	\$36,345.05	\$84,815.14	\$0.00		
55	03/31/2014	\$48,190.32	\$36,345.35	\$84,535.67	\$0.00		
56	04/30/2014	\$49,645.43	\$36,345.64	\$85,991.07	\$0.00		
57	05/31/2014	\$50,485.75	\$36,250.38	\$86,736.13	\$0.00		
58	06/30/2014	\$51,914.73	\$36,250.67	\$88,165.40	\$0.00		
59	07/31/2014	\$51,969.94	\$36,250.97	\$88,220.91	\$0.00		
60	08/31/2014	\$53,292.60	\$36,151.53	\$89,444.13	\$0.00		
61	09/30/2014	\$51,544.79	\$36,151.82	\$87,696.61	\$0.00		
62	10/31/2014	\$52,557.28	\$36,152.12	\$88,709.40	\$0.00		
63	11/30/2014	\$54,243.71	\$36,051.75	\$90,295.46	\$0.00		
64	12/31/2014	\$52,554.99	\$36,052.05	\$88,607.04	\$0.00		
Year End Totals:					\$0.00	0.00	0.00
<hr/>							
65	01/31/2015	\$54,657.47	\$36,052.35	\$90,709.82	\$0.00		
66	02/28/2015	\$0.00	\$0.00	\$0.00	\$0.00		
67	03/31/2015	\$0.00	\$0.00	\$0.00	\$0.00		
68	04/30/2015	\$0.00	\$0.00	\$0.00	\$0.00		
69	05/31/2015	\$0.00	\$0.00	\$0.00	\$0.00		
Year End Totals:					\$0.00	0.00	0.00
<hr/>							
Total Months:	69						
Avg Equity:	\$156,377.76						
Avg Market Value:	\$102,116.53						

Annualized Market Value Turnover	1.41
Annualized Equity Value Turnover	0.92

Cost Maintenance Factor

Account Number: 1234-5678-ABC

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name: JOHN Q INVESTOR

Date Range: 09/2009 Thru 05/2015

	Date Range	Trade Cost	Margin Interest	Fees	Overall Cost	Avg. Mkt. Val.	Avg. Equity	Entire Date Range		Annualized	
								By Market	By Equity	By Market	By Equity
1	09/2009 - 12/2009	\$15.79	\$0.00	\$799.84	\$815.63	\$68,512.26	\$192,188.48	1.19%	0.42%	3.57%	1.27%
2	01/2010 - 12/2010	\$680.32	\$0.00	\$1,889.07	\$2,569.39	\$87,016.53	\$187,202.92	2.95%	1.37%	2.95%	1.37%
3	01/2011 - 12/2011	\$492.05	\$0.00	\$1,685.90	\$2,177.95	\$114,320.12	\$178,621.40	1.91%	1.22%	1.91%	1.22%
4	01/2012 - 12/2012	\$0.00	\$0.00	\$1,411.50	\$1,411.50	\$176,166.44	\$189,021.01	0.80%	0.75%	0.80%	0.75%
5	01/2013 - 12/2013	\$0.00	\$0.00	\$1,403.04	\$1,403.04	\$131,326.96	\$185,525.06	1.07%	0.76%	1.07%	0.76%
6	01/2014 - 12/2014	\$0.00	\$0.00	\$684.29	\$684.29	\$50,947.79	\$87,179.73	1.34%	0.78%	1.34%	0.78%
7	01/2015 - 05/2015	\$0.00	\$0.00	\$129.84	\$129.84	\$10,931.49	\$18,141.96	1.19%	0.72%	2.85%	1.72%
8	09/2009 - 05/2015	\$1,188.16	\$0.00	\$8,003.48	\$9,191.64	\$102,116.53	\$156,377.76	9.00%	5.88%	1.57%	1.02%

Cost Maintenance Factor Calculations

Account Number: 1234-5678-ABC

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name: JOHN Q INVESTOR

Date Range: 09/2009 Thru 05/2015

	Month End	Market Value	Cash Value	Equity Value	Trade Cost	Margin Interest	Fees	Overall Cost
1	09/30/2009	\$68,216.26	\$124,068.23	\$192,284.49	\$15.79	\$0.00	\$0.00	\$15.79
2	10/31/2009	\$68,304.50	\$124,073.66	\$192,378.16	\$0.00	\$0.00	\$0.00	\$0.00
3	11/30/2009	\$68,835.30	\$123,278.88	\$192,114.18	\$0.00	\$0.00	\$799.84	\$799.84
4	12/31/2009	\$68,692.98	\$123,284.12	\$191,977.10	\$0.00	\$0.00	\$0.00	\$0.00
Year End Totals:					\$15.79	\$0.00	\$799.84	\$815.63
5	01/31/2010	\$85,609.95	\$106,334.35	\$191,944.30	\$15.00	\$0.00	\$0.00	\$15.00
6	02/28/2010	\$84,733.91	\$105,858.60	\$190,592.51	\$0.00	\$0.00	\$479.86	\$479.86
7	03/31/2010	\$85,365.55	\$105,863.11	\$191,228.66	\$0.00	\$0.00	\$0.00	\$0.00
8	04/30/2010	\$145,886.54	\$44,702.38	\$190,588.92	\$90.00	\$0.00	\$0.00	\$90.00
9	05/31/2010	\$102,068.47	\$82,851.45	\$184,919.92	\$121.33	\$0.00	\$476.47	\$597.80
10	06/30/2010	\$47,654.53	\$135,034.20	\$182,688.73	\$60.91	\$0.00	\$0.00	\$60.91
11	07/31/2010	\$33,040.55	\$148,998.33	\$182,038.88	\$45.49	\$0.00	\$0.00	\$45.49
12	08/31/2010	\$59,551.10	\$122,729.72	\$182,280.82	\$75.28	\$0.00	\$455.10	\$530.38
13	09/30/2010	\$86,711.25	\$100,311.72	\$187,022.97	\$45.21	\$0.00	\$0.00	\$45.21
14	10/31/2010	\$98,280.83	\$92,776.20	\$191,057.03	\$45.36	\$0.00	\$0.00	\$45.36
15	11/30/2010	\$117,395.40	\$71,201.23	\$188,596.63	\$121.13	\$0.00	\$477.64	\$598.77
16	12/31/2010	\$97,900.26	\$85,575.37	\$183,475.63	\$60.61	\$0.00	\$0.00	\$60.61
Year End Totals:					\$680.32	\$0.00	\$1,889.07	\$2,569.39
17	01/31/2011	\$92,006.93	\$89,218.30	\$181,225.23	\$136.16	\$0.00	\$0.00	\$136.16
18	02/28/2011	\$132,207.51	\$48,715.16	\$180,922.67	\$90.35	\$0.00	\$453.06	\$543.41
19	03/31/2011	\$128,294.03	\$54,672.78	\$182,966.81	\$75.72	\$0.00	\$0.00	\$75.72
20	04/30/2011	\$110,028.73	\$68,410.22	\$178,438.95	\$15.27	\$0.00	\$0.00	\$15.27
21	05/31/2011	\$110,513.43	\$69,860.51	\$180,373.94	\$30.27	\$0.00	\$446.10	\$476.37
22	06/30/2011	\$49,957.65	\$129,553.82	\$179,511.47	\$72.19	\$0.00	\$0.00	\$72.19
23	07/31/2011	\$38,680.85	\$143,369.09	\$182,049.94	\$15.27	\$0.00	\$0.00	\$15.27

Cost Maintenance Factor Calculations

Account Number: 1234-5678-ABC

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name: JOHN Q INVESTOR

Date Range: 09/2009 Thru 05/2015

	Month End	Market Value	Cash Value	Equity Value	Trade Cost	Margin Interest	Fees	Overall Cost
24	08/31/2011	\$23,932.35	\$152,829.54	\$176,761.89	\$15.20	\$0.00	\$455.12	\$470.32
25	09/30/2011	\$168,664.33	\$3,912.20	\$172,576.53	\$41.62	\$0.00	\$0.00	\$41.62
26	10/31/2011	\$172,951.50	\$3,912.26	\$176,863.76	\$0.00	\$0.00	\$0.00	\$0.00
27	11/30/2011	\$171,382.53	\$3,580.69	\$174,963.22	\$0.00	\$0.00	\$331.62	\$331.62
28	12/31/2011	\$173,221.64	\$3,580.75	\$176,802.39	\$0.00	\$0.00	\$0.00	\$0.00
Year End Totals:					\$492.05	\$0.00	\$1,685.90	\$2,177.95
29	01/31/2012	\$179,017.12	\$3,580.81	\$182,597.93	\$0.00	\$0.00	\$0.00	\$0.00
30	02/29/2012	\$172,070.54	\$14,141.36	\$186,211.90	\$0.00	\$0.00	\$342.37	\$342.37
31	03/31/2012	\$172,283.22	\$14,141.59	\$186,424.81	\$0.00	\$0.00	\$0.00	\$0.00
32	04/30/2012	\$173,415.92	\$14,141.82	\$187,557.74	\$0.00	\$0.00	\$0.00	\$0.00
33	05/31/2012	\$172,008.70	\$13,790.38	\$185,799.08	\$0.00	\$0.00	\$351.67	\$351.67
34	06/30/2012	\$173,488.76	\$13,790.60	\$187,279.36	\$0.00	\$0.00	\$0.00	\$0.00
35	07/31/2012	\$176,747.17	\$13,790.84	\$190,538.01	\$0.00	\$0.00	\$0.00	\$0.00
36	08/31/2012	\$179,072.29	\$13,494.75	\$192,567.04	\$0.00	\$0.00	\$357.26	\$357.26
37	09/30/2012	\$180,506.80	\$13,494.97	\$194,001.77	\$0.00	\$0.00	\$0.00	\$0.00
38	10/31/2012	\$178,610.76	\$13,495.19	\$192,105.95	\$0.00	\$0.00	\$0.00	\$0.00
39	11/30/2012	\$178,852.66	\$13,196.15	\$192,048.81	\$0.00	\$0.00	\$360.20	\$360.20
40	12/31/2012	\$177,923.35	\$13,196.37	\$191,119.72	\$0.00	\$0.00	\$0.00	\$0.00
Year End Totals:					\$0.00	\$0.00	\$1,411.50	\$1,411.50
41	01/31/2013	\$176,383.30	\$13,196.59	\$189,579.89	\$0.00	\$0.00	\$0.00	\$0.00
42	02/28/2013	\$175,760.63	\$12,902.27	\$188,662.90	\$0.00	\$0.00	\$355.46	\$355.46
43	03/31/2013	\$176,469.03	\$12,902.37	\$189,371.40	\$0.00	\$0.00	\$0.00	\$0.00
44	04/30/2013	\$177,621.26	\$12,902.47	\$190,523.73	\$0.00	\$0.00	\$0.00	\$0.00
45	05/31/2013	\$174,209.02	\$12,615.49	\$186,824.51	\$0.00	\$0.00	\$357.23	\$357.23
46	06/30/2013	\$167,759.40	\$12,615.59	\$180,374.99	\$0.00	\$0.00	\$0.00	\$0.00

Cost Maintenance Factor Calculations

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

	Month End	Market Value	Cash Value	Equity Value	Trade Cost	Margin Interest	Fees	Overall Cost
47	07/31/2013	\$170,877.48	\$12,615.69	\$183,493.17	\$0.00	\$0.00	\$0.00	\$0.00
48	08/31/2013	\$171,162.93	\$12,341.89	\$183,504.82	\$0.00	\$0.00	\$344.05	\$344.05
49	09/30/2013	\$45,883.08	\$137,207.56	\$183,090.64	\$0.00	\$0.00	\$0.00	\$0.00
50	10/31/2013	\$47,484.53	\$137,208.72	\$184,693.25	\$0.00	\$0.00	\$0.00	\$0.00
51	11/30/2013	\$47,722.16	\$136,933.69	\$184,655.85	\$0.00	\$0.00	\$346.30	\$346.30
52	12/31/2013	\$44,590.75	\$136,934.85	\$181,525.60	\$0.00	\$0.00	\$0.00	\$0.00
Year End Totals:					\$0.00	\$0.00	\$1,403.04	\$1,403.04
53	01/31/2014	\$46,503.90	\$36,435.95	\$82,939.85	\$0.00	\$0.00	\$0.00	\$0.00
54	02/28/2014	\$48,470.09	\$36,345.05	\$84,815.14	\$0.00	\$0.00	\$161.32	\$161.32
55	03/31/2014	\$48,190.32	\$36,345.35	\$84,535.67	\$0.00	\$0.00	\$0.00	\$0.00
56	04/30/2014	\$49,645.43	\$36,345.64	\$85,991.07	\$0.00	\$0.00	\$0.00	\$0.00
57	05/31/2014	\$50,485.75	\$36,250.38	\$86,736.13	\$0.00	\$0.00	\$171.23	\$171.23
58	06/30/2014	\$51,914.73	\$36,250.67	\$88,165.40	\$0.00	\$0.00	\$0.00	\$0.00
59	07/31/2014	\$51,969.94	\$36,250.97	\$88,220.91	\$0.00	\$0.00	\$0.00	\$0.00
60	08/31/2014	\$53,292.60	\$36,151.53	\$89,444.13	\$0.00	\$0.00	\$175.41	\$175.41
61	09/30/2014	\$51,544.79	\$36,151.82	\$87,696.61	\$0.00	\$0.00	\$0.00	\$0.00
62	10/31/2014	\$52,557.28	\$36,152.12	\$88,709.40	\$0.00	\$0.00	\$0.00	\$0.00
63	11/30/2014	\$54,243.71	\$36,051.75	\$90,295.46	\$0.00	\$0.00	\$176.33	\$176.33
64	12/31/2014	\$52,554.99	\$36,052.05	\$88,607.04	\$0.00	\$0.00	\$0.00	\$0.00
Year End Totals:					\$0.00	\$0.00	\$684.29	\$684.29
65	01/31/2015	\$54,657.47	\$36,052.35	\$90,709.82	\$0.00	\$0.00	\$0.00	\$0.00
66	02/28/2015	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$129.84	\$129.84
67	03/31/2015	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
68	04/30/2015	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
69	05/31/2015	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Cost Maintenance Factor Calculations

Account Number: 1234-5678-ABC
 Account Name: JOHN Q INVESTOR

Case Name: INVESTOR V. BROKERAGE FIRM
 Date Range: 09/2009 Thru 05/2015

Month End	Market Value	Cash Value	Equity Value	Trade Cost	Margin Interest	Fees	Overall Cost
Year End Totals:				\$0.00	\$0.00	\$129.84	\$129.84
Overall Totals:				\$1,188.16	\$0.00	\$8,003.48	\$9,191.64

Total Months: 69
Avg Equity: \$156,377.76
Avg Market Value: \$102,116.53

<u>Annualized Trade Cost to Market Value Ratio</u>	0.20%
<u>Annualized Trade Cost to Equity Ratio</u>	0.13%

<u>Annualized Overall Cost to Market Value Ratio</u>	1.57%
<u>Annualized Overall Cost to Equity Ratio</u>	1.02%